We saw a linear time selection algorithm in the previous lecture. Why did we choose lists of size 5? Will lists of size 3 work? (Hint) Write a recurrence to analyze the algorithm's running time if we choose a list of size k.

# ECE-374-B: Lecture 12 - Backtracking and memorization

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We saw a linear time selection algorithm in the previous lecture. Why did we choose lists of size 5? Will lists of size 3 work? (Hint) Write a recurrence to analyze the algorithm's running time if we choose a list of size k. Given an array A = [0, ..., n - 1] of *n* numbers and an index *i*, where  $0 \le i \le n - 1$ , find the *i*<sup>th</sup> smallest element of *A*.

For instance, assume n = 20 and i = 10.

 4
 3
 15
 7
 1
 17
 9
 10
 14
 13
 8
 18
 11
 2
 12
 16
 6
 19
 5
 20

The smallest element of rank 10 would be 11. But how do we figure that out

Do median of medians.....

Call Median-of-Medians(A, 10)

Given an array A = [0, ..., n - 1] of *n* numbers and an index *i*, where  $0 \le i \le n - 1$ , find the *i*<sup>th</sup> smallest element of *A*.

For instance, assume n = 20 and i = 10.

 4
 3
 15
 7
 1
 17
 9
 10
 14
 13
 8
 18
 11
 2
 12
 16
 6
 19
 5
 20

The smallest element of rank 10 would be 11. But how do we figure that out

Do median of medians.....

Call Median-of-Medians(A, 10)

First thing we need to do is find the pivot!

Given an array A = [0, ..., n - 1] of *n* numbers and an index *i*, where  $0 \le i \le n - 1$ , find the *i*<sup>th</sup> smallest element of *A*.

For instance, assume n = 20 and i = 10.

 4
 3
 15
 7
 1
 17
 9
 10
 14
 13
 8
 18
 11
 2
 12
 16
 6
 19
 5
 20

The smallest element of rank 10 would be 11. But how do we figure that out

Do median of medians.....

Call Median-of-Medians(A, 10)

First thing we need to do is find the pivot!

#### First we reorganize:

4	17	8	16	
3	9	18	6	
15	10	11	19	
7	14	2	5	
1	13	12	20	

#### First we reorganize:

4	17 8		16
3	9	18	6
15	10	11	19
7	14	2	5
1	13	12	20

## Then we sort each column:

1	1 9 2		5
3	10	8	6
4	13	11	16
7	14	12	19
15	17	18	20

#### First we reorganize:

4	17	8	16
3	9	18	6
15	10	11	19
7	14	2	5
1	13	12	20

#### Then we sort each column:

1	9 2		5
3	10	8	6
4	13	11	16
7	14	12	19
15	17	18	20

Still need the pivot. Find median of medians

# Review linear time selection

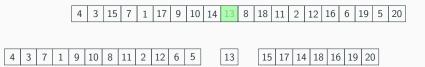
1	9	2	5
3	10	8	6
4	13	11	16
7	14	12	19
15	17	18	20

## **Review linear time selection**

1	9	2	5	
3	10	8	6	
4	13	11	16	
7	14	12	19	
15	17	18	20	

- Call Median-of-Medians([4,13,11,16], floor(len/2) = 2)
- Can sort this in linear time.
- Get back 13.
- 13 is our new pivot!

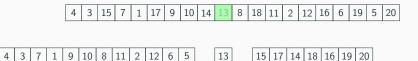
Back to our original array! Use the pivot (=13) to break it up into two.



We know the following:

- $len(A_{Lower}) = 12$
- $len(A_{Upper}) = 7$
- Want k = 10

Back to our original array! Use the pivot (=13) to break it up into two.



We know the following:

- $len(A_{Lower}) = 12$
- $len(A_{Upper}) = 7$
- Want k = 10

# Call Median-of-Medians $(A_{Lower}, 10)$

4	3	7	1	9	10	8	11	2	12	6	5	
---	---	---	---	---	----	---	----	---	----	---	---	--

First we reorganize:

4	10	
3	8	6
7	11	5
1	2	
9	12	

First we reorganize:

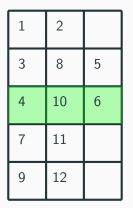
4	10	
3	8	6
7	11	5
1	2	
9	12	

Then we sort each column:

# **Review linear time selection**

1	2	
3	8	5
4	10	6
7	11	
9	12	

# **Review linear time selection**



- Call Median-of-Medians([4,10,6], floor(n/2) = 10)
- Can sort this in linear time.
- Get back 6.
- 6 is our new pivot!

Back to our original array! Use the pivot (=6) to break it up into two (well three).

We know the following:

- $len(A_{Lower}) = 5$
- $len(A_{Upper}) = 6$
- Want k = 10 (pivot is of rank 6)

Back to our original array! Use the pivot (=6) to break it up into two (well three).

We know the following:

- $len(A_{Lower}) = 5$
- $len(A_{Upper}) = 6$
- Want k = 10 (pivot is of rank 6)

Call Median-of-Medians( $A_{Upper}$ , 10 - 6 = 4)

7	9	10	8	11	12
---	---	----	---	----	----

First we reorganize:

7	
9	
10	12
8	
11	

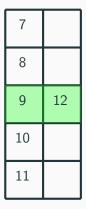
First we reorganize:

Then we sort each column:

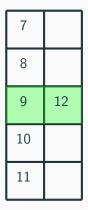
7	
9	
10	12
8	
11	

7	
8	
9	12
10	
11	

# Review linear time selection



# **Review linear time selection**



- Call Median-of-Medians([9,12], floor(len/2) = 1)
- Can sort this in linear time.
- Get back 12.
- 12 is our new pivot!

Back to our original array! Use the pivot (=6) to break it up into two (well three).

We know the following:

- $len(A_{Lower}) = 5$
- $len(A_{Upper}) = 0$
- Want k = 4 (pivot is of rank 5)

Back to our original array! Use the pivot (=6) to break it up into two (well three).

We know the following:

- $len(A_{Lower}) = 5$
- $len(A_{Upper}) = 0$
- Want k = 4 (pivot is of rank 5)

Call Median-of-Medians(A<sub>Lower</sub>, 4)

# **Review linear time selection**

Final Step!

Can sort in linear time!

Return Sorted(A[4]) = 11

# Median of medians time analysis

```
Median-of-medians(A, i):
   sublists = [A[j:j+5] for j ∈range(0, len(A), 5)]
   medians = [sorted (sublist)[len (sublist)/2] for sublist ∈ sublists]
   // Base Case
   if len (A) < 5 return sorted (a)[i]
   // Find median of medians
   if len (medians) < 5
       pivot = sorted (medians) [len (medians)/2]
   else
       pivot = Median-of-medians (medians, len/2)
   // Partitioning Step
   low = [j for j ∈A if j < pivot]
   high = [j for j ∈A if j > pivot]
   k = len (low)
   if i < k
       return Median-of-medians (low, i)
   elseif i > k
       return Median-of-medians (low, i-k-1)
   else
   return pivot
```

# Median of medians time analysis

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    if i < k
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        return Median-of-medians (low, i-k-1)
    else
    return pivot
```

$$T(n) = T(\frac{1}{5}n) + T(\frac{7}{10}n) + cn$$

$$T(n) = T(\frac{1}{3}n) + T(\frac{4}{6}n) + cn$$

$$T(n) = T(\frac{1}{3}n) + T(\frac{4}{6}n) + cn$$

What about k = 7?

$$T(n) = T(\frac{1}{3}n) + T(\frac{4}{6}n) + cn$$

What about k = 7?

$$T(n) = T(\frac{1}{7}n) + T(\frac{10}{14}n) + cn$$

# On different techniques for recursive algorithms

Reduction: Reduce one problem to another

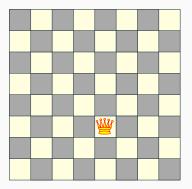
**Recursion** A special case of reduction

- reduce problem to a smaller instance of itself
- self-reduction
- Problem instance of size n is reduced to one or more instances of size n - 1 or less.
- For termination, problem instances of small size are solved by some other method as base cases.

### **Recursion in Algorithm Design**

- <u>Tail Recursion</u>: problem reduced to a <u>single</u> recursive call after some work. Easy to convert algorithm into iterative or greedy algorithms.
   **Examples:** Interval scheduling, MST algorithms....
- <u>Divide and Conquer</u>: Problem reduced to multiple independent sub-problems that are solved separately. Conquer step puts together solution for bigger problem.
   **Examples:** Closest pair, median selection, guick sort.
- <u>Backtracking</u>: Refinement of brute force search. Build solution incrementally by invoking recursion to try all possibilities for the decision in each step.
- <u>Dynamic Programming</u>: problem reduced to multiple (typically) dependent or overlapping sub-problems. Use memorization to avoid recomputation of common solutions leading to <u>iterative bottom-up</u> algorithm.

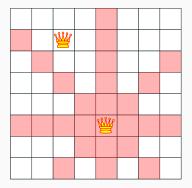
# Search trees and backtracking

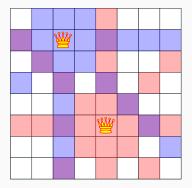


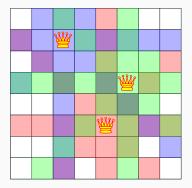
- Q: How many queens can one place on the board?
- Q: Can one place 8 queens on the board?

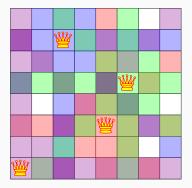
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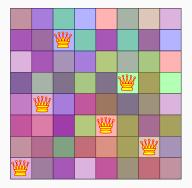
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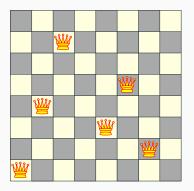










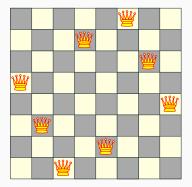


Q: How many queens can one place on the board?

Q: Can one place 8 queens on the board? How many permutations?

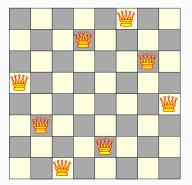
### The eight queens puzzle

#### Problem published in 1848, solved in 1850.



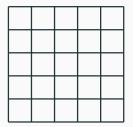
## The eight queens puzzle

#### Problem published in 1848, solved in 1850.



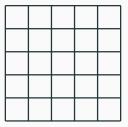
Q: How to solve problem for general n?

#### Introducing concept of state tree



What if we attempt to find all the possible permutations and then check?

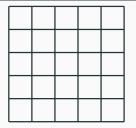
#### Search tree for 5 queens

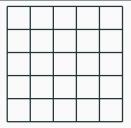


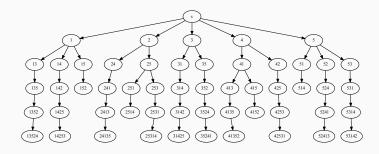
Let's be a bit smarter and recognize that:

- Queens can't be on the same row, column or diagonal
- Can have *n* queens max.

#### Search tree for 5 queens







Recursive search over an implicit tree, where we "backtrack" if certain possibilities do not work.

### n queens C++ code

```
generate permutations( int * permut, int row, int n)
void
{
  if (row == n) {
     print board( permut, n );
     return:
  }
  for (int val = 1; val \leq n; val++)
     if ( isValid( permut, row, val ) ) {
       permut[ row ] = val;
       generate permutations( permut, row + 1, n);
     }
}
```

generate\_permutations( permut, 0, 8 );

### Quick note: n queens - number of solutions

N	Number of Solutions	Number of Unique Solutions
1	1	1
2	0	0
3	0	0
4	2	1
5	10	2
6	4	1
7	40	6
8	92	12
9	352	46
10	724	92
11	2,680	341
12	14,200	1,787
13	73,712	9,233
14	365,596	45,752
15	2,279,184	285,053

# Longest Increasing Sub-sequence

#### Definition

<u>Sequence</u>: an ordered list  $a_1, a_2, \ldots, a_n$ . <u>Length</u> of a sequence is number of elements in the list.

#### Definition

 $a_{i_1}, \ldots, a_{i_k}$  is a <u>subsequence</u> of  $a_1, \ldots, a_n$  if  $1 \le i_1 < i_2 < \ldots < i_k \le n$ .

#### Definition

A sequence is increasing if  $a_1 < a_2 < \ldots < a_n$ . It is non-decreasing if  $a_1 \leq a_2 \leq \ldots \leq a_n$ . Similarly decreasing and non-increasing.

#### Sequences - Example...

#### Example

- Sequence: 6, 3, 5, 2, 7, 8, 1, 9
- Subsequence of above sequence: 5, 2, 1
- Increasing sequence: 3, 5, 9, 17, 54
- Decreasing sequence: 34, 21, 7, 5, 1
- Increasing subsequence of the first sequence: 2,7,9.

### Longest Increasing Subsequence Problem

**Input** A sequence of numbers  $a_1, a_2, \ldots, a_n$ 

**Goal** Find an <u>increasing subsequence</u>  $a_{i_1}, a_{i_2}, \ldots, a_{i_k}$  of maximum length

### Longest Increasing Subsequence Problem

Input A sequence of numbers a<sub>1</sub>, a<sub>2</sub>,..., a<sub>n</sub>
Goal Find an <u>increasing subsequence</u> a<sub>i1</sub>, a<sub>i2</sub>,..., a<sub>ik</sub> of maximum length

#### Example

- Sequence: 6, 3, 5, 2, 7, 8, 1
- Increasing subsequences: 6, 7, 8 and 3, 5, 7, 8 and 2, 7 etc
- Longest increasing subsequence: 3, 5, 7, 8

### **Naive Enumeration**

```
Assume a_1, a_2, \ldots, a_n is contained in an array A
```

```
algLISNaive(A[1..n]):

max = 0

for each subsequence B of A do

if B is increasing and |B| > max then

max = |B|

Output max
```

### **Naive Enumeration**

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#### Running time:

### **Naive Enumeration**

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```

#### Running time: $O(n2^n)$ .

 $2^n$  subsequences of a sequence of length n and O(n) time to check if a given sequence is increasing.

### Recursive Approach: LIS: Longest increasing subsequence

Can we find a recursive algorithm for LIS?

LIS(A[1..*n*]):

Can we find a recursive algorithm for LIS?

LIS(A[1..n]):

• Case 1: Does not contain A[n] in which case LIS(A[1..n]) =

LIS(A[1..(n-1)])

• Case 2: contains A[n] in which case LIS(A[1..n]) is

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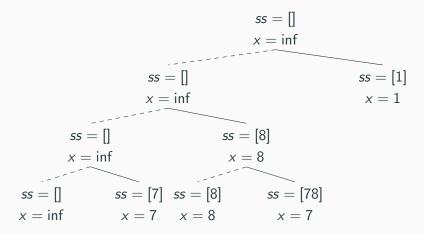
Case 2: contains A[n] in which case LIS(A[1..n]) is not so clear.

#### Observation

For second case we want to find a subsequence in A[1..(n-1)] that is restricted to numbers less than A[n]. This suggests that a more general problem is **LIS\_smaller**(A[1..n], x) which gives the longest increasing subsequence in A where each number in the sequence is less than x.

Example

Sequence: A[1..5] = 5, 9, 7, 8, 1



**LIS\_smaller**(A[1..n], x) : length of longest increasing subsequence in A[1..n] with all numbers in subsequence less than x

> LIS\_smaller(A[1..n], x): if (n = 0) then return 0  $m = LIS\_smaller(A[1..(n - 1)], x)$ if (A[n] < x) then  $m = max(m, 1 + LIS\_smaller(A[1..(n - 1)], A[n]))$ Output m

> > LIS(A[1..n]):

return LIS\_smaller( $A[1..n], \infty$ )

# Running time analysis

### Running time of LIS([1..n])

LIS\_smaller(A[1..n], x): if (n = 0) then return 0  $m = LIS_smaller(A[1..(n - 1)], x)$ if (A[n] < x) then  $m = max(m, 1 + LIS_smaller(A[1..(n - 1)], A[n]))$ Output m

LIS(A[1..n]):

return LIS\_smaller( $A[1..n], \infty$ )

# Running time of LIS([1..n])

**Lemma** LIS\_smaller runs in  $O(2^n)$  time. **Lemma** LIS\_smaller runs in  $O(2^n)$  time.

Improvement: From  $O(n2^n)$  to  $O(2^n)$ .

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Improvement: From  $O(n2^n)$  to  $O(2^n)$ .

....one can do much better using memorization!