

1. Given an arbitrary regular language L on some alphabet Σ , prove that it is closed under the following operations. In other words, prove the following languages are regular.

(a) $L^R = \{w^R \mid w \in L\}$

Solution: Since L is regular, we know that a DFA $M = (Q, \Sigma, \delta, s, A)$ recognizes L . We construct an NFA $M^R = (Q^R, \Sigma, s^R, \delta^R, A^R)$ as follows:

$$Q^R = Q \uplus \{s^R\} \quad (\text{Here, } \uplus \text{ represents disjoint union.})$$

$$\delta^R(s^R, \varepsilon) = A$$

$$\delta^R(s^R, a) = \emptyset \text{ for all } a \in \Sigma$$

$$\delta^R(q, \varepsilon) = \emptyset \text{ for all } q \in Q$$

$$\delta^R(q, a) = \{q' \in Q \mid \delta(q', a) = q\} \text{ for all } q \in Q, a \in \Sigma$$

$$A^R = \{s\}.$$

M^R effectively reverses the transitions in M . The sentinel start state s^R with outgoing ε -transitions to all accepting states allows the NFA to effectively start at every accepting state in M . (Note that, by definition, a DFA/NFA can only have one starting state.) Because M^R recognizes L^R , L^R is regular. ■

- (b) $\text{subseq}(L) := \{x \in \Sigma^* \mid x \text{ is a subsequence of some } y \in L\}$.

Solution: Construct NFA $M_{\text{subseq}} := (\Sigma, Q, s, A, \delta_{\text{subseq}})$, where

- $\forall q \in Q, c \in \Sigma, \delta_{\text{subseq}}(q, c) := \{\delta(q, c), \varepsilon\}$

To construct a subsequence out of its original sequence, one may use empty ε to replace any amount of symbols in it, which is demonstrated by the idea of adding ε -transitions to all the existed transitions. ■

2. Let

$$\Sigma_3 = \left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \dots, \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right\}.$$

Σ_3 contains all size 3 columns of 0s and 1s. A string of symbols in Σ_3 gives three rows of 0s and 1s. Consider each row to be a binary number and let

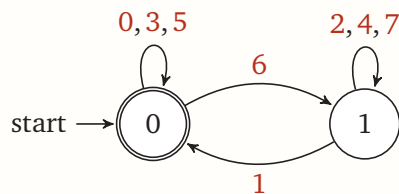
$$B = \{w \in \Sigma_3^* \mid \text{the bottom row of } w \text{ is the sum of the top 2 rows}\}.$$

For example,

$$\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} \in B, \quad \text{but} \quad \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \notin B.$$

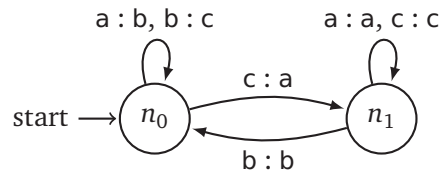
Show that B is regular. (Hint: Working with B^R is easier. Use the result of part (a).)

Solution: One possible solution approach is to simulate long addition, where the carry bits are kept track of via the states in the constructed automaton. Let each symbol in Σ_3 be denoted by their corresponding decimal value as if reading top to bottom were the same as left to right. For example, $\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$ would be 5. We construct an NFA M , given by the following diagram:



M accepts B^R , which implies that B^R is regular. Because $(B^R)^R = B$, by part (a), B is regular. ■

3. A *finite-state transducer* (FST) is a type of deterministic finite automaton whose output is a string instead of just *accept* or *reject*. The following is the state diagram of finite state transducer FST_0 .



Each transition of an FST is labeled at least an input symbol and an output symbol, separated by a colon (:). There can also be multiple input-output pairs for each transitions, separated by a comma (,). For instance, the transition from n_0 to itself can either take a or b as an input, and outputs b or c respectively.

When an FST computes on an input string $s := \overline{s_0 s_1 \dots s_{n-1}}$ of length n , it takes the input symbols s_0, s_1, \dots, s_{n-1} one by one, starting from the starting state, and produces corresponding output symbols. For instance, the input string $abcba$ produces the output string $bcacbb$, while $cbaabc$ produces $abbcca$.

- (a) Assume that FST's have an input alphabet Σ and an output alphabet Γ , give a formal definition of this type of model and its computation. (Hint: An FST is a 5-tuple with no accepting states. Its transition function is of the form $\delta : Q \times \Sigma \rightarrow Q \times \Gamma$.)

- (b) **Solution:** Formal definition: $FST := (\Sigma, \Gamma, Q, \delta, s)$, where

- Σ is the input alphabet,
- Γ is the output alphabet,
- Q is the set of all states,
- $s \in Q$ is the start state,
- $\delta : Q \times \Sigma_1 \rightarrow Q \times \Gamma_1$ is the transition function. $\forall q_1, q_2 \in Q$, denote the transition between them as $a : b$, where $a \in \Sigma_1, b \in \Gamma_1$, and

$$\delta(q_1, a) = (q_2, b)$$

■

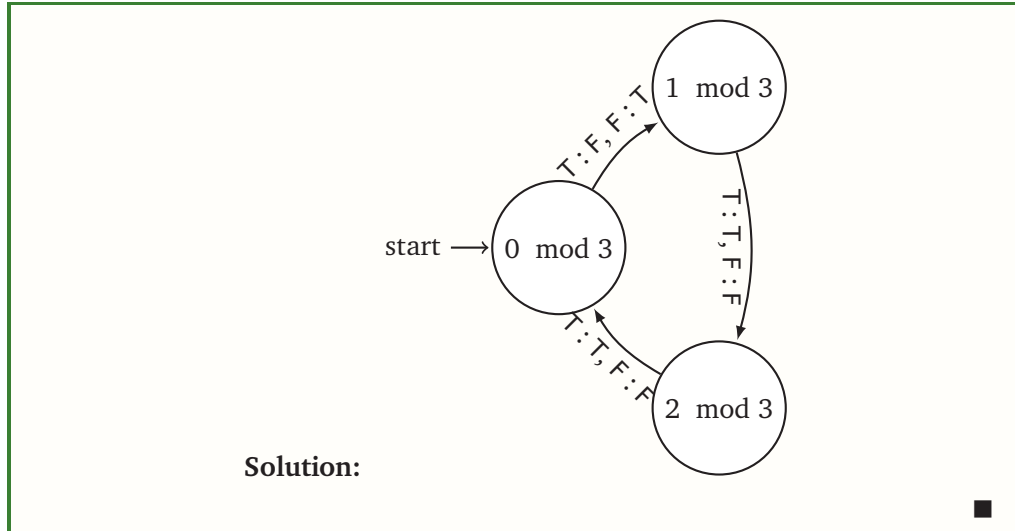
- (c) Give a formal description of FST_0 .

Solution: $FST_0 := (\Sigma_0, \Gamma_0, Q_0, \delta_0, s_0)$, where

- $\Sigma_0 := \{a, b, c\}$,
- $\Gamma_0 := \{a, b, c\}$,
- $Q_0 := \{n_0, n_1\}$,
- $s_0 := n_0$ is the start state.
- $\delta_0 : Q_0 \times \Sigma_0 \rightarrow Q_0 \times \Gamma_0$ is defined as,

$$\begin{array}{lll} \delta_0(n_0, a) = (n_0, b), & \delta_0(n_0, b) = (n_0, c), & \delta_0(n_0, c) = (n_1, a), \\ \delta_0(n_1, a) = (n_1, a), & \delta_0(n_1, b) = (n_0, b), & \delta_0(n_1, c) = (n_1, c). \end{array}$$

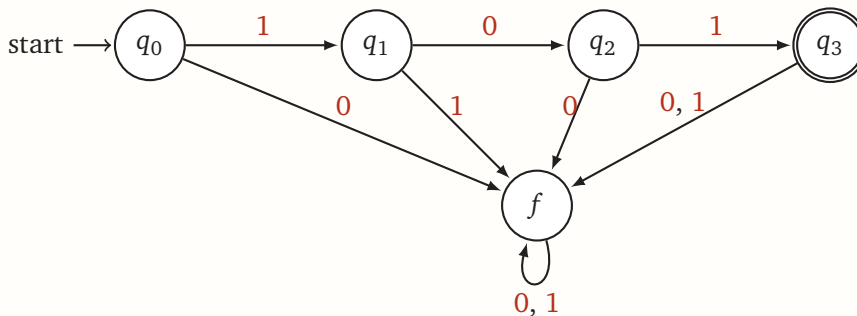
- (d) Give a state diagram of an FST with the following behavior. Its input and output alphabets are $\{T, F\}$. Its output string is inverted on the positions with indices divisible by 3 and is identical on all the other positions. For instance, on an input TFTTFTFT it should output FFTFTTTT.



4. **Another language transformation:** Given an arbitrary regular language L on some alphabet Σ , prove that it is closed under the following operation:

$$\text{cycle}(L) := \{xy \mid x, y \in \Sigma^*, yx \in L\} \quad (1)$$

Solution: The given language $\text{cycle}(L)$ is a set of strings that can be obtained by splitting a string $w \in L$ into two parts and swapping the order of the parts. As an example, if $L = \{101\}$, then $\text{cycle}(L) = \{101, 011, 110\}$. To get the idea, consider the following DFA $M = (\Sigma, Q, s, A, \delta)$ for the language L .



Suppose we start from the state q_2 instead of q_0 , traverse through the DFA to reach q_3 , take an ϵ -transition to q_0 , then continue traversal until reaching back to q_2 . This traversal would represent the string **110**, which is in $\text{cycle}(L)$. Therefore, if we could start from an arbitrary state $q \in Q$ and traverse the DFA in a similar way as presented above, the traversals would represent the language $\text{cycle}(L)$.

At a high-level, we construct an NFA with $|Q|$ different copies of a pair of M (therefore, it would be the total of $2|Q|$ copies of M). Each pair would correspond to a certain starting state, among all states in Q . For each pair, one copy of M corresponds to pre-cycle, and the other corresponds to post-cycle. We also add a pseudo start state s' that can ϵ -transition to one of the copies. Then, we modify the transition function so it allows the traversal explained above.

Formally, we construct NFA $M' := (\Sigma, Q', s', A', \delta')$, where

- $Q' := (Q \times Q \times \{pre, post\}) \cup \{s'\}$
- $A' := \{(q, q, post) \mid q \in Q\}$
- The transition function δ' is defined as follows,

$$\delta'(s', \epsilon) = \{(q, q, pre) \mid q \in Q\}$$

$$\delta'((q_i, q_j, pre), x) = \begin{cases} (q_i, s, post) & \text{if } q_j \in A, x = \epsilon \\ (q_i, \delta(q_j, x), pre) & \text{otherwise} \end{cases}$$

$$\delta'((q_i, q_j, post), x) = (q_i, \delta(q_j, x), post)$$

A state $q' = (q_i, q_j, pre)$, for an example, represents that the traversal started from q_i , so far the input string led to q_j , and we haven't cycled yet. Once we reach one of

the original accepting states within a pre-cycle copy, we can take an ϵ -transition to the original starting state s of the corresponding post-cycle copy, and then continue traversal. We accept when we reach the state from which we started the traversal within the post-cycle copy. ■