





# ~~ECE-374-B~~: Lecture 0 - Logistics and Strings/Languages

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Lecturer: Nickvash Kani


August 22, 2023

University of Illinois at Urbana-Champaign

# Course Administration

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# Instructional Staff

- **Instructor:**
  - Nickvash Kani
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- **Teaching Assistants:**
  - Sung Woo Jeon
  - Sindhu Vydana
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  - Weiyang Wang
  - Jack Chen
  - Yueyi Shen
  - Haoyuan You
- **Office hours:** TBD, See course webpage
- **Contacting us:** Use private notes on Piazza to reach course staff. Direct email only for sensitive or confidential information. 

## Section A vs B

This semester, the two sections will be run completely **independently**.

- Different lectures.
- Different homeworks, quizzes, exams.
- Different grading policies.

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Section B will be in-person only. Recordings will be attempted but not guaranteed.

# Online resources

- **Webpage:** General information, announcements, homeworks, quizzes, course policies  
<https://ecealgo.com>
- **Submission(Gradescope):** Written homework submission and grading, regrade requests. Exams will be uploaded there as well.
- **Communication(Piazza):** Announcements, online questions and discussion, contacting course staff (via private notes)
- **Gradebook (Canvas):** Announcements, online questions and discussion, contacting course staff (via private notes)

See course webpage for links

**Important:** check Piazza/course web page at least once each day

# Discussion Sessions/Labs

- 50min problem solving session led by TAs
- Two times a week
- Go to your assigned discussion section
- Bring pen and paper!



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- Two times a week
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- Bring pen and paper!

Discussion sections will have questions that appear on the homework. If, you skip, you're just making more work for yourself later.

# Any questions

Again all policy information should be on course website:  
<https://ecealgo.com>


Any questions?

# Over-arching course questions

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# High-Level Questions

This course introduces three distinct fields of computer science research:

- Computational complexity.
  - Given infinite time and a certain machine, is it possible to solve a given problem.
- Algorithms
  - Given a deterministic Turing machine, how fast can we solve certain problems.
- Limits of computation. 
  - Are there tasks that our computers cannot do and how do we identify these problems?

# Why not just focus on Algorithms?

When someone asks you, "How fast can you compute problem  $X$ ", they are actually asking:

- Is  $X$  solvable using the deterministic Turing machines we have at our disposal?
- If it is solvable, can we find the solution efficiently (in poly-time)?
- If it is solvable but we don't have a poly time solution, what problem(s) is it most similar too?

# Course Structure

Course divided into three parts:

- Basic automata theory: finite state machines, regular languages, hint of context free languages/grammars, Turing Machines
- Algorithms and algorithm design techniques
- Undecidability and NP-Completeness, reductions to prove intractability of problems

Week	Tuesday Lecture	Wed Lab	Thursday Lecture	Fri Lab
Aug 23-26	Adminis trivia and course goals Introduction and history (Sari's Videos, Lec 1 e 1) (B: slides, scribbles, video) DFAs: intuition, definitions, closure properties	String Induction (Sari's Induction notes) Chandra's induction notes e 1 (solutions) (recording)	Languages and regular expressions (Sari's Videos, Lec 2 e 1) (B: slides, scribble)	Regular expressions (solutions) (recording)
Aug 30 - Sep 2	Automata Tester e, FLAP e, Mahesh's DFA notes e, Sari's Videos, Lec 3 e 1 (B: slides, scribble)	DFA construction (solutions) (recording)	Non-Determinism, NFAs (Sari's Videos, Lec 4 e 1) (B: slides, scribble)	Language transformations (solutions) (recording)
Sep 6-9	Equivalence of DFAs, NFAs, and regular expressions (Sari's Videos, Lec 5 e 1) (B: slides, scribble)	Regex to NFA to DFA (to Regex) (solutions) (recording)	Tooling Sets and Proving Non-Regularity (Mahesh's DFA notes e, Fall 2015 The Tooling Sets Notes e, Sari's Videos, Lec 6 e 1) (B: slides, scribble)	Proving Non-Regularity (solutions) (recording)
Sep 13-16	Context-free languages and grammars (Sari's Videos, Lec 7 e 1) (B: slides, scribble)	Context-free grammars (solutions) (recording)	Turing machines: history, formal definitions, examples, variations (Sari's Videos, Lec 8 e 1) (B: slides, scribble)	Turing Machines (solutions) (recording)
Sep 20-23	Universal Turing machines (Sari's Videos, Lec 8 e 1) (B: slides, scribble)	Midterm 1 Review (effectively office hours)	Midterm 1 - Thursday Sep 22 12:30-13:45 (skill set, folder, cheat sheet)	No Instruction
Sep 27-30	Reductions & Recursion (Sari's Videos, Lec 10 e, Notes on Solving Recurrences e 1) (B: slides, scribbles)	Binary search (solutions) (recording)	Divide and conquer: Selection, Saratouba (Sari's Videos, Lec 11 e 1) (B: slides, scribbles)	Divide and Conquer (solutions) (recording)
Oct 4-7	Backtracking (Sari's Videos, Lec 12 e 1) (B: slides, scribbles)	Backtracking (solutions) (recording)	Dynamic programming (Sari's Videos, Lec 13 e 1) (B: slides, scribbles)	Dynamic programming (solutions) (recording)
Oct 11-14	More Dynamic programming (Sari's Videos, Lec 14 e 1) (B: slides, scribbles)	More Dynamic programming (solutions) (recording)	Graphs, Basic Search (Chandra's Graph notes e, Sari's Videos, Lec 15 e 1) (B: slides, scribbles)	Even more DP (solutions) (recording)
Oct 18-21	Directed Graphs, DFS, DAGs and Topological Sort (Chandra's Graph notes e, Sari's Videos, Lec 16 e 1) (B: slides, scribbles)	Graph Modeling (solutions) (recording)	Shortest Paths: BFS and Dijkstra (Chandra's Graph notes e, Sari's Videos, Lec 17 e 1) (B: slides, scribbles)	Shortest Paths (solutions) (recording)
Oct 25-28	Bellman-Ford, Dynamic Programming on DAGs (Chandra's Graph notes e, Sari's Videos, Lec 18 e 1) (B: slides, scribbles)	More Shortest Paths (solutions) (recording)	MST Algorithms (B: slides, scribbles)	MST (solutions) (recording)
Nov 1-4	Midterm 2 (Recursion/DP/Graph Algorithms) - Tuesday, Nov 1 12:30-13:45 (skill set, folder, cheat sheet)	No Instruction	Reductions (Sari's Videos, Lec 21 e 1) (B: slides, scribbles)	Reductions (solutions) (recording)
Nov 8-11	SAT, NP and NP-Hardness e (Sari's Videos, Lec 23-24 e 1) (B: slides, scribbles)	NP-hardness reductions (solutions) (recording)	More NP-Hardness (Sari's Videos, Lec 23-24 e 1) (B: slides, scribbles)	More NP-Hardness (solutions) (recording)
Nov 15-18	Undecidability 1 (Sari's Videos, Lec 9 e 1) (B: slides, scribbles)	Undecidability reductions (solutions) (recording)	Undecidability 2 (Sari's Videos, Lec 9 e 1) (B: slides, scribbles)	No Instruction
Fall Break (Nov 19-27). Have fun.				
Nov 29 - Dec 2	Optional review for Midterm 3 (B: slides, scribbles)	Optional Review for midterm 3 (effectively office hours)	Midterm 3 (Reductions/P-NP/Decidability) - Thursday, Dec 1 15:30-16:45 (skill set, folder, cheat sheet)	
Dec 6-9	Wrap-up, closing remarks Optional review for Final Exam (B: slides, scribbles)	Optional Review for final exam	Reading Day ICES Forms Due	
Final Exam - TBD (Skill set, cheat sheet)				

# Goals

- Algorithmic thinking

- Learn/remember some basic tricks, algorithms, problems, ideas
- Understand/appreciate limits of computation (intractability)
- Appreciate the importance of algorithms in computer science and beyond (engineering, mathematics, natural sciences, social sciences, ...)

# Formal languages and complexity (The Blue Weeks!)

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# Why Languages?

First 5 weeks devoted to language theory.

# Why Languages?

First 5 weeks devoted to language theory.

But why study languages?

# Multiplying Numbers

Consider the following problem:

**Problem** Given two  $n$ -digit numbers  $x$  and  $y$ , compute their product.

## Grade School Multiplication

Compute “partial product” by multiplying each digit of  $y$  with  $x$  and adding the partial products.

$$\begin{array}{r} 3141 \\ \times 2718 \\ \hline 25128 \\ 3141 \\ 21987 \\ 6282 \\ \hline 8537238 \end{array}$$

*mult* *sum*

$$O(n^2 + 2n)$$
$$\equiv O(n^2)$$

# Time analysis of grade school multiplication

- Each partial product:  $\Theta(n)$  time
- Number of partial products:  $\leq n$
- Adding partial products:  $n$  additions each  $\Theta(n)$  (Why?)
- Total time:  $\Theta(n^2)$
- Is there a faster way?

# Fast Multiplication

- $O(n^{1.58})$  time [Karatsuba 1960] disproving Kolmogorov's belief that  $\Omega(n^2)$  is best possible
- $O(n \log n \log \log n)$  [Schönhage-Strassen 1971].  
**Conjecture:**  $O(n \log n)$  time possible
- $O(n \log n \cdot 2^{O(\log^* n)})$  time [Furer 2008]
- $O(n \log n)$  [Harvey-van der Hoeven 2019]

Can we achieve  $O(n)$ ? No lower bound beyond trivial one!

# Equivalent Complexity

Does this mean multiplication is as complex as another problem that has a  $O(n \log n)$  algorithm like sorting/QuickSort?

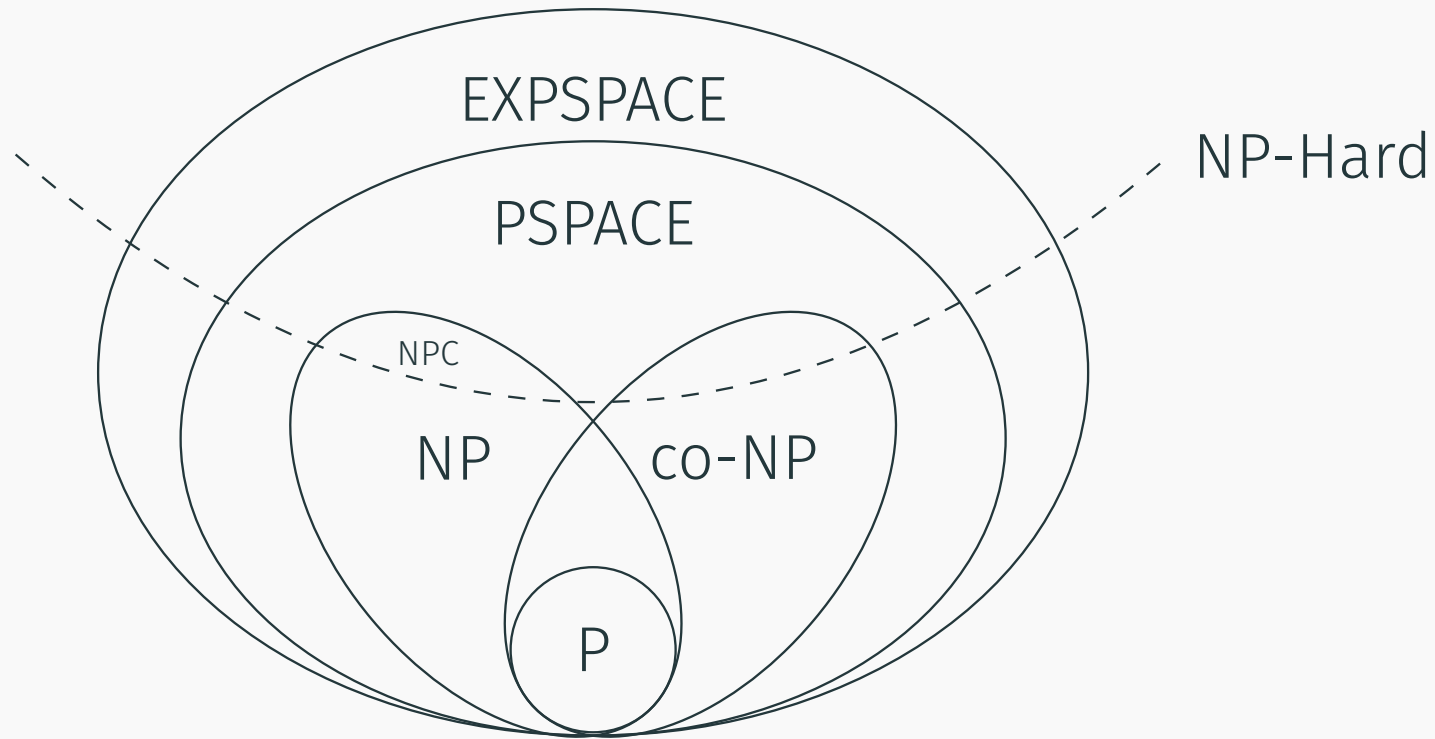
# Equivalent Complexity

Does this mean multiplication is as complex as another problem that has a  $O(n \log n)$  algorithm like sorting/QuickSort? How do we compare? The two problems have:

- Different inputs (two numbers vs n-element array)
- Different outputs (a number vs n-element array)
- Different entropy characteristics (from an information theory perspective)

# Languages, Problems and Algorithms ... oh my! II

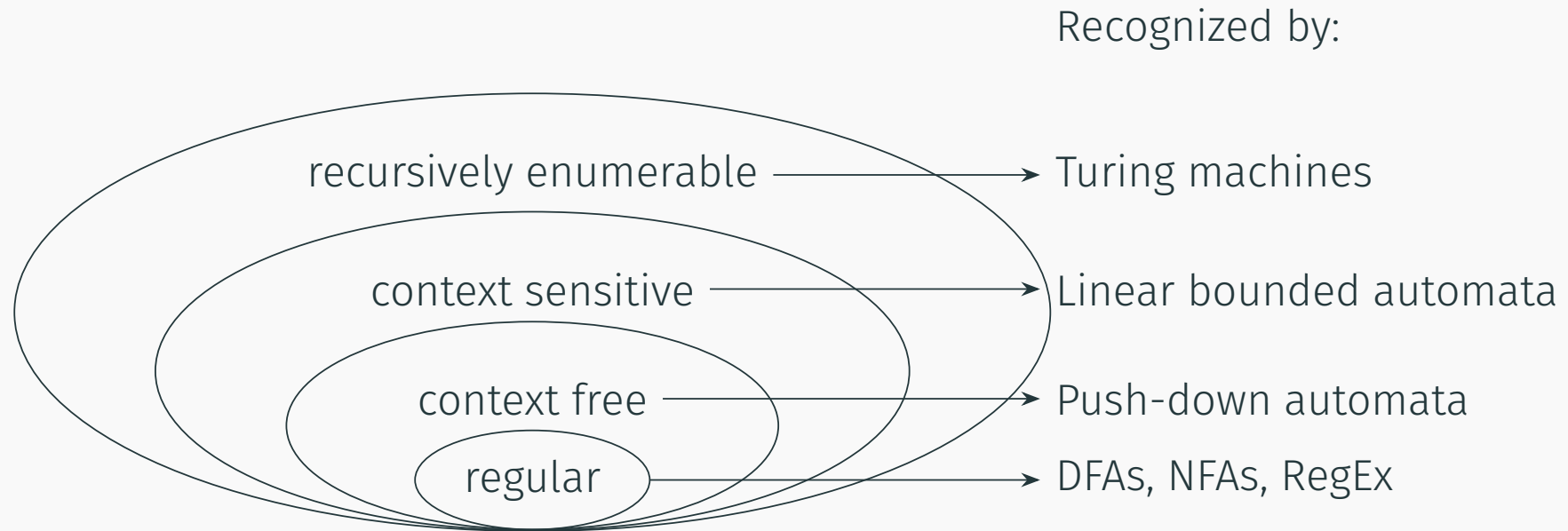
An algorithm has a runtime complexity.





# Languages, Problems and Algorithms ... oh my! III

A problem has a complexity class!



Problems do not have run-time since a problem  $\neq$  the algorithm used to solve it. *Complexity classes are defined differently.*

How do we compare problems? What if we just want to know if a problem is "computable".

# Algorithms, Problems and Languages ... oh my! I

## Definition

1. An **algorithm** is a step-by-step way to solve a problem.
2. A **problem** is some question that we'd like answered given some input. It should be a decision problem of the form "Does a given input fulfill property X."
3. A **Language** is a set of strings. Given a alphabet,  $\Sigma$  a language is a subset of  $\Sigma^*$

# Algorithms, Problems and Languages ... oh my! I

## Definition

1. An **algorithm** is a step-by-step way to solve a problem.
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3. A **Language** is a set of strings. Given a alphabet,  $\Sigma$  a language is a subset of  $\Sigma^*$  A language is a formal realization of this problem. For problem X, the corresponding language is:

$L = \{w \mid w \text{ is the encoding of an input } y \text{ to problem } X \text{ and the answer to input } y \text{ for a problem } X \text{ is "YES"} \}$

A decision problem X is "YES" is the string is in the language.

# Language of multiplication

How do we define the multiplication problem as a language?

Define  $L$  as language where inputs are separated by comma and output is separated by  $|$ .

Machine accepts a  $x*y=z$  if " $x*y|z$ " is in  $L$ . Rejects otherwise.

# Language of multiplication

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$$L_{MULT2} = \left\{ \begin{array}{lll} 1 \times 1|1, & 1 \times 2|2, & 1 \times 3|3, \dots \\ 2 \times 1|2, & 2 \times 2|4, & 2 \times 3|6, \dots \\ \vdots & \vdots & \vdots \\ n \times 1|n, & n \times 2|2n, & n \times 3|3n, \dots \end{array} \right\} \quad (1)$$

# Language of sorting

We do the same thing for sorting.

Define L as language where inputs are separated by comma and output is separated by |.

Machine accepts a  $[i_1, i_2, \dots] = \text{sort}(\{i_1, i_2, \dots\})$  if "x[]|z[]" is in L.  
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$$L_{\text{Sort2}} = \left\{ \begin{array}{ccc} 1, 1|1, 1 & 1, 2|1, 2 & 1, 3|1, 3, \dots \\ 2, 1|1, 2, & 2, 2|2, 2, & 2, 3|2, 3, \dots \\ \vdots & \vdots & \vdots \\ n, 1|1, n, & n, 2|2, n, & n, 3|3, n, \dots \end{array} \right\} \quad (2)$$

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If the same type of machine can recognize both languages, then that gives us an upperbound to their hardness.



How do we formulate languages?

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# Strings

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# Alphabet

An **alphabet** is a **finite** set of symbols.

Examples of alphabets:

- $\Sigma = \{0, 1\}$ ,
- $\Sigma = \{a, b, c, \dots, z\}$ ,
- ASCII.
- UTF8.
- $\Sigma =$   
 $\{\langle(w)forward\rangle, \langle(a)strafe\ left\rangle, \langle(s)back\rangle, \langle(d)strafe\ right\rangle\}$

# String Definition

## Definition

1. A **string/word** over  $\Sigma$  is a **finite sequence** of symbols over  $\Sigma$ . For example, '0101001', '*string*', ' $\langle \text{moveback} \rangle \langle \text{rotate90} \rangle$ '
2.  $x \cdot y \equiv xy$  is the concatenation of two strings
3. The **length** of a string  $w$  (denoted by  $|w|$ ) is the number of symbols in  $w$ . For example,  $|101| = 3$ ,  $|\epsilon| = 0$
4. For integer  $n \geq 0$ ,  $\Sigma^n$  is set of all strings over  $\Sigma$  of length  $n$ .  
 $\Sigma^*$  is the set of all strings over  $\Sigma$ .  $\Sigma^n = \Sigma \cdot \Sigma \cdot \Sigma \cdot \dots \cdot \Sigma$   
 $\Sigma^0 = \epsilon$ ,  $\Sigma^1 = \Sigma$
5.  $\Sigma^*$  set of all strings of all lengths including empty string.

**Question:**  $\{ '0', '1' \}^* = \{ 0, 1, 00, 01, 10, 11, 000, 001, 010, \dots \}$

# Emptiness

- $\epsilon$  is a **string** containing no symbols. It is not a set
- $\{\epsilon\}$  is a **set** containing one string: the empty string. It is a set, not a string.
- $\emptyset$  is the **empty set**. It contains no strings.

$|\{\epsilon\}| = 1$   
 $|\{\emptyset\}| = 1$

**Question:** What is  $|\{\emptyset\}| = 1$

$$\emptyset = \emptyset$$

# Concatenation and properties

- If  $x$  and  $y$  are strings then  $xy$  denotes their concatenation.
- **Concatenation** defined recursively :
  - $xy = y$  if  $x = \epsilon$
  - $xy = a(wy)$  if  $x = aw$
- $xy$  sometimes written as  $x \bullet y$ .
- concatenation is **associative**:  $(uv)w = u(vw)$  hence write  $uvw \equiv (uv)w = u(vw)$
- **not** commutative:  $uv$  not necessarily equal to  $vu$
- The identity element is the empty string  $\epsilon$ :

$$\epsilon u = u\epsilon = u.$$

# Substrings, prefixes, Suffixes

## Definition

$v$  is **substring** of  $w$   $\iff$  there exist strings  $x, y$  such that  $w = xvy$ .

- If  $x = \epsilon$  then  $v$  is a **prefix** of  $w$   $w = vy$
- If  $y = \epsilon$  then  $v$  is a **suffix** of  $w$   $w = xv$

# Subsequence

A subsequence of a string  $w[1..n]$  is either a subsequence of  $w[2..n]$  or  $w[1]$  followed by a subsequence of  $w[2..n]$ .

## Example

*EE37* is a subsequence of *ECE374B*



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## Example

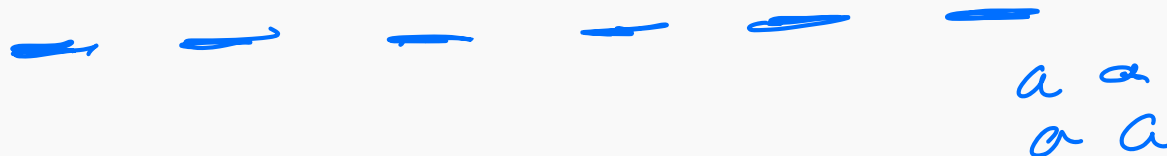
*EE37* is a subsequence of *ECE374B*

**Question:** How many sub-sequences are there in a string

$|w| = 6$ ?

$2^6$

*a a a a a a*



# String exponent

## Definition

If  $w$  is a string then  $w^n$  is defined inductively as follows:

$$w^n = \epsilon \text{ if } n = 0$$

$w \cdot w \cdot \dots \cdot w$

$$w^n = ww^{n-1} \text{ if } n > 0$$

**Question:**  $(ha)^3 =$  *ha ha ha*

# Rapid-fire questions -strings

Answer the following questions taking  $\Sigma = \{0, 1\}$ .

1. What is  $\Sigma^0$ ?  $\{\epsilon\}$

2. How many elements are there in  $\Sigma^n$ ?  $2^n$

3. If  $|u| = 2$  and  $|v| = 3$  then what is  $|u \cdot v|$ ? 5

4. Let  $u$  be an arbitrary string in  $\Sigma^*$ . What is  $\epsilon u$ ? What is  $u\epsilon$ ?

$u$

$\{ a, \dots, 0, \dots, a \}$   
Languages

~~$\{ \}$~~   
 $\{ \epsilon, 0, 1, 00, 01, 10, 11, 000, \dots \}$

$\Sigma =$  all the strings  
where  $|w| = 1$   
 $\{ 0, 1 \}$

# Languages

## Definition

A **language**  $L$  is a <sup>sub</sup> set of strings over  $\Sigma$ .<sup>\*</sup> In other words  $L \subseteq \Sigma^*$ .

# Languages

## Definition

A **language**  $L$  is a set of strings over  $\Sigma$ . In other words  $L \subseteq \Sigma^*$ .

Standard set operations apply to languages.

- For languages  $A, B$  the **concatenation** of  $A, B$  is  $AB = \{xy \mid x \in A, y \in B\}$ .
- For languages  $A, B$ , their **union** is  $A \cup B$ , **intersection** is  $A \cap B$ , and **difference** is  $A \setminus B$  (also written as  $A - B$ ).
- For language  $A \subseteq \Sigma^*$  the **complement** of  $A$  is  $\bar{A} = \Sigma^* \setminus A$ .

# Set Concatenation

## Definition

Given two sets  $X$  and  $Y$  of strings (over some common alphabet  $\Sigma$ ) the **concatenation** of  $X$  and  $Y$  is

$$XY = \{xy \mid x \in X, y \in Y\} \quad (3)$$

**Question:**  $X = \{ECE, CSU\}$ ,  $Y = \{340, 374\} \implies$

$XY = .$

$\{ECE374, CS374, ECE340, CS340\}$

# $\Sigma^*$ and languages

Definition

$$\Sigma = \{0, 1\}^2 = \{0, 1\} \cdot \{0, 1\} = \{00, 01, 10, 11\}$$

1.  $\Sigma^n$  is the set of all strings of length  $n$ . Defined inductively:

$$\Sigma^n = \{\epsilon\} \text{ if } n = 0$$

$$\Sigma^n = \Sigma \Sigma^{n-1} \text{ if } n > 0$$

$$\{\epsilon\} \cup \{0, 1\} \cup \{00, 01, 10, 11\}$$

$$\Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots \cup \Sigma^n =$$

$$\left\{ \begin{array}{l} \epsilon, 0, 1 \\ 00, 01 \\ 10, 11 \\ 000 \\ 001 \\ 010 \\ \dots \end{array} \right.$$

2.  $\Sigma^* = \bigcup_{n \geq 0} \Sigma^n$  is the set of all finite length strings

3.  $\Sigma^+ = \bigcup_{n \geq 1} \Sigma^n$  is the set of non-empty strings.

Definition

A **language**  $L$  is a set of strings over  $\Sigma$ . In other words  $L \subseteq \Sigma^*$ .

**Question:** Does  $\Sigma^*$  have strings of infinite length?



# Rapid-Fire questions - Languages

## Problem

Consider languages over  $\Sigma = \{0, 1\}$ .

1. What is  $\emptyset^0$ ?
2. If  $|L| = 2$ , then what is  $|L^4|$ ?
3. What is  $\emptyset^*$ ,  $\{\epsilon\}^*$ ?
4. For what  $L$  is  $L^*$  finite?
5. What is  $\emptyset^+$ ?
6. What is  $\{\epsilon\}^+$ ?

# Terminology Review

Let's review what we learned.

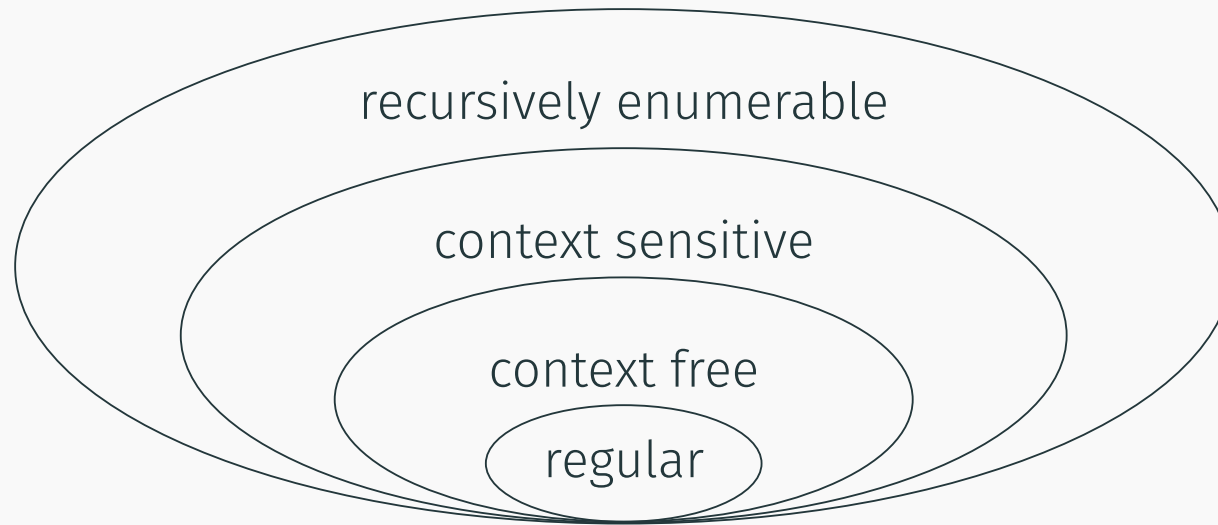
- A **character**( $a, b, c, x$ ) is a unit of information represented by a symbol: (letters, digits, whitespace)
- A **alphabet**( $\Sigma$ ) is a set of characters
- A **string**( $w$ ) is a sequence of characters
- A **language**( $A, B, C, L$ ) is a set of strings

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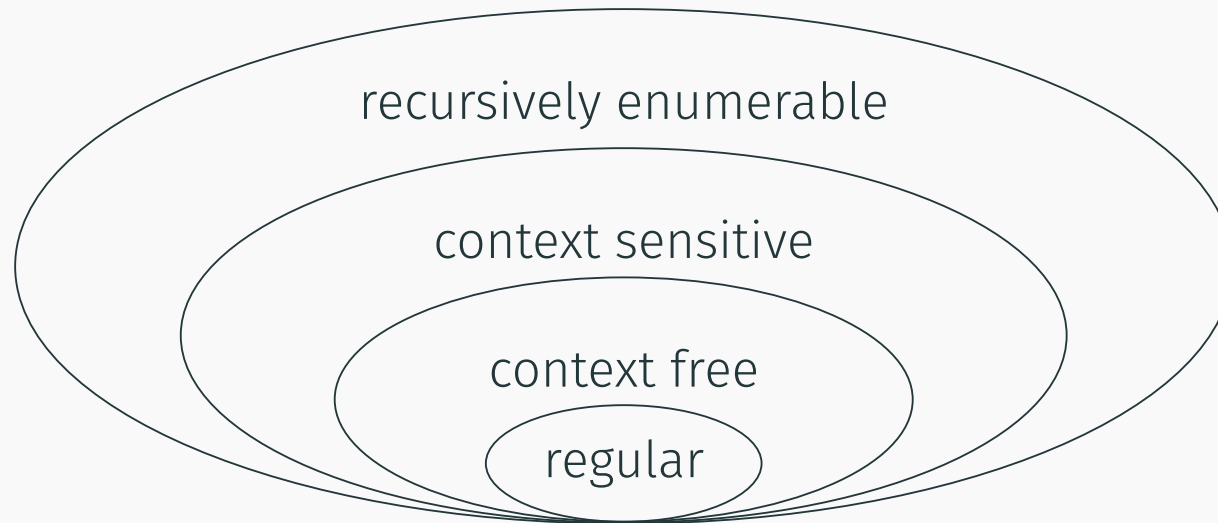
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- A **string**( $w$ ) is a sequence of characters
- A **language**( $A, B, C, L$ ) is a set of strings
- A **grammar**( $G$ ) is a set of rules that defines the strings that belong to a language

# Languages: easiest, easy, hard, really hard, really<sup>n</sup> hard



- Regular languages.
  - Regular expressions.
  - DFA: Deterministic finite automata.
  - NFA: Non-deterministic finite automata.
  - Languages that are not regular.
- Context free languages (stack).
- Turing machines: Decidable languages.
- TM Undecidable/unrecognizable languages (halting theorem).

# Languages: easiest, easy, hard, really hard, really<sup>n</sup> hard



- Regular languages.
  - Regular expressions. ← **Next lecture**
  - DFA: Deterministic finite automata.
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# That's it for now

Check the course website (<https://ecealgo.com>) for lab and hw schedule.