

# Universal Turing Machines

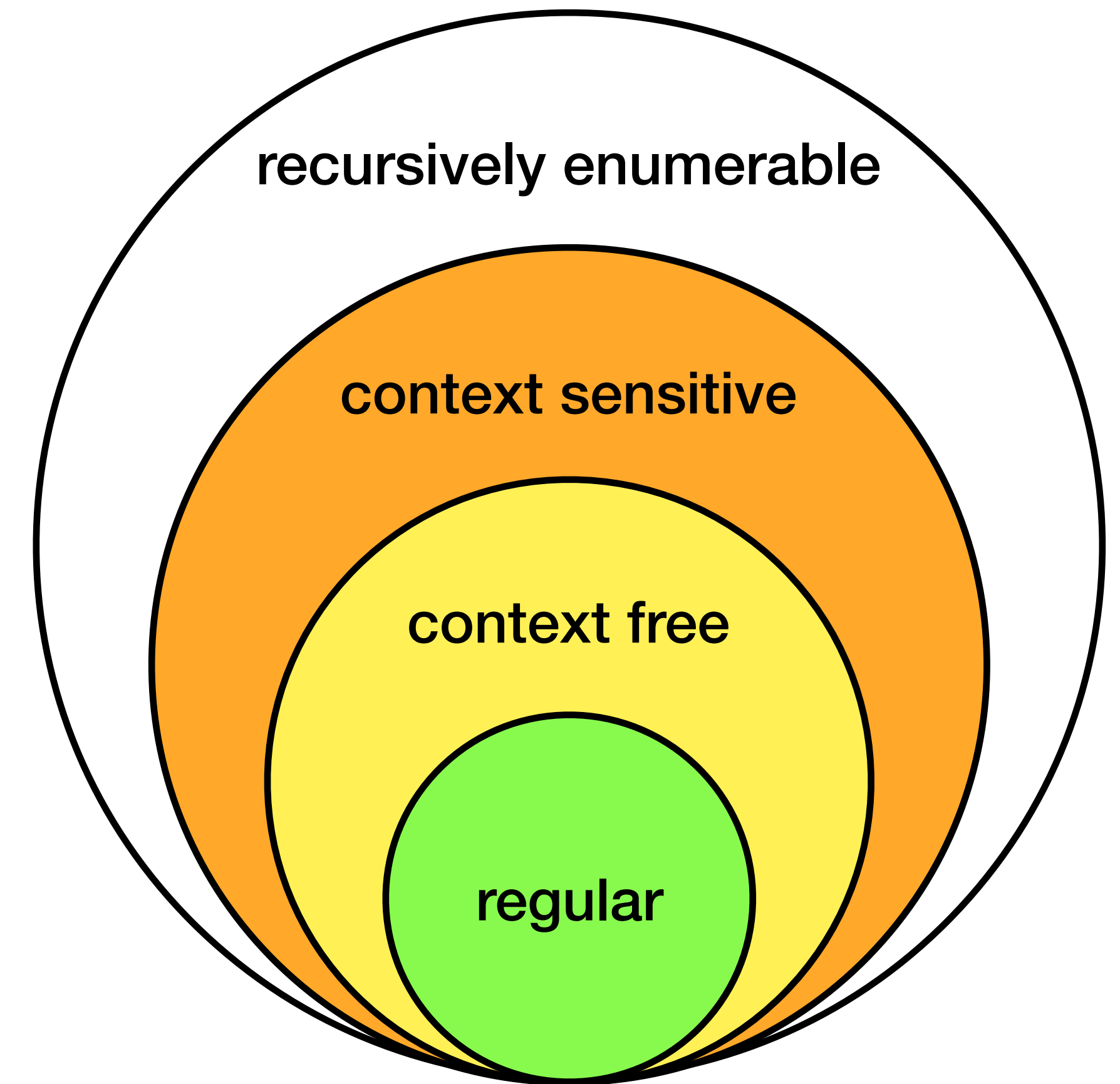
Sides based on material by Kani, Erickson, Chekuri, et. al.

All mistakes are my own! - Ivan Abraham (Fall 2024)

Image by ChatGPT (probably collaborated with DALL-E)

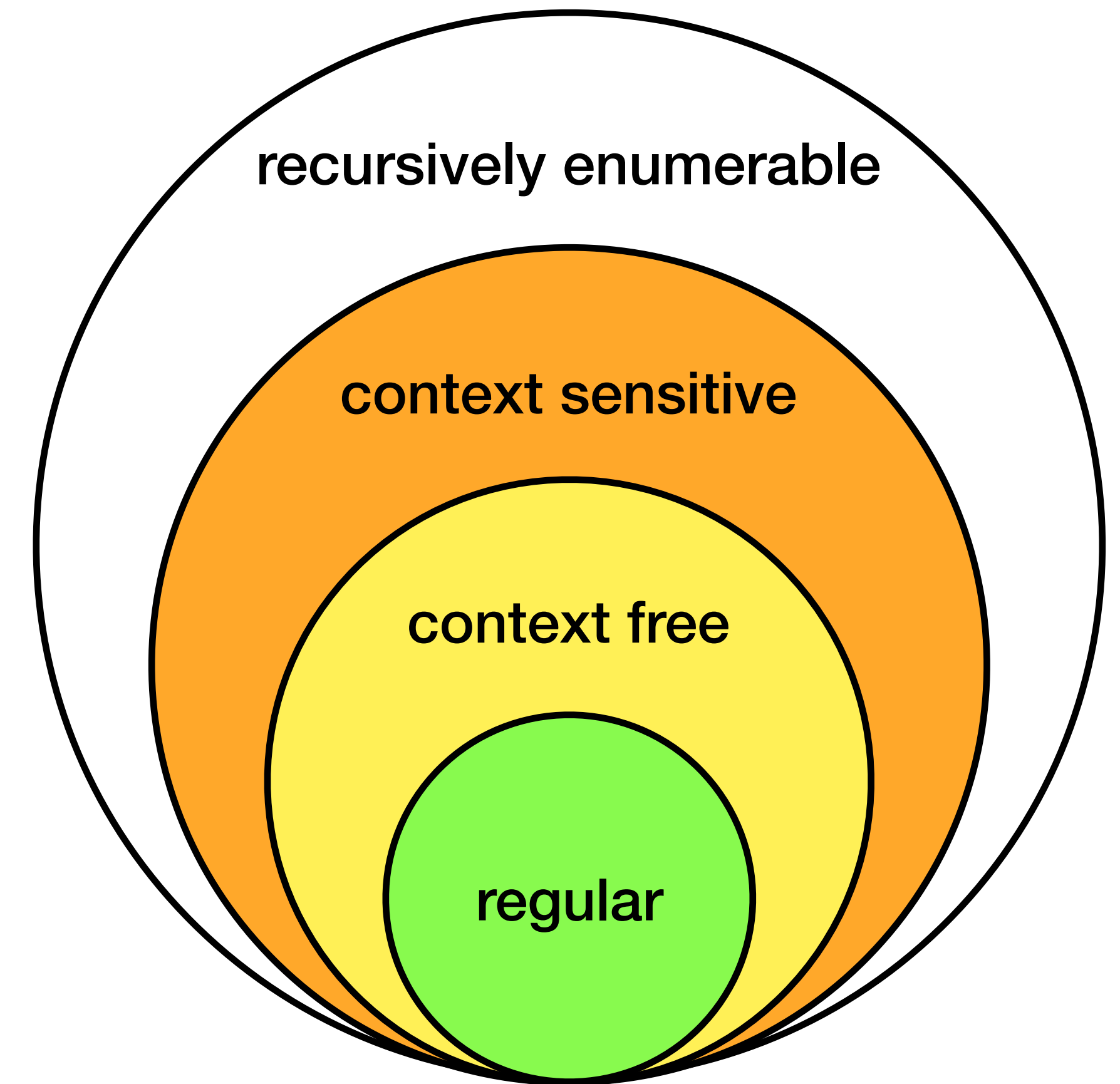
# Recap

- We have journeyed in four weeks through different models of computation



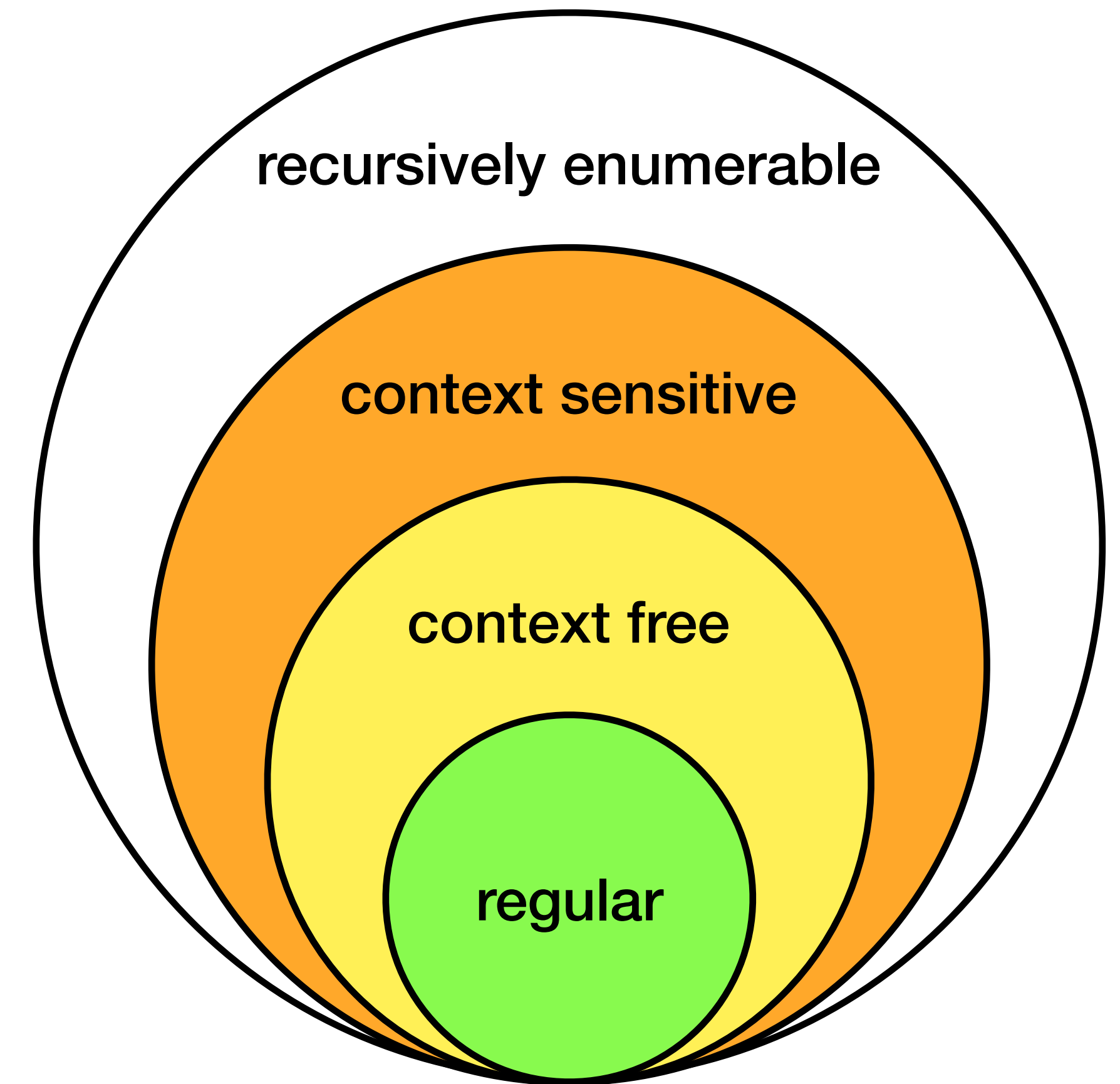
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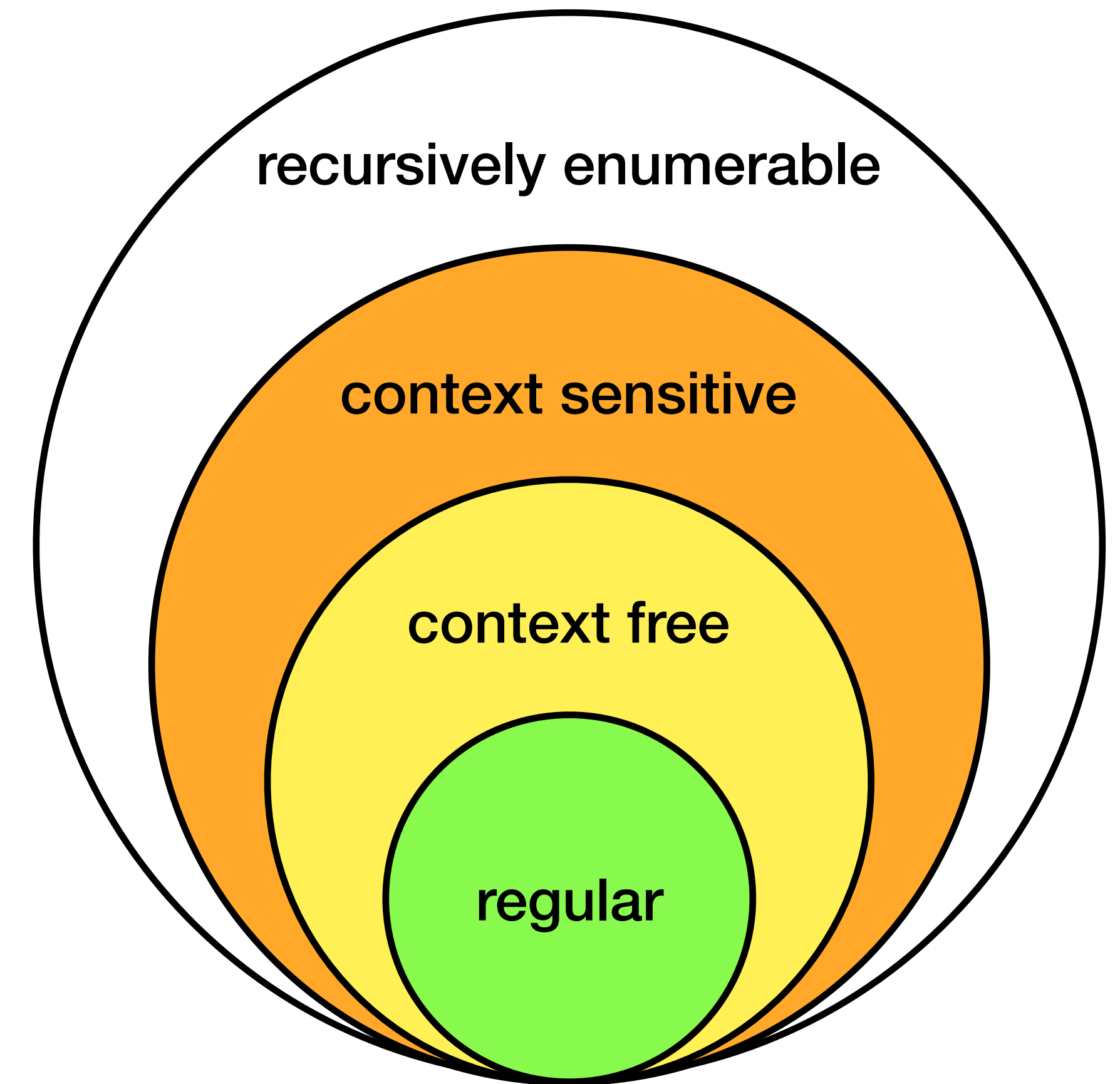
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  - What is the most general model of computation we can have, which accepts the largest number of languages.



# Recap

- We have journeyed in four weeks through different models of computation
- We asked the question last time:
  - What is the most general model of computation we can have, which accepts the largest number of languages.
- For this we introduced the Turing Machine.





# Turing Machine

## Recap: High level goals

- Church-Turing Thesis: **TMs** are the most general computing devices. So far, no “counter-example.”

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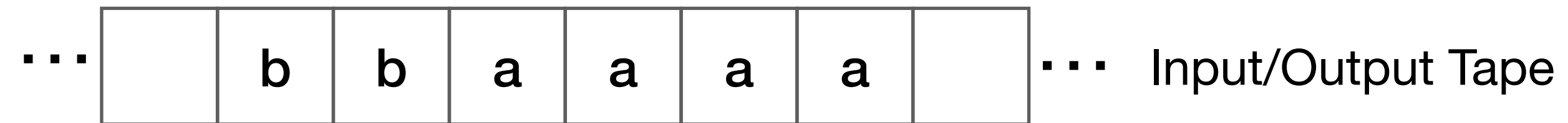


# Turing Machine

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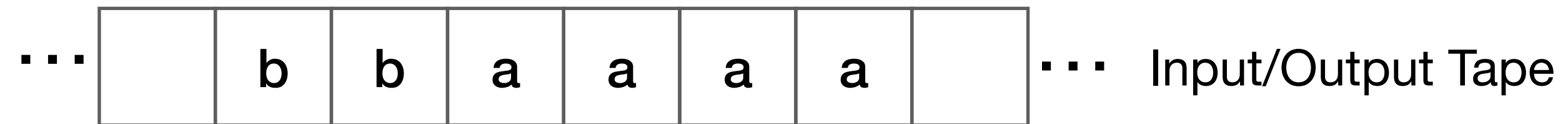
- Church-Turing Thesis: **TM**s are the most general computing devices. So far, no “counter-example.”
  - We will look at some possible extensions today and show they amount to the same thing.
- Every **TM** can be represented as a string. *→ show an example*
- The existence of a Universal Turing Machine, which is the model/inspiration for stored program computing. **UTM** can simulate any **TM**!

# Turing Machine



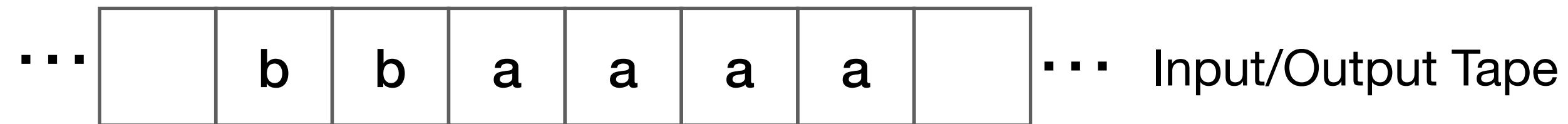
- Input written on (infinite) one sided tape.

# Turing Machine

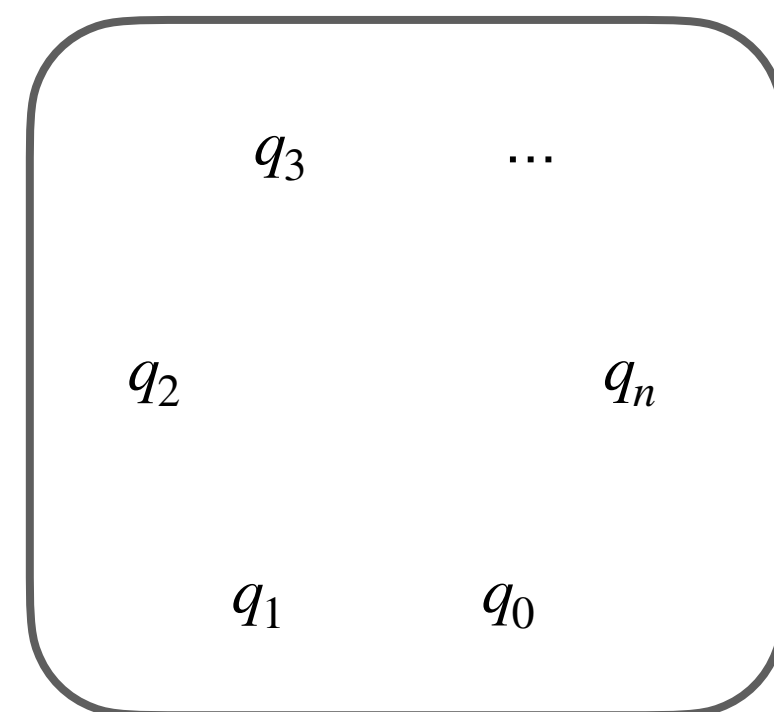


- Input written on (infinite) one sided tape.
- Special blank characters.

# Turing Machine

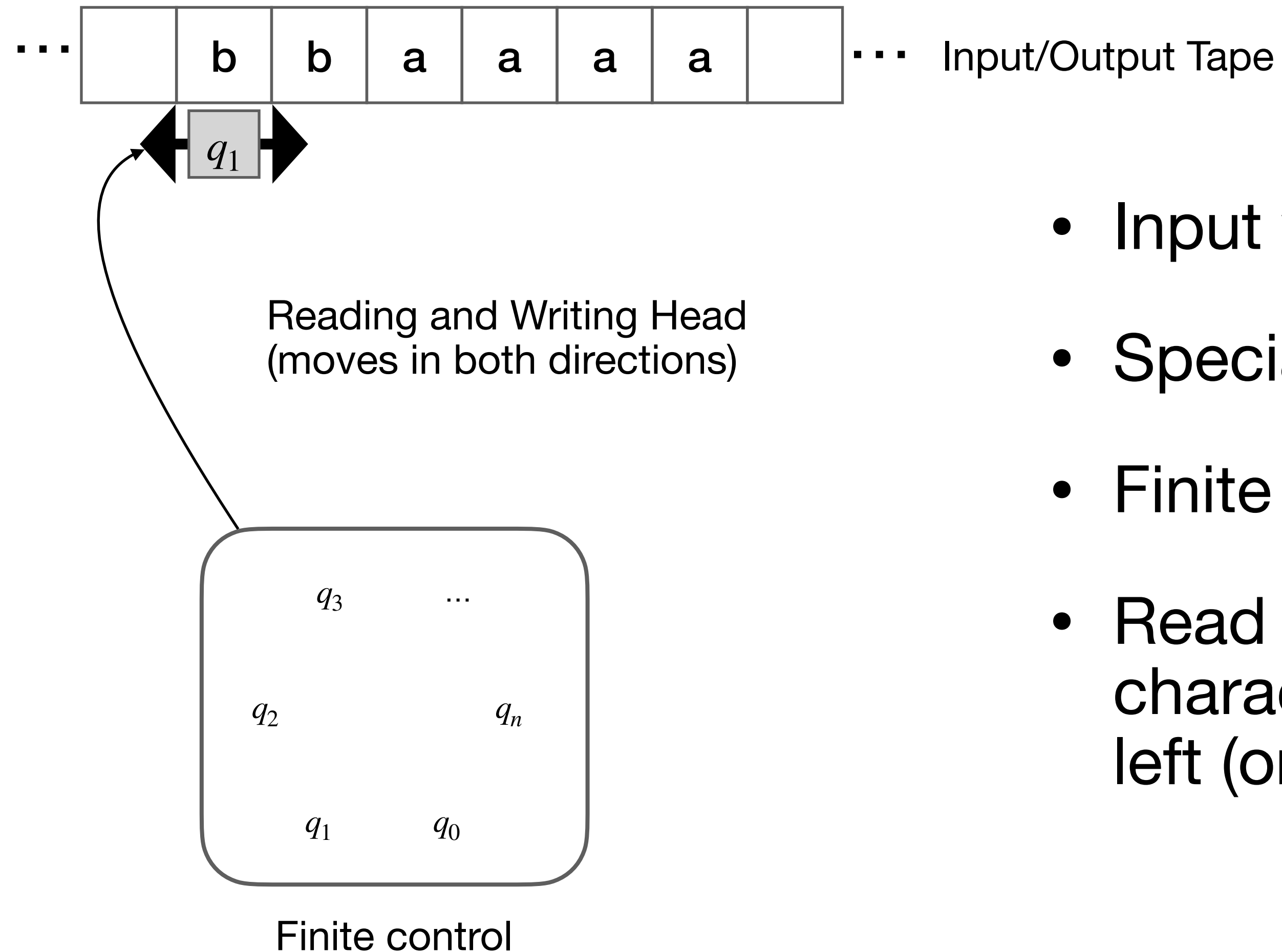


- Input written on (infinite) one sided tape.
- Special blank characters.
- Finite state control (similar to **DFA**).



Finite control

# Turing Machine



- Input written on (infinite) one sided tape.
- Special blank characters.
- Finite state control (similar to **DFA**).
- Read character under head, write character out, move the head right or left (or stay).

# Turing Machine

## Transition function

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$$

From state  $q$ , on reading  $a$ :

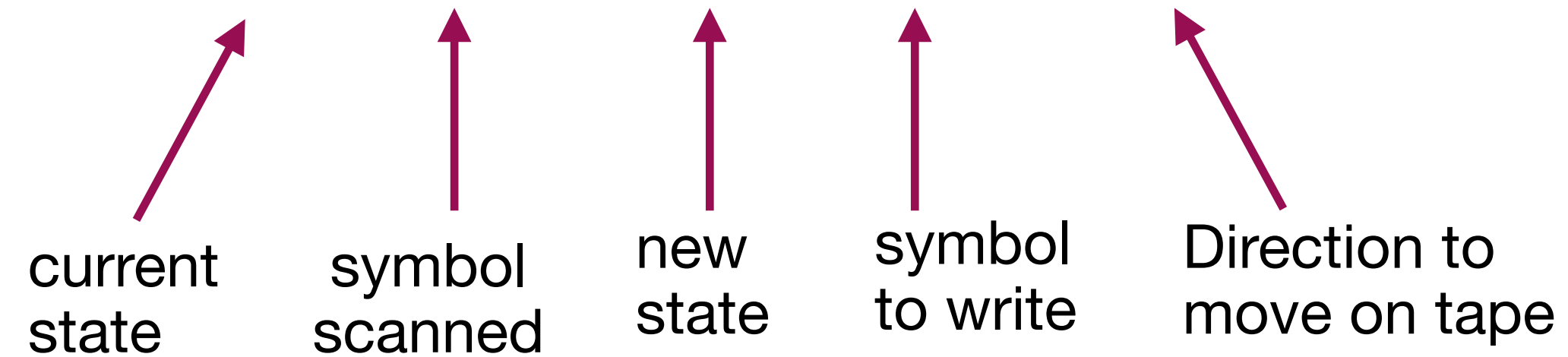
- go to state  $p$
- write  $b$
- move head *Left*



# Turing Machine

## Transition function

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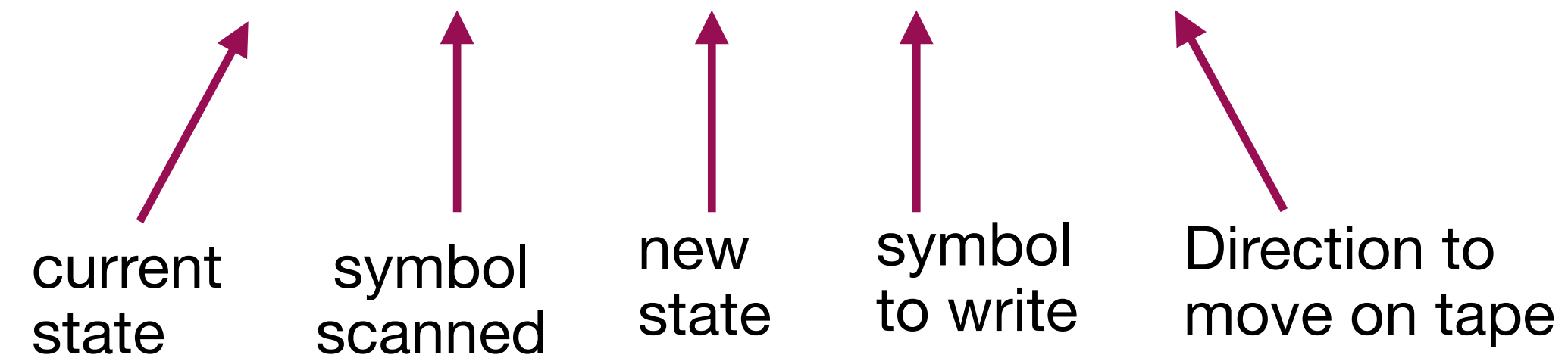
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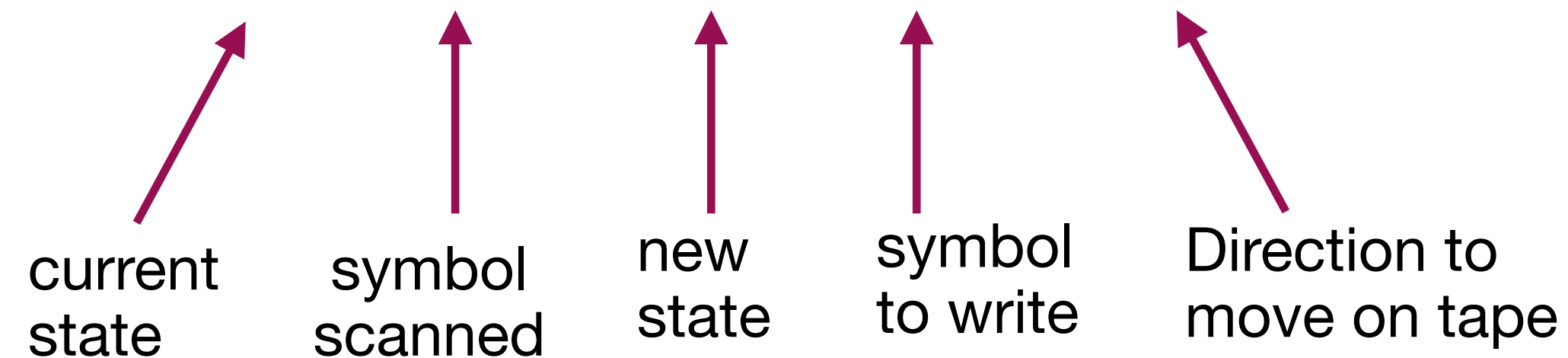
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$$\longrightarrow \delta(q, a) = (p, b, L)$$

# Turing Machine

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From state  $q$ , on reading  $a$ :

- go to state  $p$

- write  $b$

- move head *Left*

- Missing transitions lead to hell state = “Blue screen of death” = “Machine crashes.”

$$\delta(q, a) = (p, b, L)$$

# Turing Machine variants

## Equivalent Turing Machines

Several variations of a Turing machine:

- Standard Turing machine (single infinite tape)
- Multi-track tapes
- Bi-infinite tape
- Multiple heads
- Multiple heads and tapes

# Turing machine variants

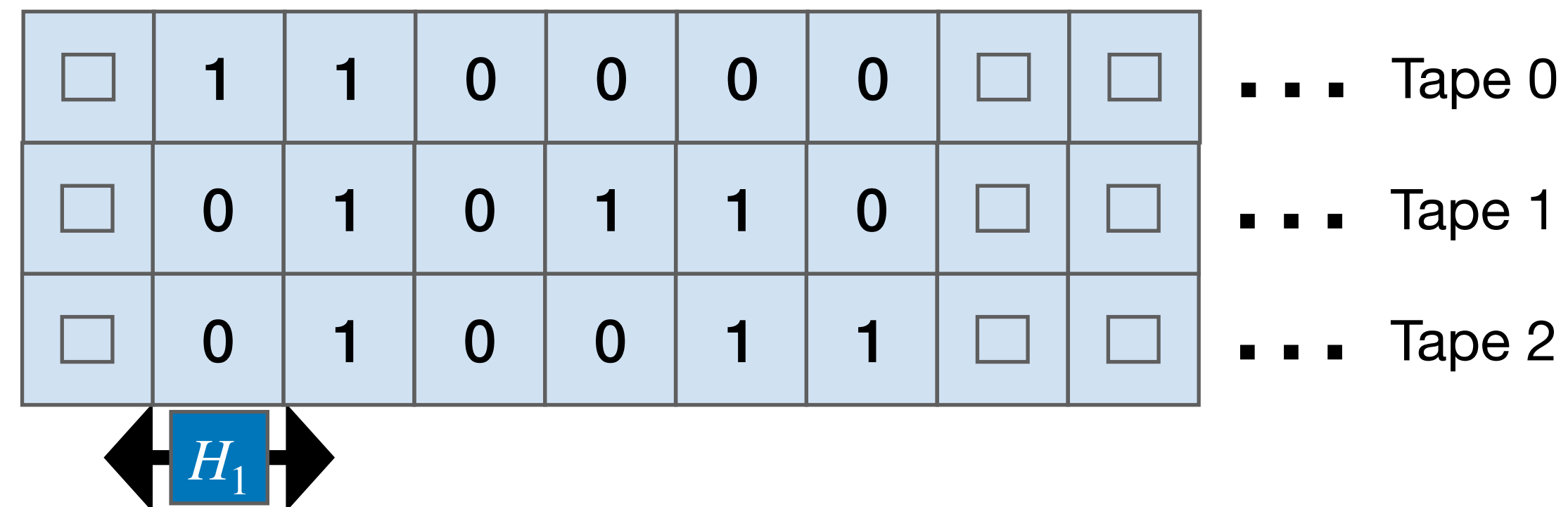
## Multi-track Tapes

Suppose we have a TM with multiple tracks:

# Turing machine variants

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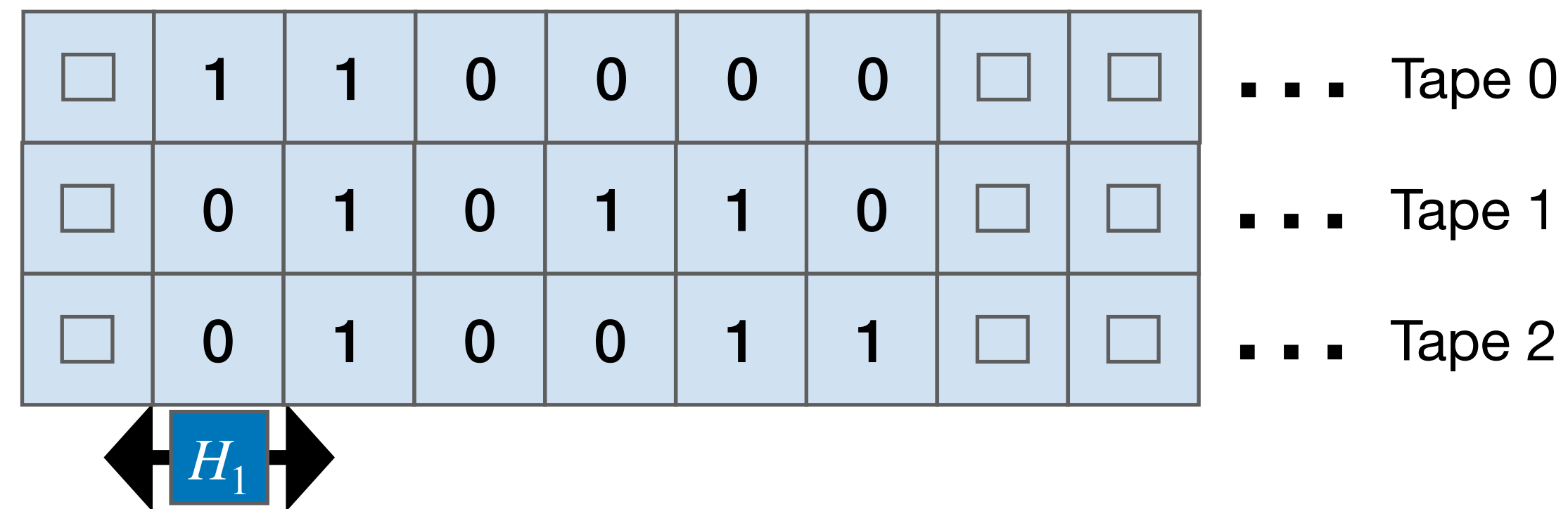




# Turing machine variants

## Multi-track Tapes

Suppose we have a TM with multiple tracks:



New transition function:  $\delta : Q \times \Gamma_1 \times \Gamma_2 \times \Gamma_3 \rightarrow Q \times \Gamma_1 \times \Gamma_2 \times \Gamma_3 \times \{L, R, S\}$

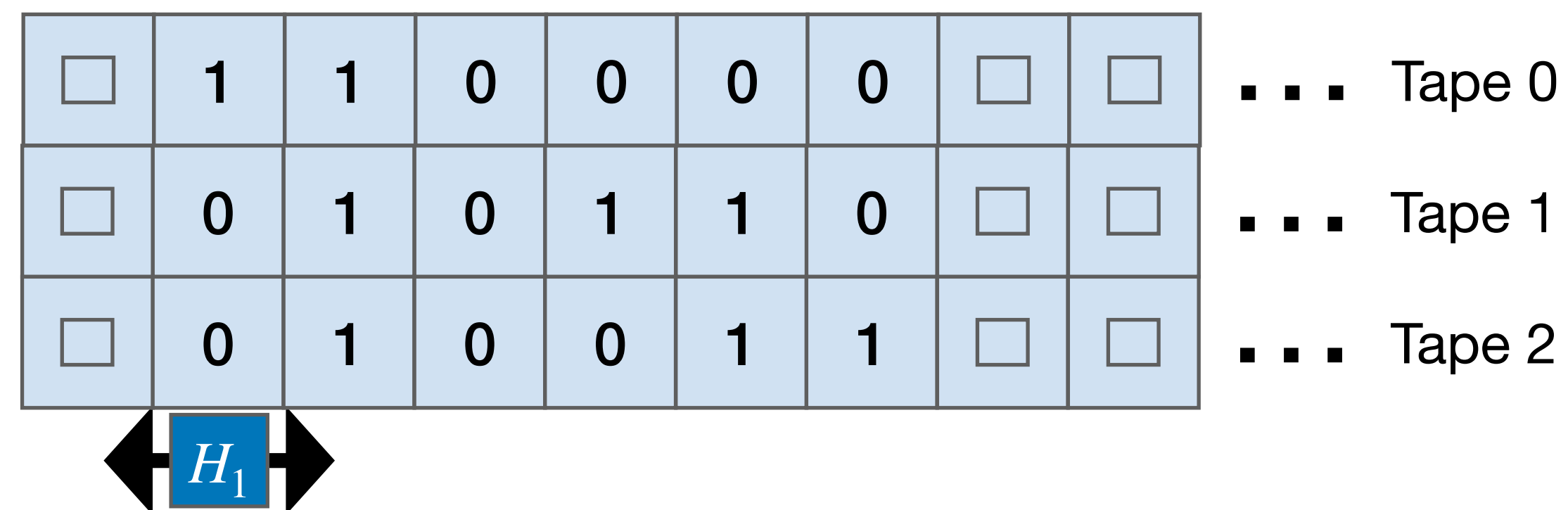
↓  
one head

each tape  
can have its  
own alphabet

# Turing machine variants

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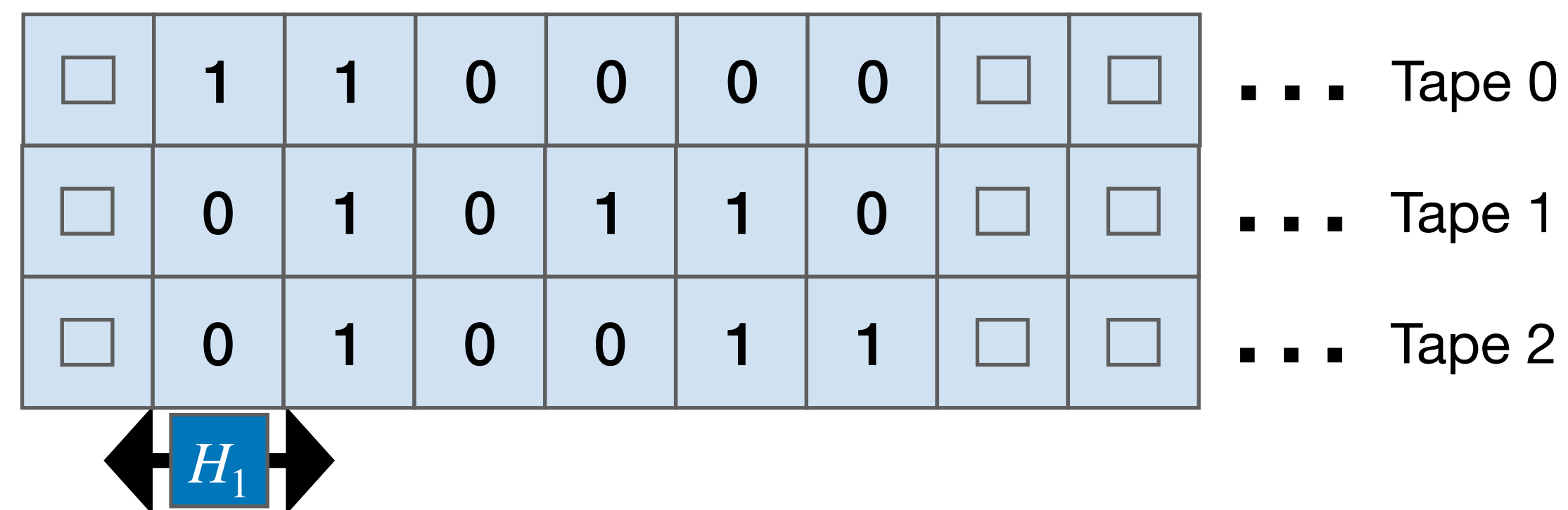
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Is there an equivalent single-track TM?

# Turing machine variants

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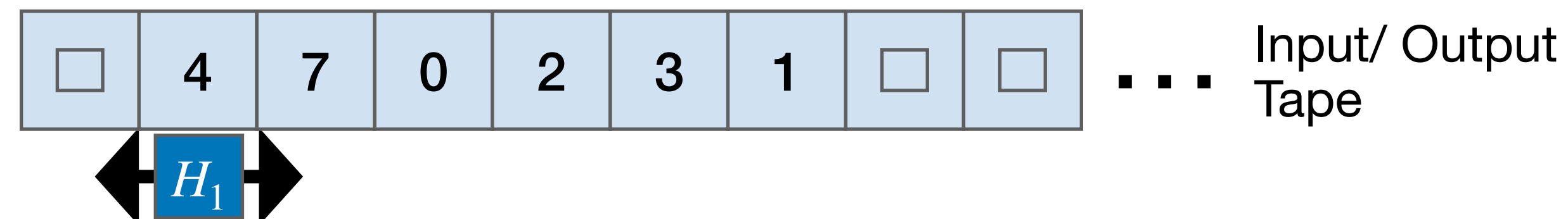
*1 bit / slot*

*//*

*4*

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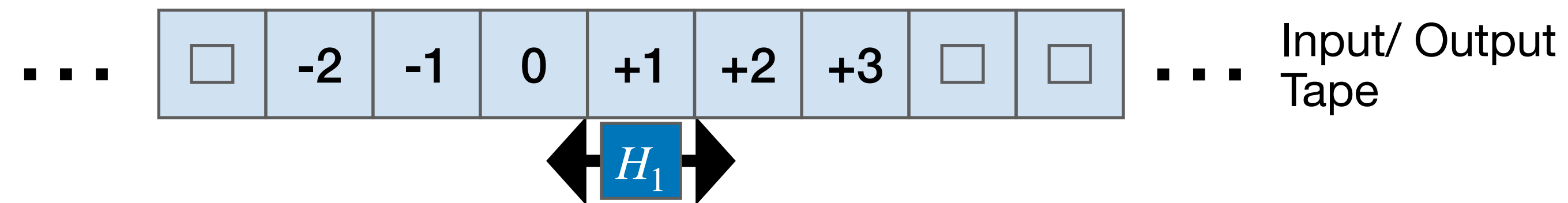


*3 bits / slot*

# Turing machine variants

## Infinite Bi-directional Tape

Suppose we have a TM with tape that is bi-infinite:

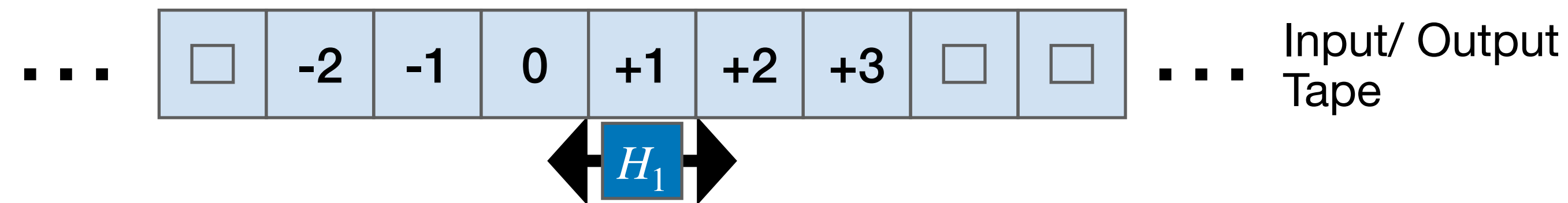


Is there an equivalent one-sided TM?

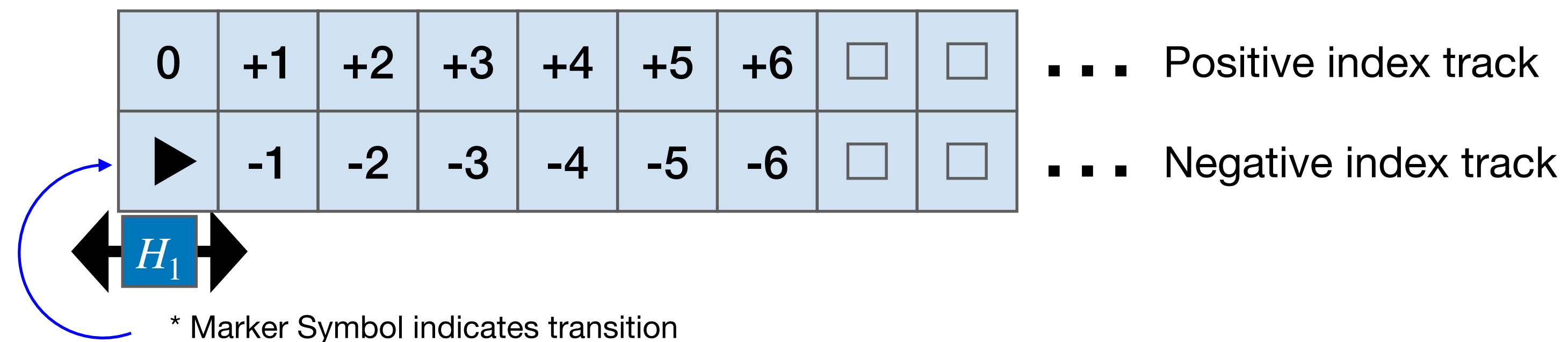
# Turing machine variants

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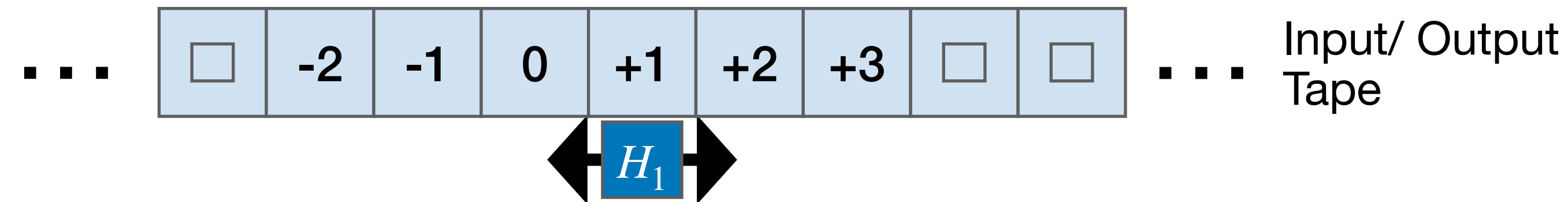
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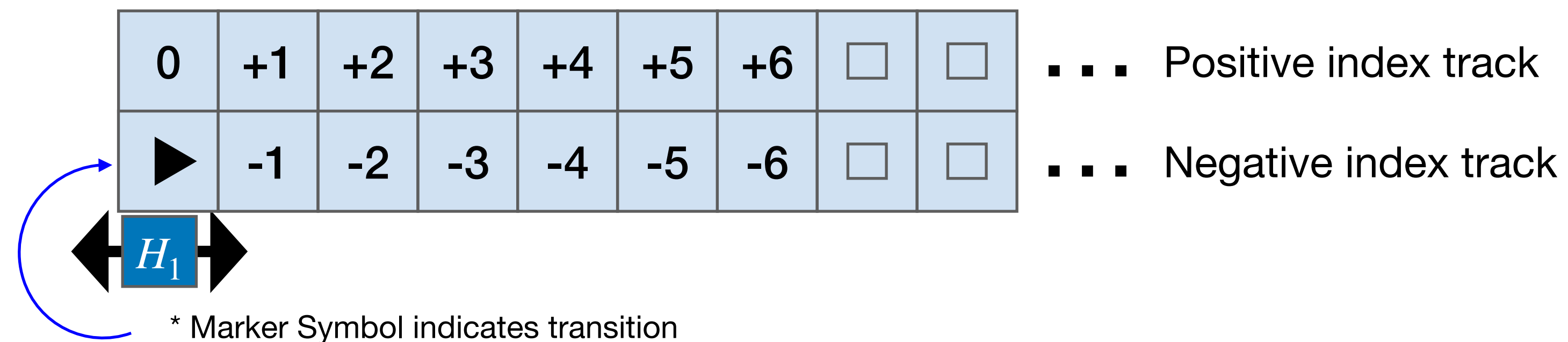
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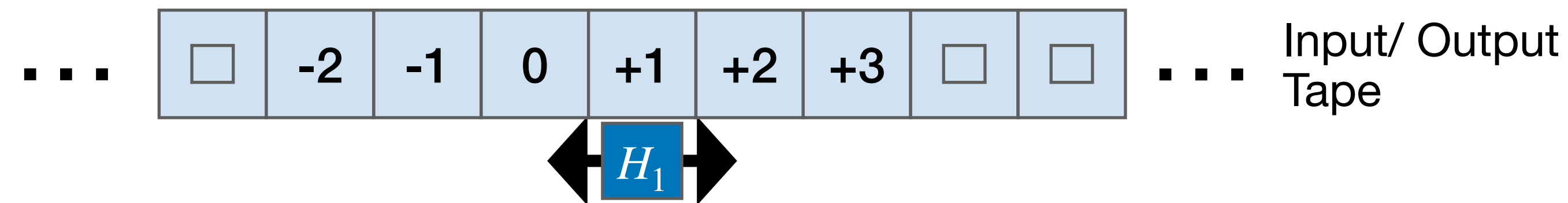
Can model as multiple tapes.



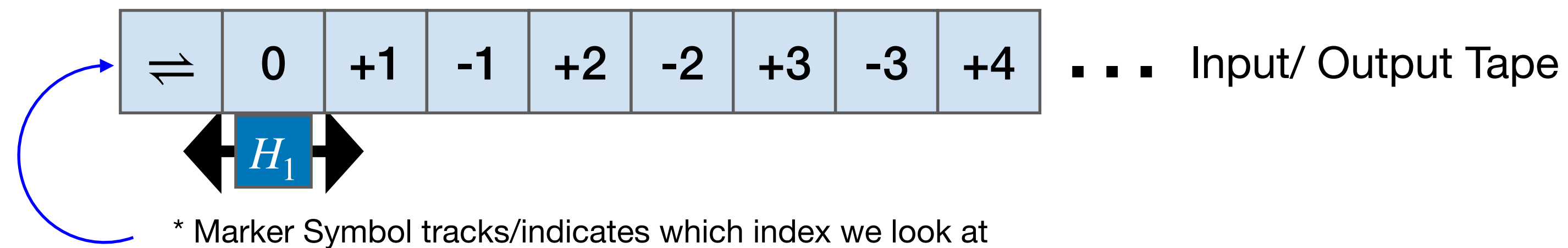
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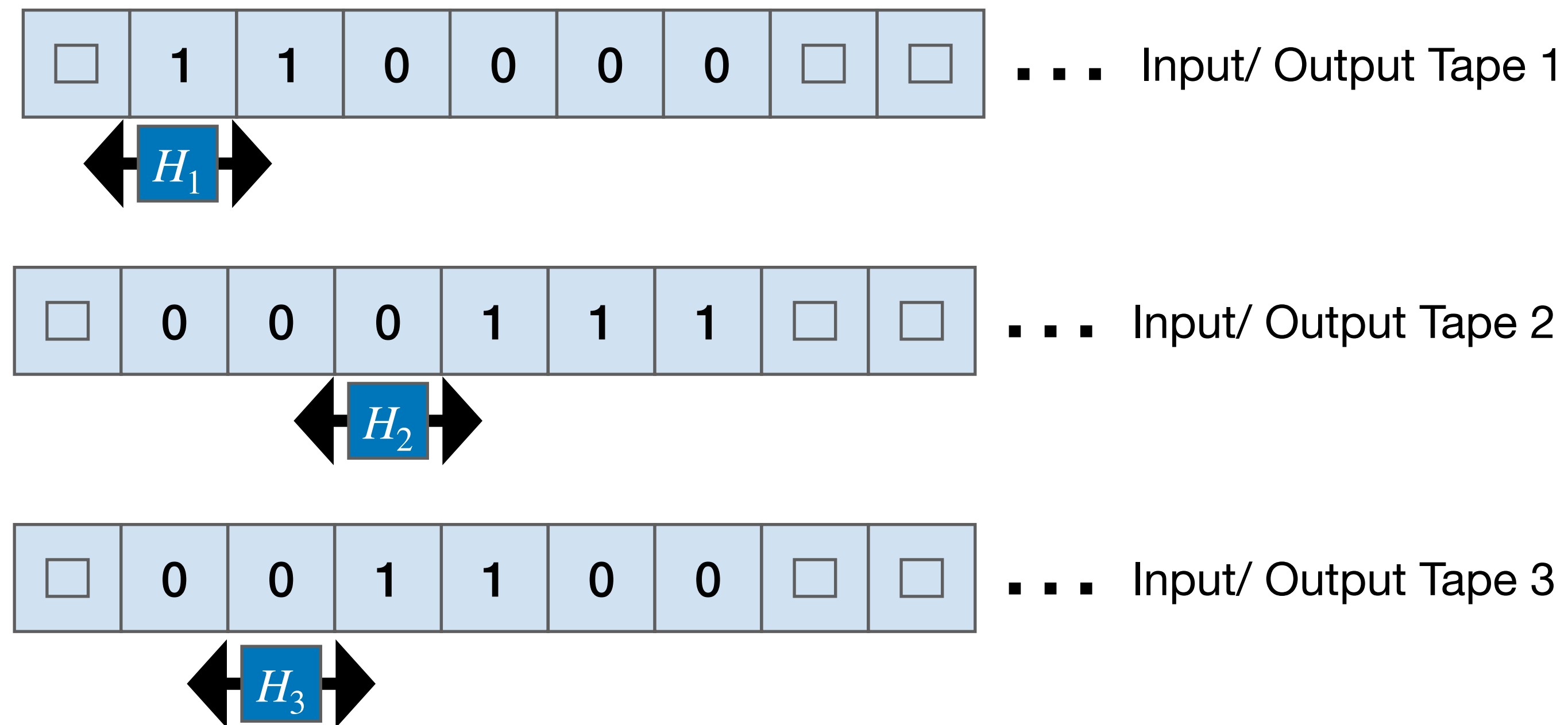


Or as single tape interleaved with positive and negative indexes.

# Turing machine variants

## Multiple Read/Write Heads

What is the transition function for a TM with multiple heads and multiple tapes:



$$\delta : Q \times \Gamma_1 \times \Gamma_2 \times \Gamma_3 \rightarrow Q \times \Gamma_1 \times \Gamma_2 \times \Gamma_3 \times \{L, R\}^3$$

$\hookrightarrow$  can have different alphabet

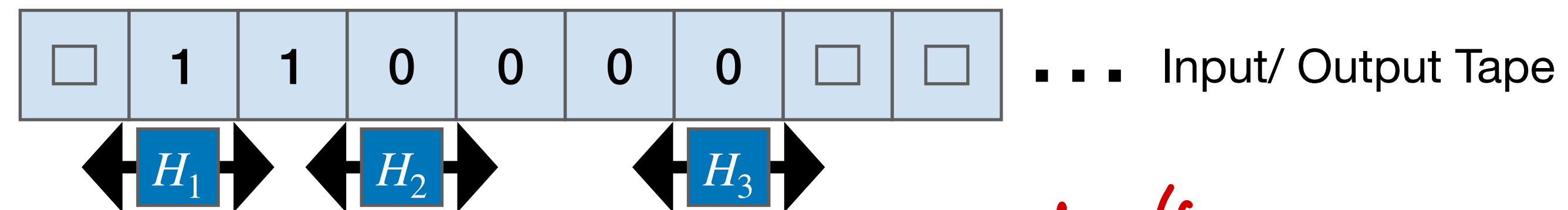
$$Q = Q_1 \times Q_2 \times Q_3$$

$\hookrightarrow$  each head can move independently

# Turing machine variants

## Multiple Read/Write Heads

Suppose we have a TM with multiple heads:



$$\delta : Q \times \Gamma^3 \rightarrow Q \times (\Gamma \times \{L, R\})^3$$

*heads can still move independently*

*↳ same alphabet*

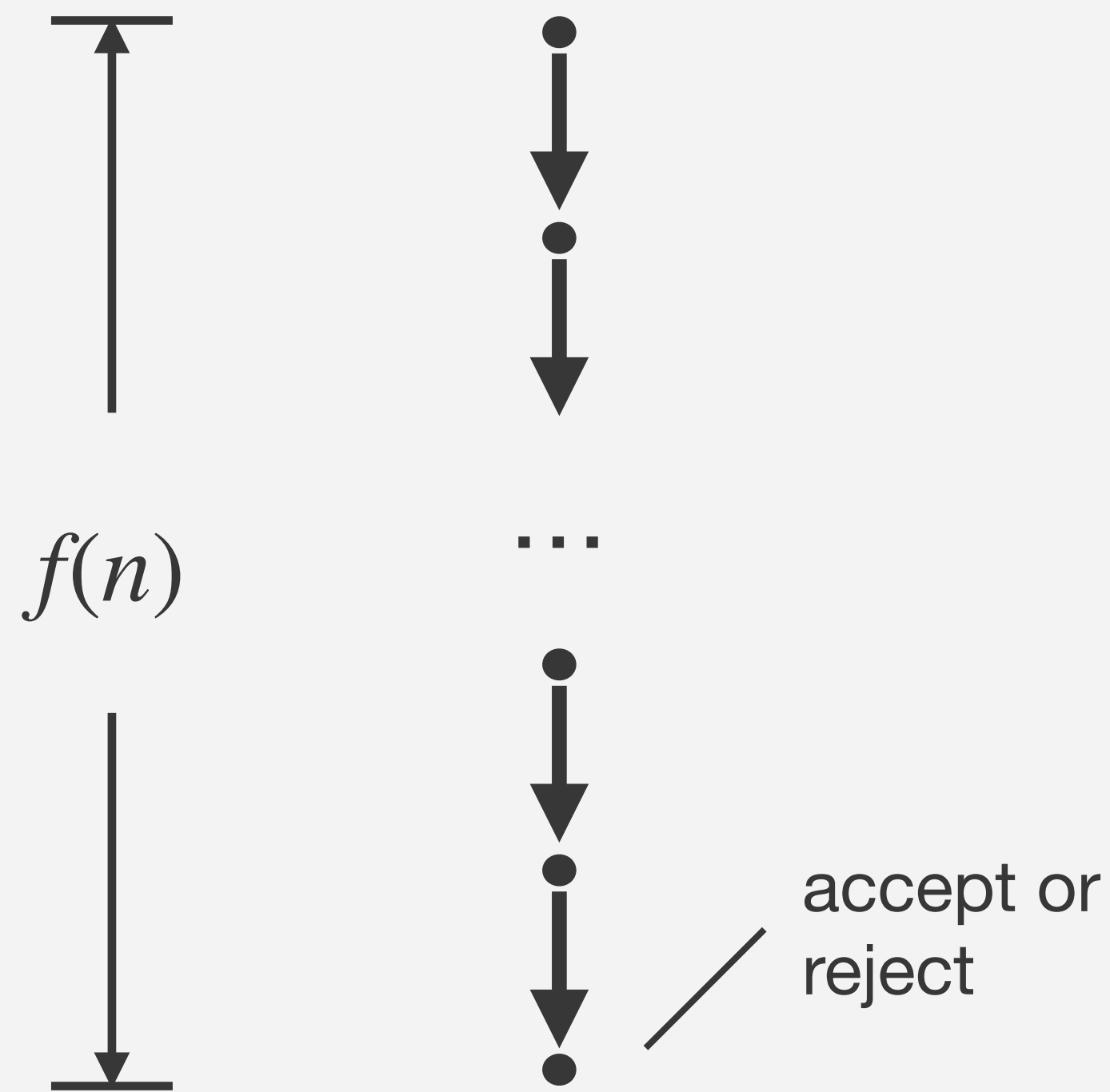
What does the transition function for the equivalent nominal TM look like?

# Determinism in Turing Machines

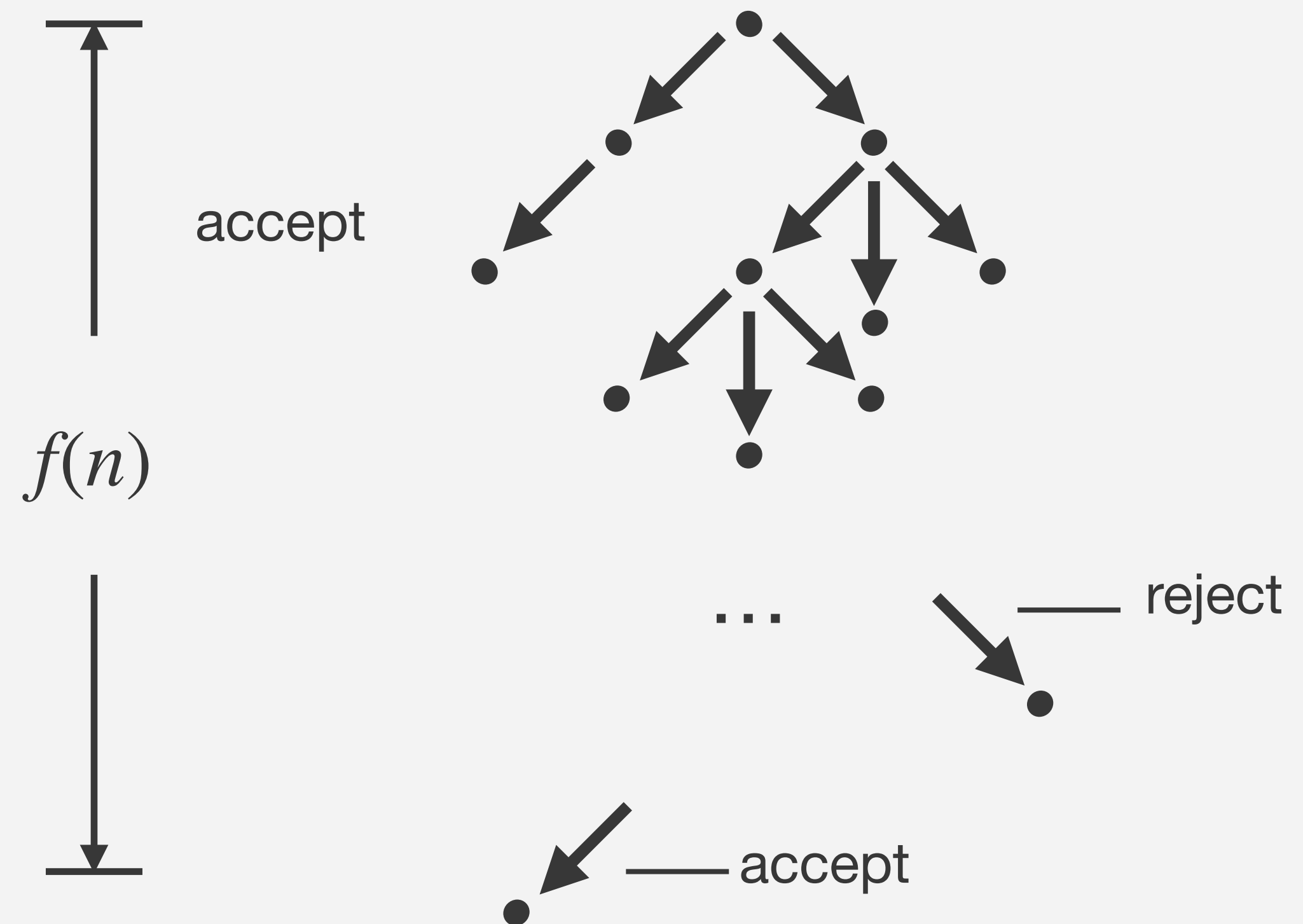
# Determinism in Turing Machines

## Deterministic vs Non-Deterministic

### Deterministic



### Non-Deterministic



# Power of NTM vs. DTM?

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  3. Third tape encodes path in NTM computation tree.

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Outputs:

- results of running  $M(w)$

# Universal Turing Machine

## Some notation

$M$ : Turing machine

$\langle M \rangle$ : a string uniquely describing  $M$  (we will see that it can be thought of as a number)

$w$ : An input string.

$\langle M, w \rangle$ : A unique string encoding both  $M$  and input  $w$ .

$$L(M_u) = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$$

# Universal Turing Machine

## Introduction

We want to construct a Turing machine such that:

language of the  
universal Turing  
machine

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$M_u$  is a stored-program computer. It reads  $\langle M, w \rangle$ , parses it as a program  $M$  and data  $w$ , and executes  $M$  on data  $w$ .

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In other words,  $M_u$  simulates the run of  $M$  on  $w$ .

# Universal Turing Machine

## Coding of TMs

**Lemma:** If a language  $L$  over alphabet  $\{0,1\}$  is accepted by some  $TM M$ , then there is a one-tape  $TM M'$  that accepts  $L$ , such that

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- $\Gamma = \{0,1,B\}$   $\rightarrow$  augment tape alphabet w/ blank
- states numbered  $1, \dots, k$   $\rightarrow$   $k$  can be large

types  
fixed

- $q_1$  is a unique start state
- $q_2$  is a unique halt/accept state
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can think of it as  
a "normalization"

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*→ can be inferred*

Note: To represent a TM, we need only list its set of transitions - everything else is implicit by the above.

# Listing Transition

- Use the following order:

$\delta(q_1, 0), \delta(q_1, 1), \delta(q_1, B), \delta(q_2, 0), \delta(q_2, 1), \delta(q_2, B), \dots$

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$\dots \delta(q_k, 0), \delta(q_k, 1), \delta(q_k, B)$

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- Use the following encoding:

$$\textcircled{111} t_1 \text{ 11 } t_2 \text{ 11 } t_3 \text{ 11 } \dots \text{ 11 } t_k \textcircled{111}$$

*triple ones mark beginning and ending of TA encoding*

# Listing Transition

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$$\dots \delta(q_k, 0), \delta(q_k, 1), \delta(q_k, B)$$

- Use the following encoding:

111  $t_1$  11  $t_2$  11  $t_3$  11 ... 11  $t_k$  111

→ double ones demarcate transition encodings

where  $t_i$  is the encoding of transition  $i$  as given on the next slide.



# Encoding a transition

Recall transition looks like  $\delta(q, a) = (p, b, L)$ . So, encode as

< state > 1 < input > 1 < new state > 1 < new-symbol > 1 < direction >

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- $L, R, S$  represented by 0, 00, 000

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where

- state  $q_i$  represented by  $0^i$
- $0, 1, B$  represented by  $0, 00, 000$  *respectively*
- $L, R, S$  represented by  $0, 00, 000$  *respectively*

$\delta(q_3, 1) = (q_4, 0, R)$  represented by  $\underbrace{000}_{q_3} 1 \underbrace{00}_1 1 \underbrace{0000}_{q_4} 1 \underbrace{0}_0 1 \underbrace{00}_R$

# Example

Typical TM code:

11101010000100100110100100000101011 ..... 11 ..... 11 ..... 111

# Example

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11101010000100100110100100000101011 ..... 11 ..... 11 ..... 111

- Begins, ends with 111



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11101010000100100110100100000101011 ..... 11 ..... 11 ..... 111

- Begins, ends with 111
- Transitions separated by 11
- Fields within transition separated by 1

# Example

Typical TM code:

11101010000100100110100100000101011 ..... 11 ..... 11 ..... 111

- Begins, ends with 111
- Transitions separated by 11
- Fields within transition separated by 1
- Individual fields represented by 0s

# TMs are (binary) numbers

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- Let  $\langle M \rangle$  mean the number that encodes  $M$
- Conversely, let  $M_n$  be the TM with encoding  $n$ .



# How $M_u$ works

## Configuration

Three tapes

# How $M_u$ works

## Configuration

Three tapes

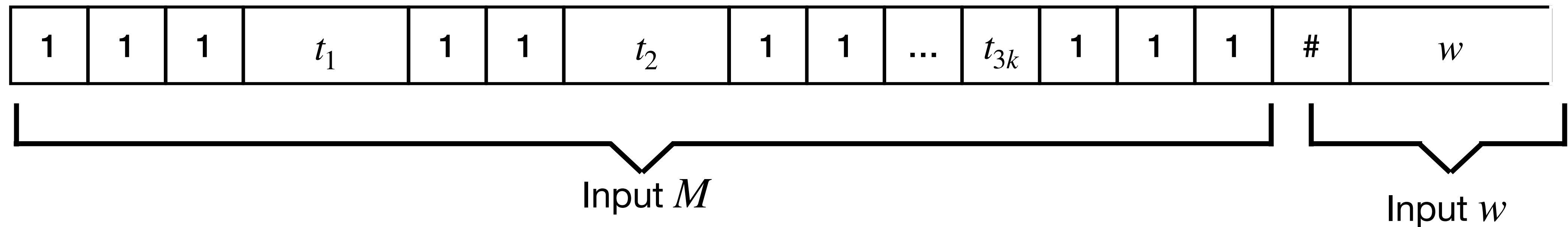
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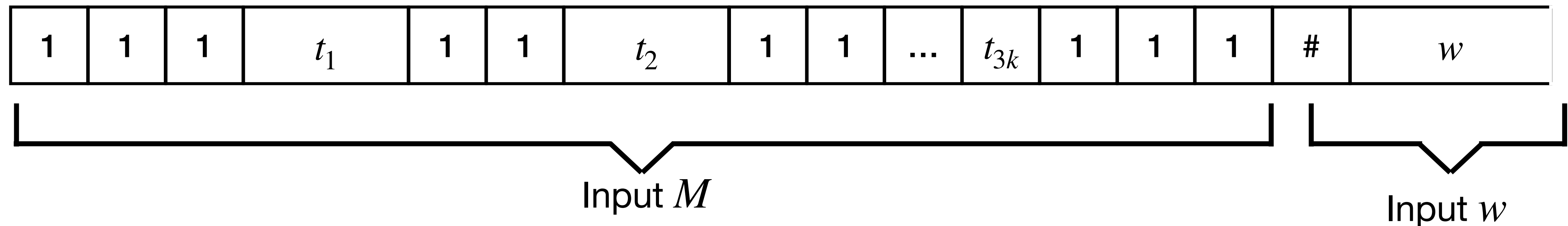


# How $M_u$ works

## Configuration

Three tapes

- Tape 1: holds input  $M$  and  $w$  demarcated with #; never changes
- Tape 2: simulates  $M$ 's single tape

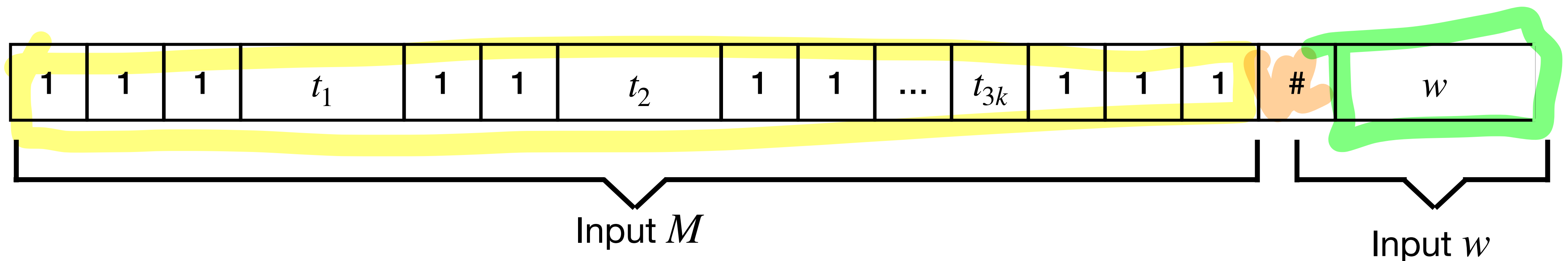


# How $M_u$ works

## Configuration

Three tapes

- Tape 1: holds input  $M$  and  $w$  demarcated with  $\#$ ; never changes
- Tape 2: simulates  $M$ 's single tape
- Tape 3: holds  $M$ 's current state



# Universal Turing Machine

## How $M_u$ works: Phase 1 (validate)

- Check if Tape 1 holds a valid TM by examining  $\langle M \rangle$

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  - Substring  $110^i | 0^j 1$  does not appear twice.

→ prevents two different transitions from the same state on the same input

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11000010100000100001...

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  - Substring  $110^i | 0^j 1$  does not appear twice.
  - Appropriate number of zeros and ones between 1's demarcating transition code
- Etc.

11000010100000100001...

→ NOT part of tape alphabet

# Universal Turing Machine

How  $M_u$  works - Phase 2 (initialize)

11101010000100100110100100000101011.....111#100110

Tape 1

Code for  $M$

# Universal Turing Machine

## How $M_u$ works - Phase 2 (initialize)

- Copy  $w$  to Tape 2

11101010000100100110100100000101011.....111 # 100110

Tape 1

Code for  $M$

# Universal Turing Machine

## How $M_u$ works - Phase 2 (initialize)

- Copy  $w$  to Tape 2

11101010000100100110100100000101011.....111 # 100110

Tape 1

Code for  $M$

\$100110

Tape 2

Current contents of  $M$ 's tape

# Universal Turing Machine

## How $M_u$ works - Phase 2 (initialize)

- Copy  $w$  to Tape 2
- Write 0 on Tape 3 indicating it is in the start state

11101010000100100110100100000101011.....111 # 100110 Tape 1

Code for  $M$

\$100110 Tape 2

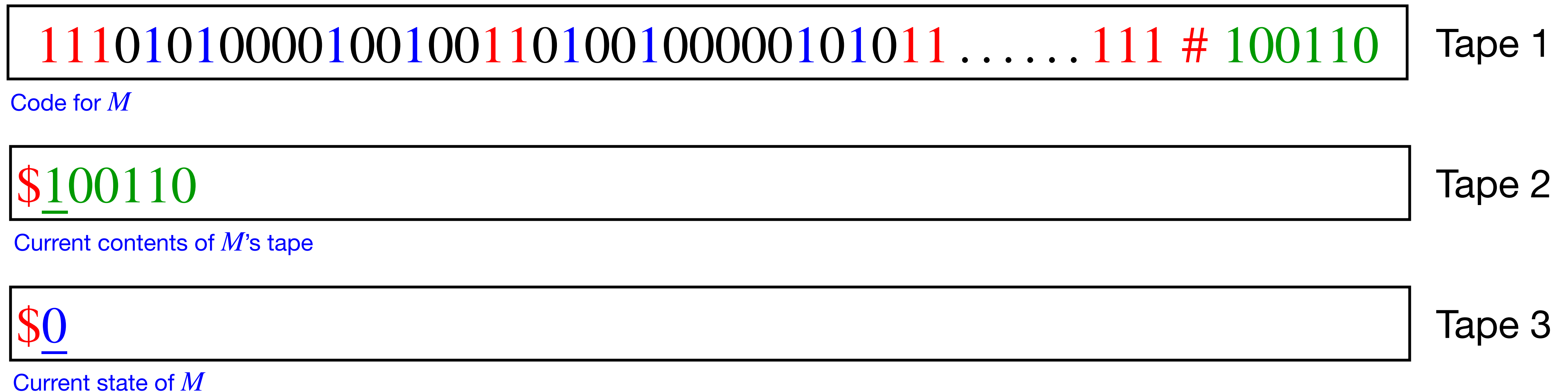
Current contents of  $M$ 's tape



# Universal Turing Machine

## How $M_u$ works - Phase 2 (initialize)

- Copy  $w$  to Tape 2
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# Universal Turing Machine

## How $M_u$ works - Phase 2 (initialize)

- Copy  $w$  to Tape 2
- Write 0 on Tape 3 indicating it is in the start state
- If at any time, Tape 3 holds 00 (or 000), then halt and accept (or reject)

11101010000100100110100100000101011 ..... 111 # 100110 Tape 1

Code for  $M$

\$100110 Tape 2

Current contents of  $M$ 's tape

\$0 Tape 3

Current state of  $M$

# Universal Turing Machine

## How $M_u$ works - Phase 3 (simulation)

- Repeatedly simulate the steps of  $M$

11101010000100100110100100000101011.....111 # 100110 Tape 1

Code for  $M$

\$100110 Tape 2

Current contents of  $M$ 's tape

\$0 Tape 3

Current state of  $M$

# Universal Turing Machine

## How $M_u$ works - Phase 3 (simulation)

- Repeatedly simulate the steps of  $M$
- Example: If tape 3 holds  $0^i$  and tape 2 is scanning 1, then search for substring  $110^i1001$  on tape 1.

11101010000100100110100100000101011.....111 # 100110 Tape 1

Code for  $M$

\$100110 Tape 2

Current contents of  $M$ 's tape

\$0 Tape 3

Current state of  $M$

# Universal Turing Machine

## How $M_u$ works - Phase 3 (simulation)

- Repeatedly simulate the steps of  $M$
- Example: If tape 3 holds  $0^i$  and tape 2 is scanning 1, then search for substring  $110^i1001$  on tape 1.

11101010000100100110100100000101011.....111 # 100110 Tape 1

Code for  $M$

\$100110 Tape 2

Current contents of  $M$ 's tape

\$0 Tape 3

Current state of  $M$

# Universal Turing Machine

## How $M_u$ works - Phase 3 (simulation)

- Repeatedly simulate the steps of  $M$
- Example: If tape 3 holds  $0^i$  and tape 2 is scanning 1, then search for substring  $110^i1001$  on tape 1.

if  $i = 1$

11101010000100100110100100000101011.....111 # 100110 Tape 1

Code for  $M$

\$100110 Tape 2

Current contents of  $M$ 's tape

\$0 Tape 3

Current state of  $M$

# Universal Turing Machine

## How $M_u$ works - Phase 3 (simulation)

- Repeatedly simulate the steps of  $M$
- Example: If tape 3 holds  $0^i$  and tape 2 is scanning 1, then search for substring  $110^i1001$  on tape 1.

if  $i = 1$

What to do next

11101010000100100110100100000101011.....111#100110

Tape 1

Code for  $M$

\$100110

Tape 2

Current contents of  $M$ 's tape

\$0

Tape 3

Current state of  $M$

# Universal Turing Machine

How  $M_u$  works - Phase 3 - (simulation, after a single move)

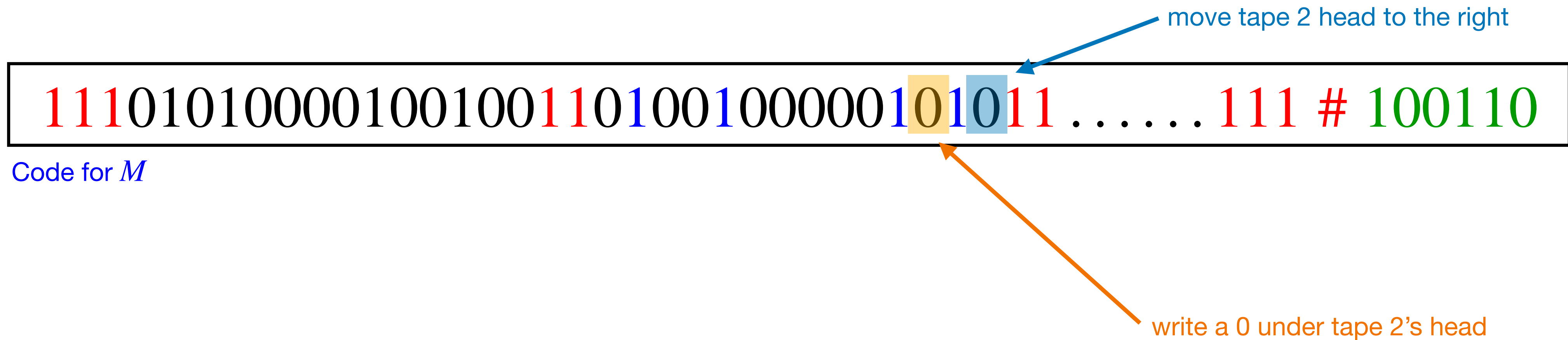
11101010000100100110100100000101011.....111 # 100110

Code for  $M$



# Universal Turing Machine

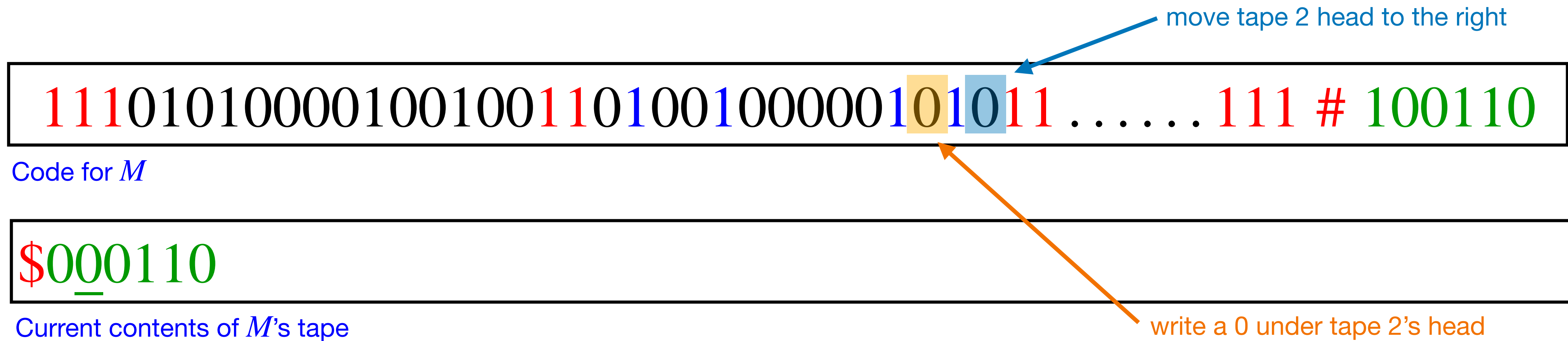
## How $M_u$ works - Phase 3 - (simulation, after a single move)



Code for  $M$

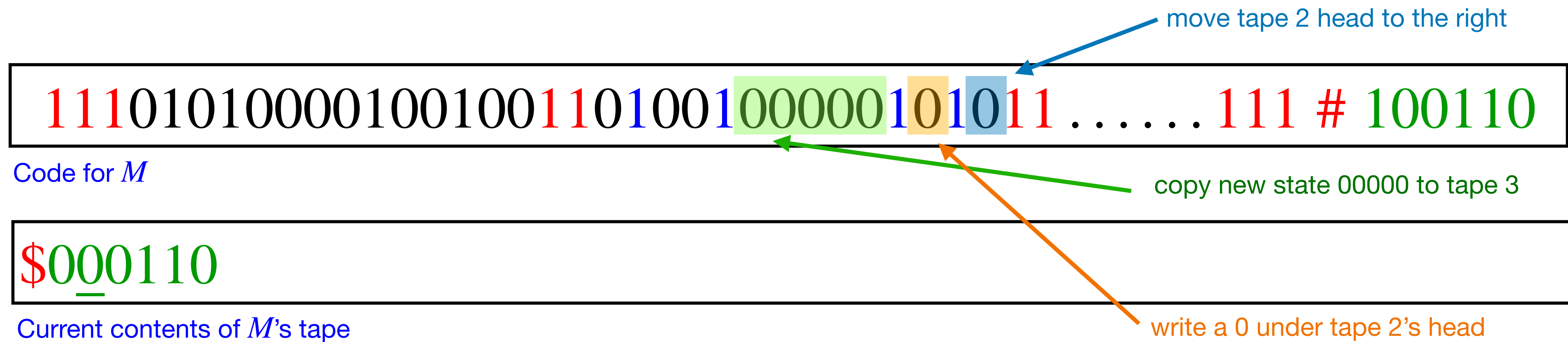
# Universal Turing Machine

## How $M_u$ works - Phase 3 - (simulation, after a single move)



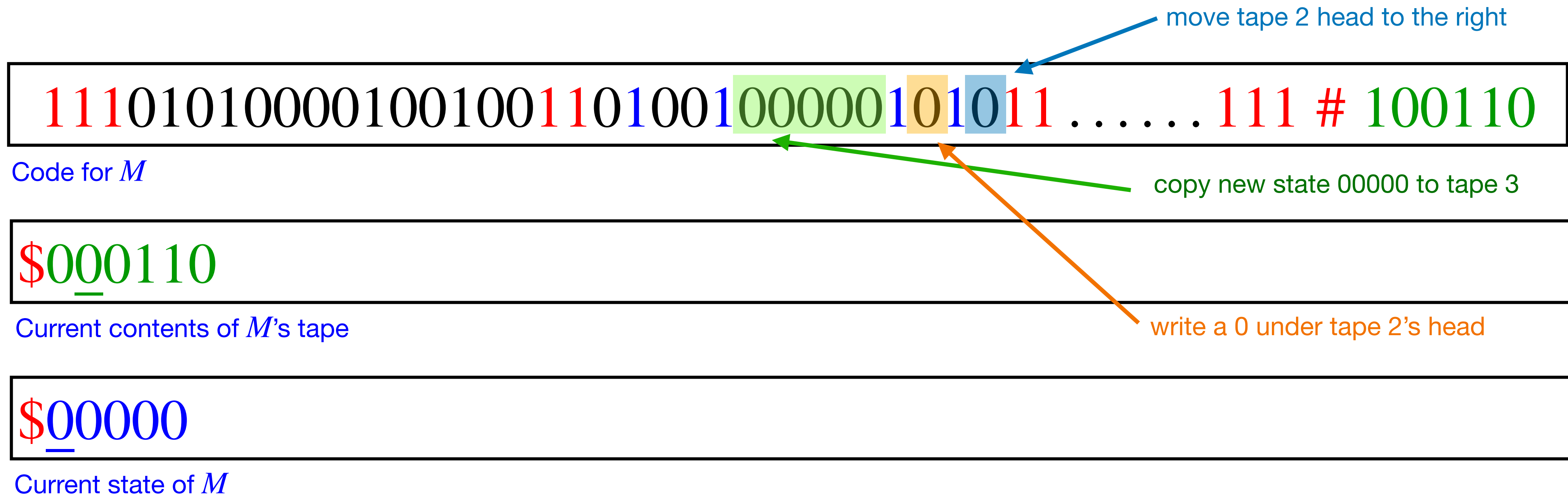
# Universal Turing Machine

## How $M_u$ works - Phase 3 - (simulation, after a single move)



# Universal Turing Machine

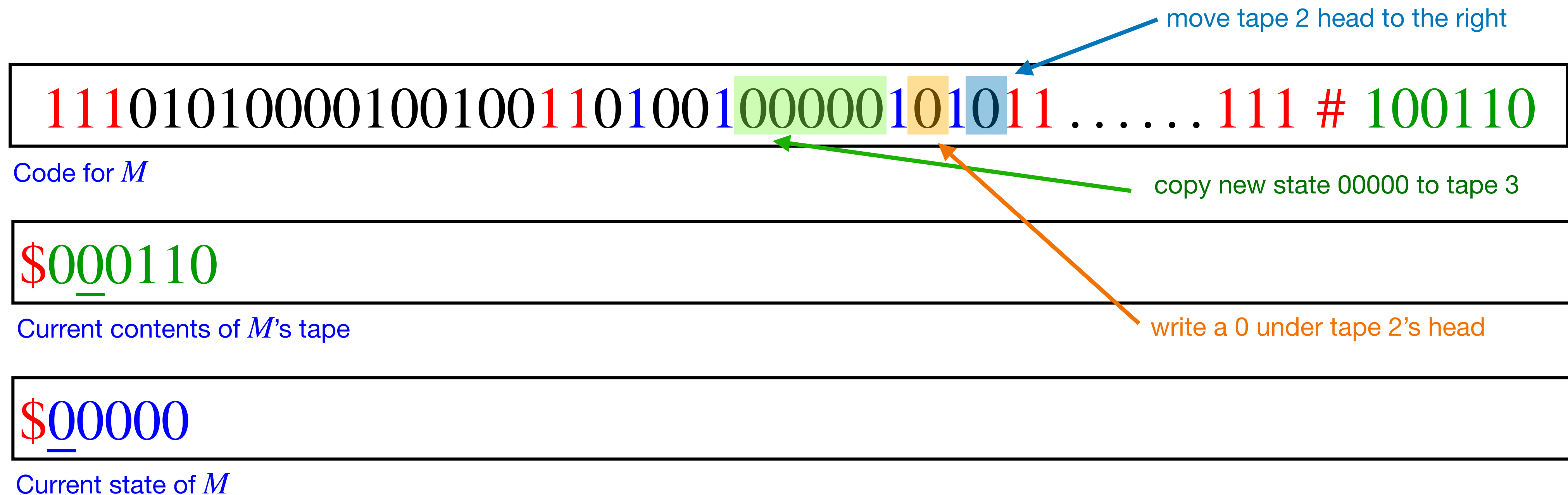
## How $M_u$ works - Phase 3 - (simulation, after a single move)



# Universal Turing Machine

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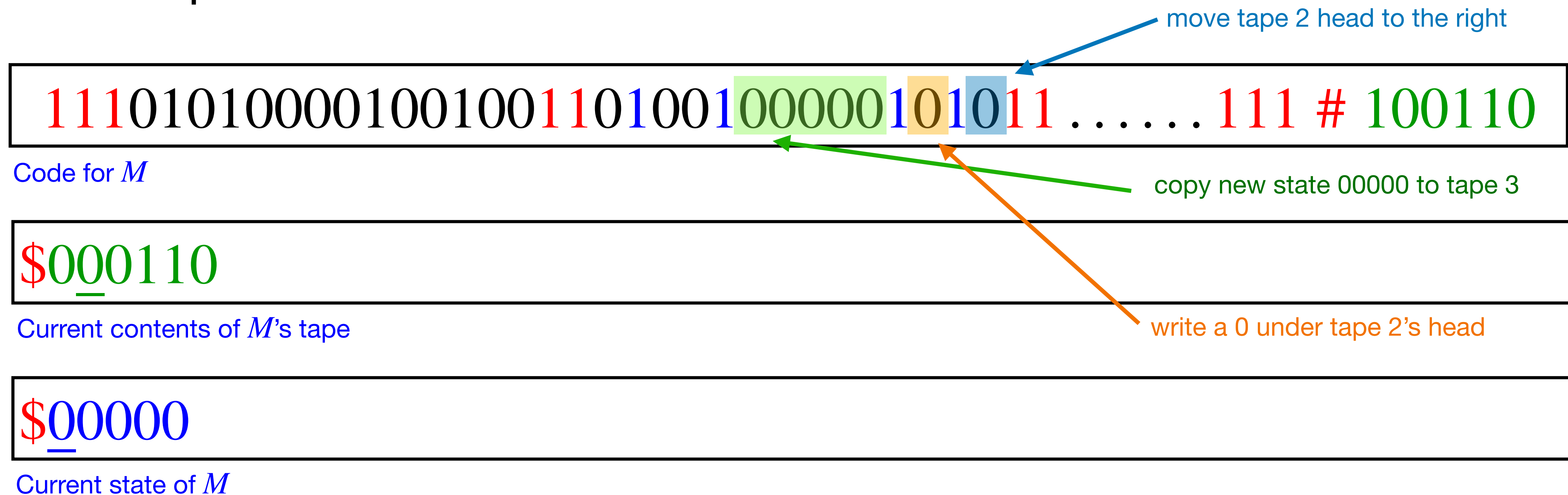
- Check if 00 or 000 is on tape 3; if so, halt and accept or reject



# Universal Turing Machine

## How $M_u$ works - Phase 3 - (simulation, after a single move)

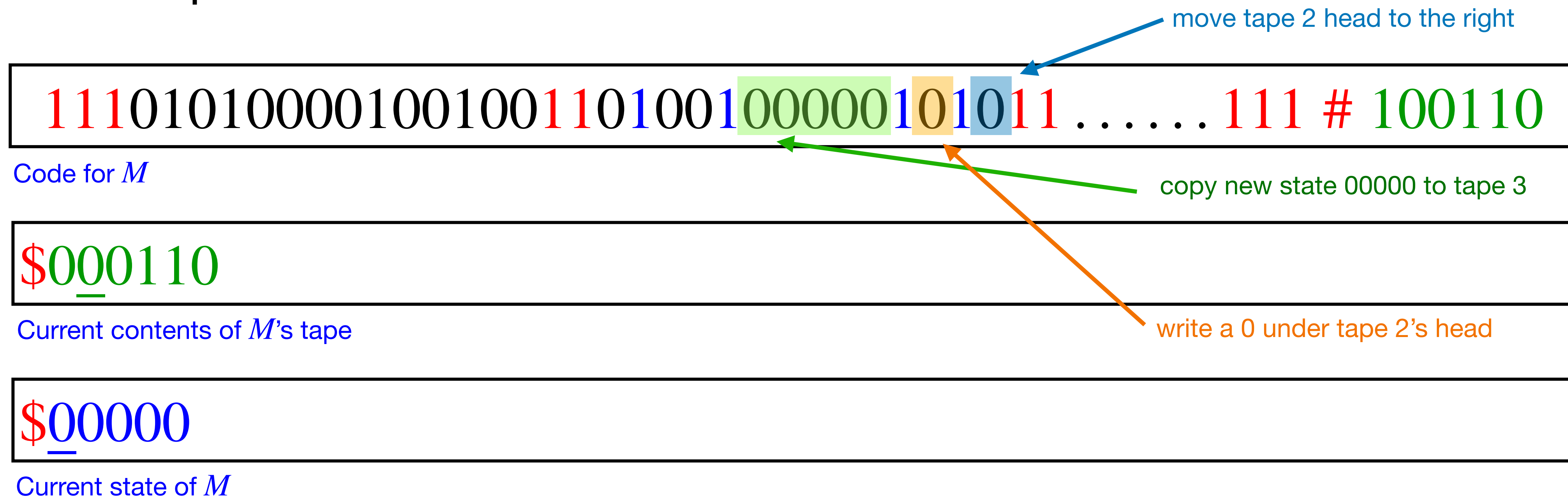
- Check if 00 or 000 is on tape 3; if so, halt and accept or reject
- Otherwise, simulate the next move by searching for pattern. In this example, the next pattern = 1100000101



# Universal Turing Machine

## How $M_u$ works - Phase 3 - (simulation, after a single move)

- Check if 00 or 000 is on tape 3; if so, halt and accept or reject
- Otherwise, simulate the next move by searching for pattern. In this example, the next pattern = 1100000101



**Keeps repeating ...**



# Examples

[https://rosettacode.org/wiki/Universal\\_Turing\\_machine#Python](https://rosettacode.org/wiki/Universal_Turing_machine#Python)

<https://pastebin.com/raw/JqZGrddK>