#### Pre-lecture brain teaser

Last time we looked at the BasicSearch algorithm:

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Explore(G,u):
     Visited[1..n] \leftarrow FALSE
     Add u to S
     Visited[u] \leftarrow TRUE
     ExploreStep(G,u,Visited, S)
     Output S
ExploreStep(G,x,Visited, S):
     for each edge xy in Adj(x) do
          if (Visited[y] = FALSE)
                Visited[y] \leftarrow TRUE
                ExploreStep(G,x,Visited, S):
     return
```

We said that if <u>ToExplore</u> was a:

- Stack, the algorithm is equivalent to DFS
- Queue, the algorithm is equivalent to BFS

What if the algorithm was written recursively (instead of the while loop, you recursively call explore). What would the algorithm be equivalent to?

# ECE-374-B: Lecture 16 - Directed Graphs (DFS, DAGs, Topological Sort)

Instructor: Nickvash Kani

March 21, 2023

University of Illinois at Urbana-Champaign

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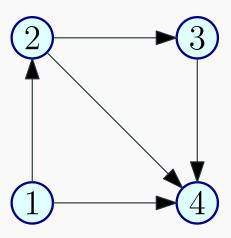
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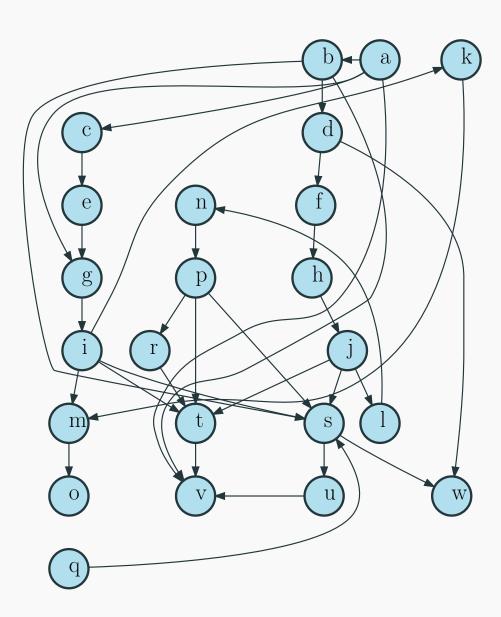
# Directed Acyclic Graphs - definition and basic properties

#### Directed Acyclic Graphs

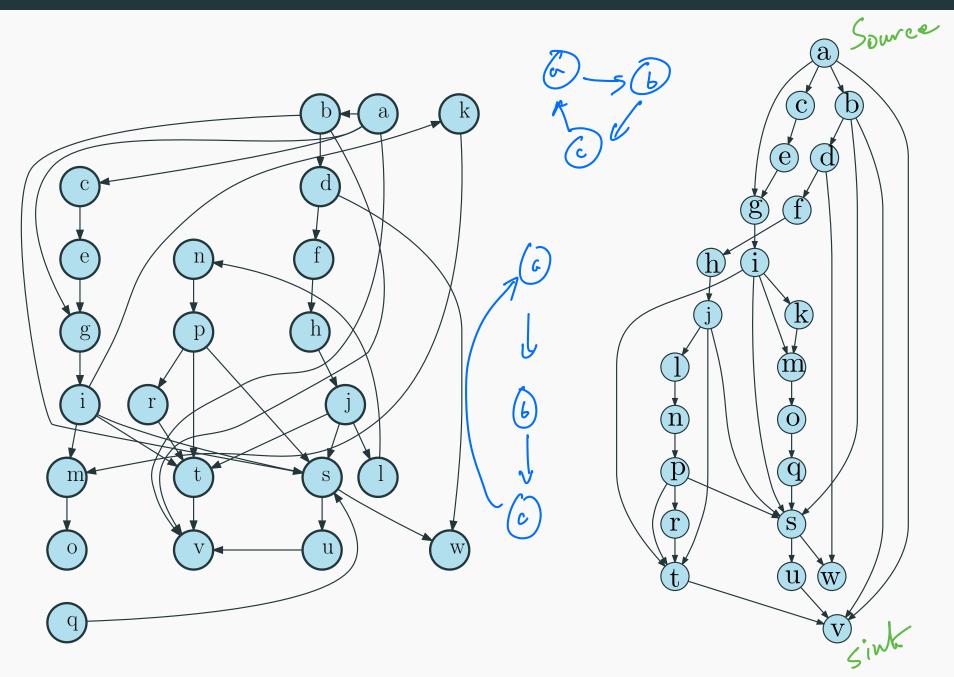
Definition
A directed graph G is a
directed acyclic graph (DAG)
if there is no directed cycle
in G.



#### Is this a DAG?



#### Is this a DAG?



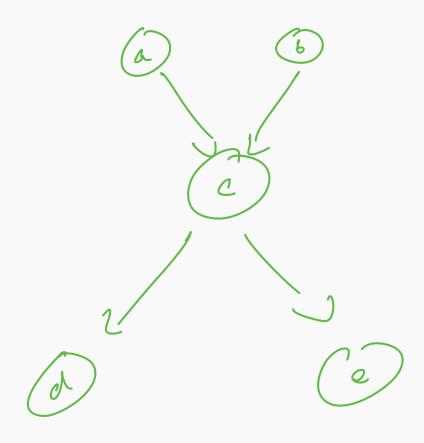
#### Sources and Sinks

#### Definition

- A vertex *u* is a source if it has no in-coming edges.
- A vertex *u* is a <u>sink</u> if it has no out-going edges.

## Simple DAG Properties

**Proposition**Every DAG G has at least one source and at least one sink.



#### Simple DAG Properties

#### Proposition

Every DAG G has at least one source and at least one sink.

#### Proof.

Let  $P = v_1, v_2, ..., v_k$  be a longest path in G. Claim that  $v_1$  is a source and  $v_k$  is a sink. Suppose not. Then  $v_1$  has an incoming edge which either creates a cycle or a longer path both of which are contradictions. Similarly if  $v_k$  has an outgoing edge.

## Topological ordering

#### Total recall: Order on a set

Order or strict total order on a set X is a binary relation  $\prec$  on X, such that

- Transitivity:  $\forall x.y, z \in X$   $x \prec y$  and  $y \prec z \implies x \prec z$ .
- For any  $x, y \in X$ , exactly one of the following holds:  $x \prec y, y \prec x$  or x = y.

#### Convention about writing edges

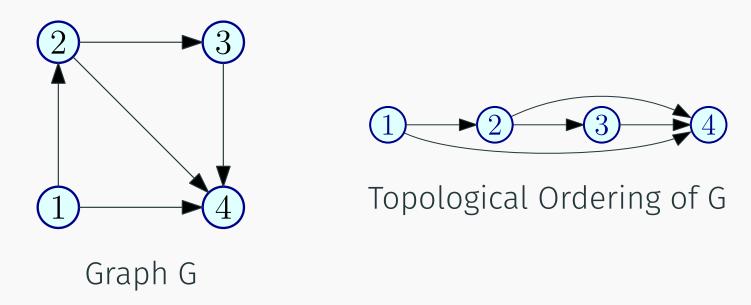
Undirected graph edges:

$$uv = \{u, v\} = vu \in E$$

· Directed graph edges:

$$u \rightarrow v \equiv (u, v) \equiv (u \rightarrow v)$$

### Topological Ordering/Sorting



#### Definition

A <u>topological ordering/topological sorting</u> of G = (V, E) is an ordering  $\prec$  on V such that if  $(u \rightarrow v) \in E$  then  $u \prec v$ .

Informal equivalent definition: One can order the vertices of the graph along a line (say the x-axis) such that all edges are from left to right.

#### Topological ordering in linear time

for a DAG

Exercise: show algorithm can be implemented in O(m + n) time.

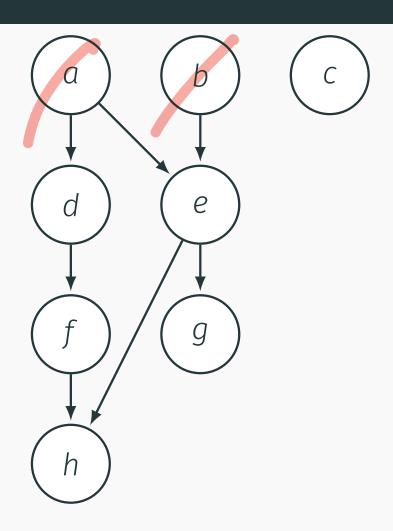
#### Topological ordering in linear time

Exercise: show algorithm can be implemented in O(m + n) time.

#### Simple Algorithm:

- 1. Count the in-degree of each vertex
- 2. For each vertex that is source  $(deg_{in}(v) = 0)$ :
  - 2.1 Add v to the topological sort
  - 2.2 Lower degree of vertices v is connected to. <sup>1</sup>

## Topological Sort: Example



#### Adjacency List:

Node	Ne	eighbors
a	d	е
b	е	
С		
d	f	
е	h	g
f	h	
g		
h		

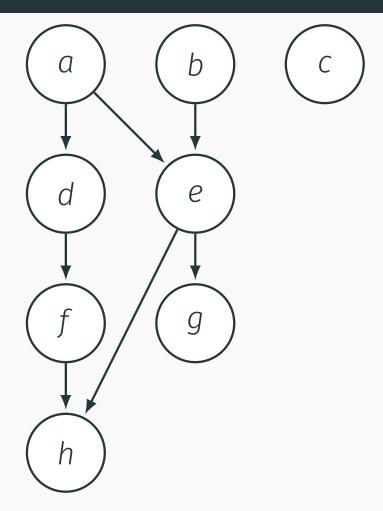
#### Generate $deg_{in}(v)$ :

Degree	Vertices
0	a, b, c
1	6 f, g
2	e, h





## Topological Sort: Example



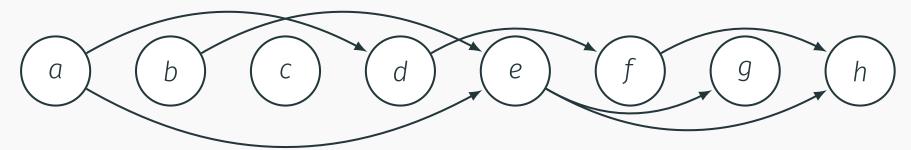
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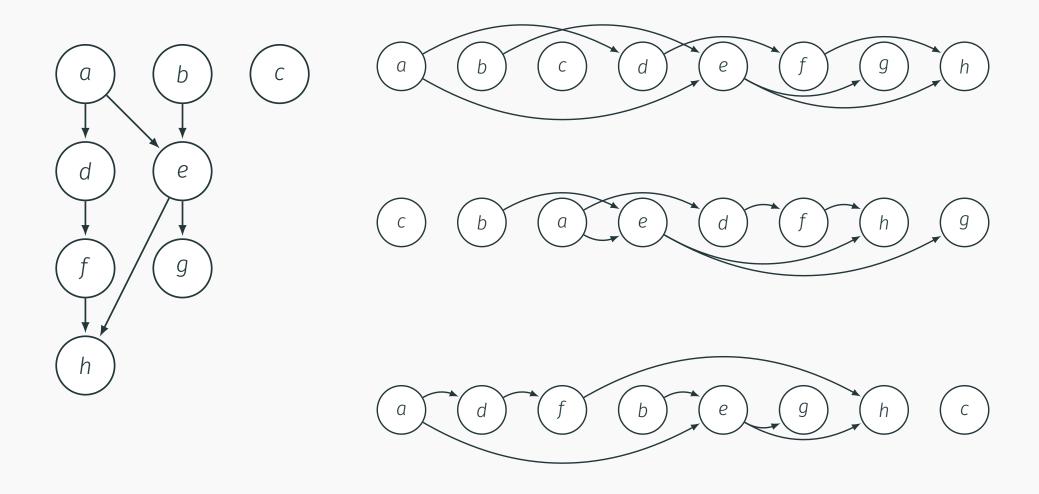
#### Generate $deg_{in}(v)$ :

Degree	Vertices
0	a, b, c
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2	e, h

Topological Ordering:



## Multiple possible topological orderings



#### DAGs and Topological Sort

· Note: A DAG G may have many different topological sorts.

• Exercise: What is a DAG with the most number of distinct topological sorts for a given number *n* of vertices?



• Exercise: What is a DAG with the least number of distinct topological sorts for a given number *n* of vertices?



#### Direct Topological ordering - code

```
TopSort(G):
     Sorted \leftarrow NULL
     deg_{in}[1..n] \leftarrow -1
     Tdeg_{in}[1..n] \leftarrow NULL
     Generate in-degree for each vertex
     for each edge xy in G do
          deg_{in}[y] + +
     for each vertex v in G do
          Tdeg_{in}[deg_{in}[v]].append(v)
     Next we recursively add vertices
      with in-degree = 0 to the sort list
     while (Tdeg_{in}[0] is non-empty) do
          Remove node x from Tdeg_{in}[0]
          Sorted.append(x)
          for each edge xy in Adj(x) do
               deg_{in}[y] - -
               move y to Tdeg_{in}[deg_{in}[y]]
     Output Sorted
```

#### DAGs and Topological Sort

#### Lemma

A directed graph G can be topologically ordered  $\implies$  G is a DAG.

#### Proof.

Proof by contradiction. Suppose G is not a DAG and has a topological ordering  $\prec$ . G has a cycle

$$C = U_1 \rightarrow U_2 \rightarrow \cdots \rightarrow U_k \rightarrow U_1.$$

Then  $u_1 \prec u_2 \prec \ldots \prec u_k \prec u_1$ 

#### DAGs and Topological Sort

#### Lemma

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$$C = U_1 \rightarrow U_2 \rightarrow \cdots \rightarrow U_k \rightarrow U_1.$$

Then 
$$u_1 \prec u_2 \prec \ldots \prec u_k \prec u_1$$

$$\Longrightarrow U_1 \prec U_1$$
.

A contradiction (to  $\prec$  being an order). Not possible to topologically order the vertices.

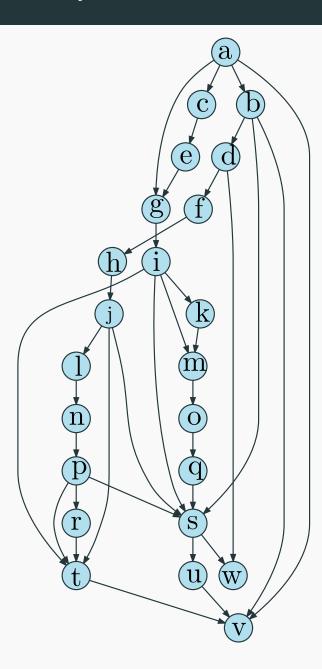
### An explicit definition of what topological ordering of a graph is

For a graph G = (V, E) a <u>topological ordering</u> of a graph is a numbering  $\pi : V \to \{1, 2, ..., n\}$ , such that

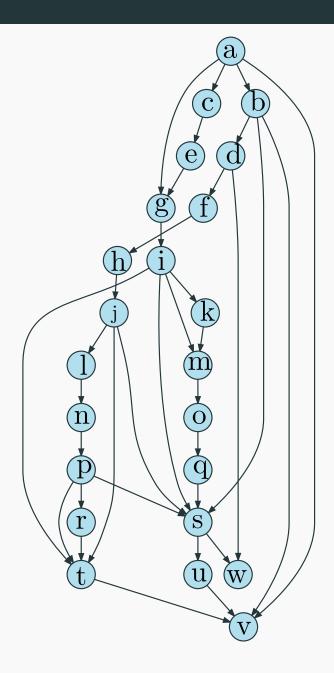
$$\forall (u \rightarrow v) \in E(G) \implies \pi(u) < \pi(v).$$

(That is,  $\pi$  is one-to-one, and n = |V|)

## Example...



## Example...



#### Assuming:

$$V = \{a, \dots w\}$$

$$\pi = \{1, \dots 23\}$$

# Depth First Search (DFS)

# Depth First Search (DFS) in Undirected Graphs

#### Depth First Search

- **DFS** special case of Basic Search.
- DFS is useful in understanding graph structure.
- **DFS** used to obtain linear time (O(m+n)) algorithms for
  - Finding cut-edges and cut-vertices of undirected graphs
  - Finding strong connected components of directed graphs
- ...many other applications as well.

#### **DFS** in Undirected Graphs

Recursive version. Easier to understand some properties.

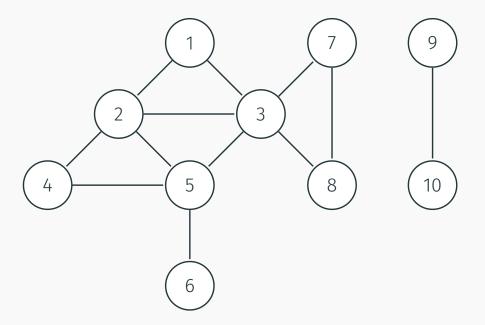
```
DFS(G)
    for all u \in V(G) do
        Mark u as unvisited
        Set pred(u) to null
        T is set to \emptyset
        while \exists unvisited u do
        DFS(u)
        Output T
```

```
DFS(u)
    Mark u as visited
    for each uv in Out(u) do
        if v is not visited then
        add edge uv to T
        set pred(v) to u
        DFS(v)
```

Implemented using a global array Visited for all recursive calls.

T is the search tree/forest.

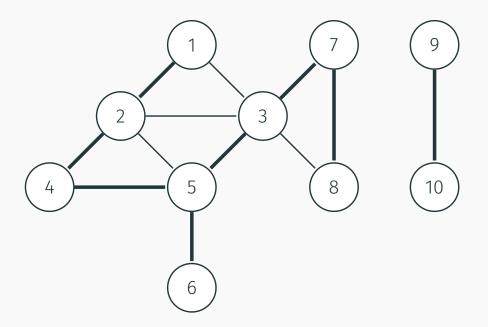
#### Example



Edges classified into two types:  $uv \in E$  is a

- tree edge: belongs to T
- non-tree edge: does not belong to T

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## DFS with pre-post numbering

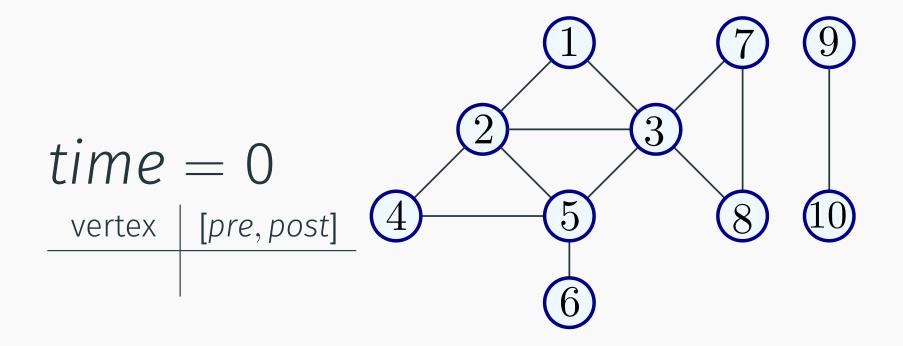
#### **DFS** with Visit Times

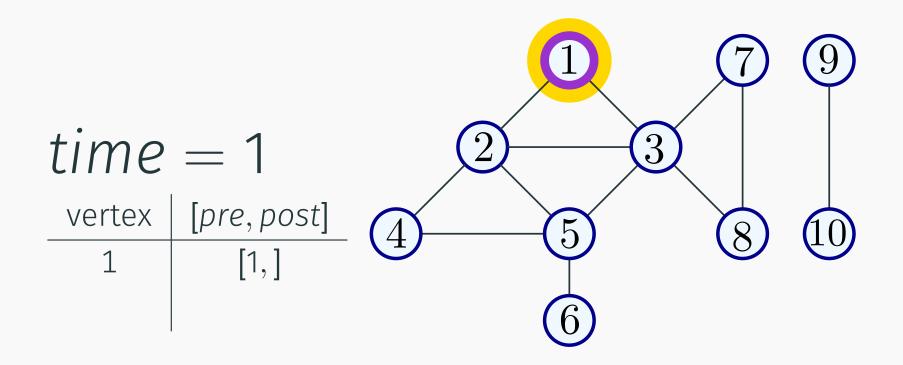
Keep track of when nodes are visited.

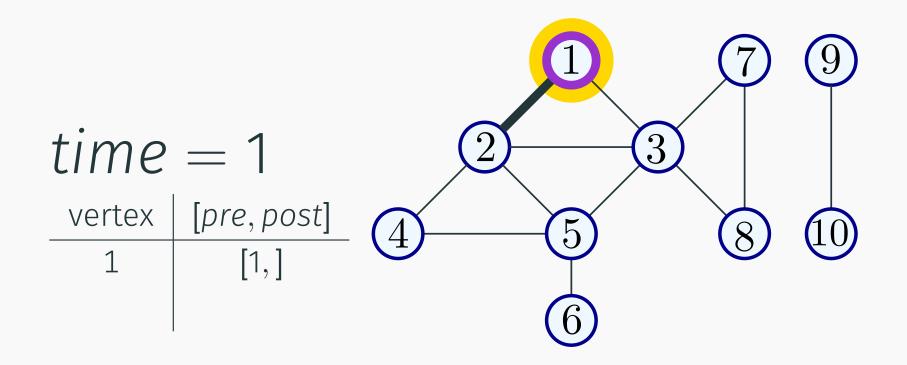
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DFS(G)
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    time = 0
    while \exists unvisited u do
        DFS(u)
    Output T
```

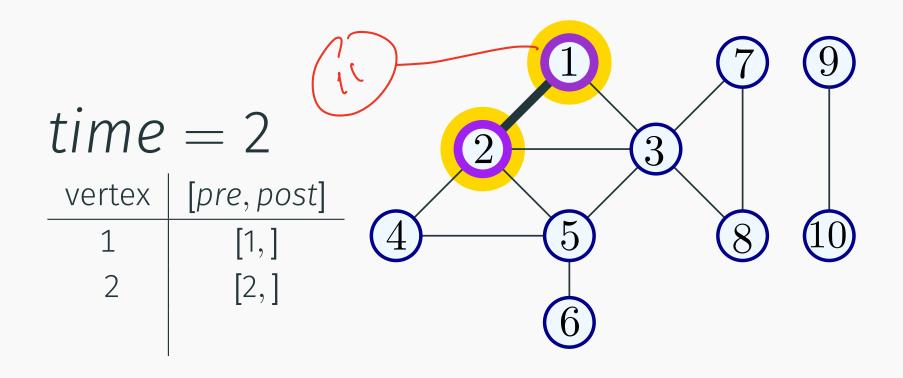
```
DFS(u)
    Mark u as visited
    pre(u) = ++time
    for each uv in Out(u) do
        if v is not marked then
            add edge uv to T
            DFS(v)
    post(u) = ++time
```

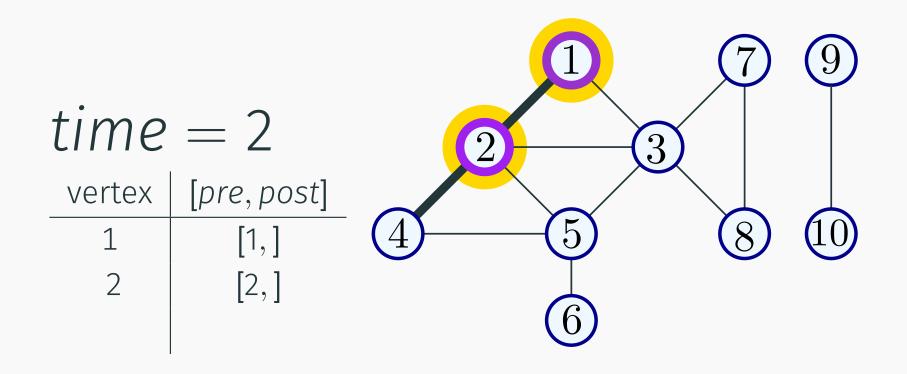
#### Animation

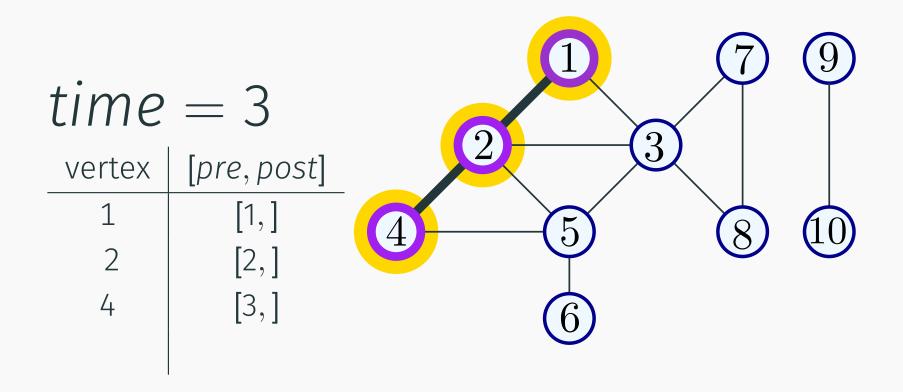


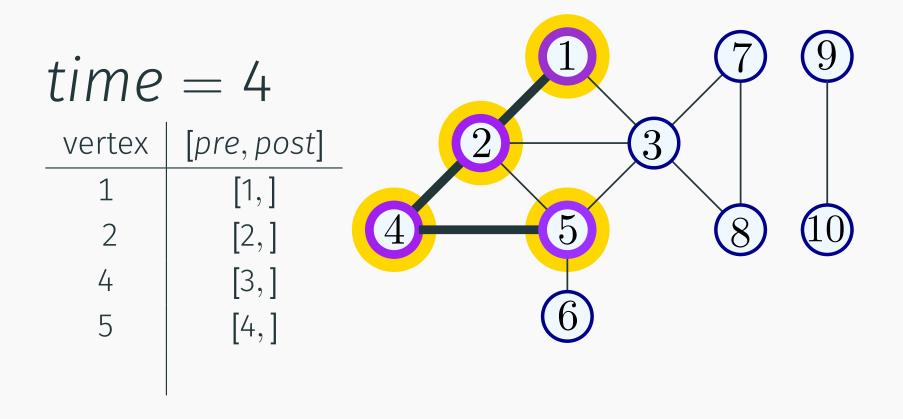


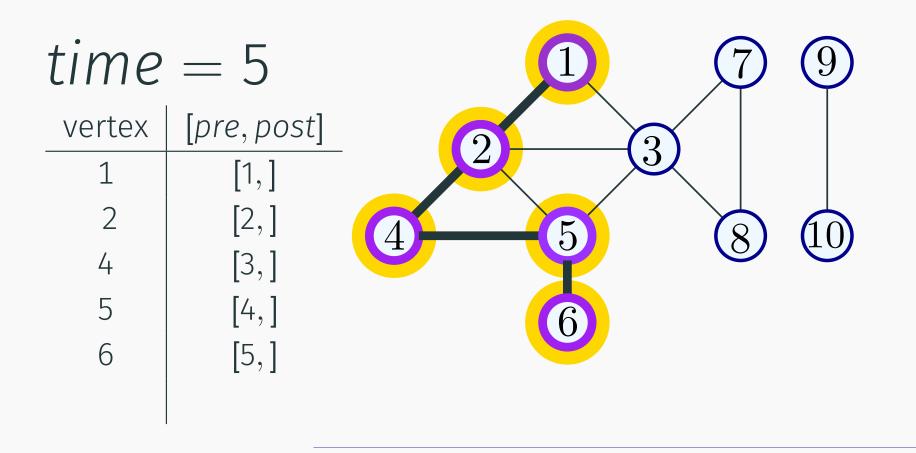


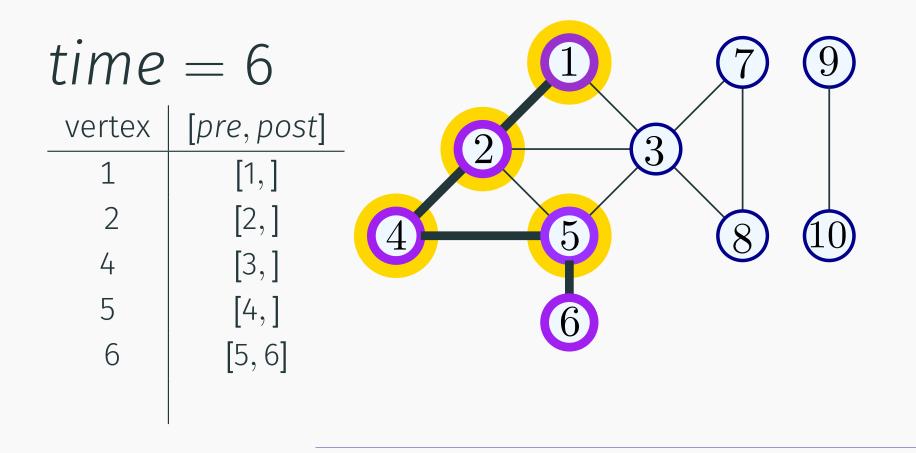


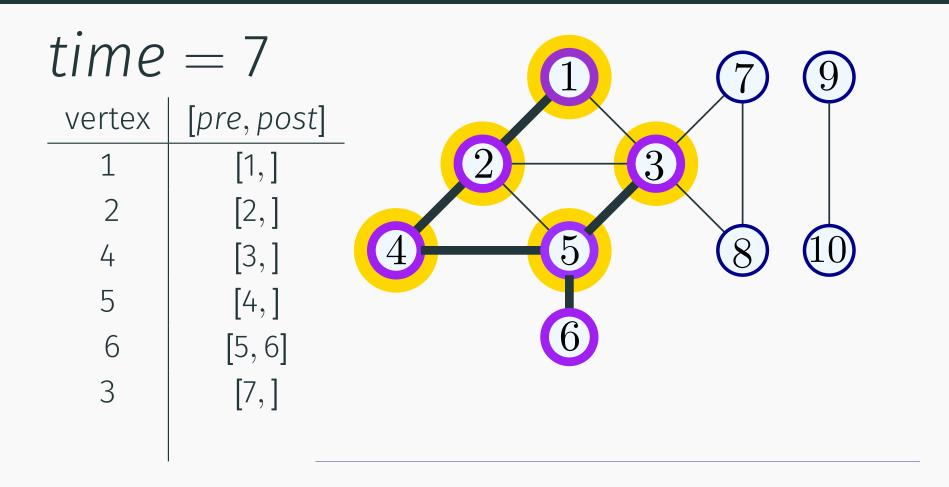


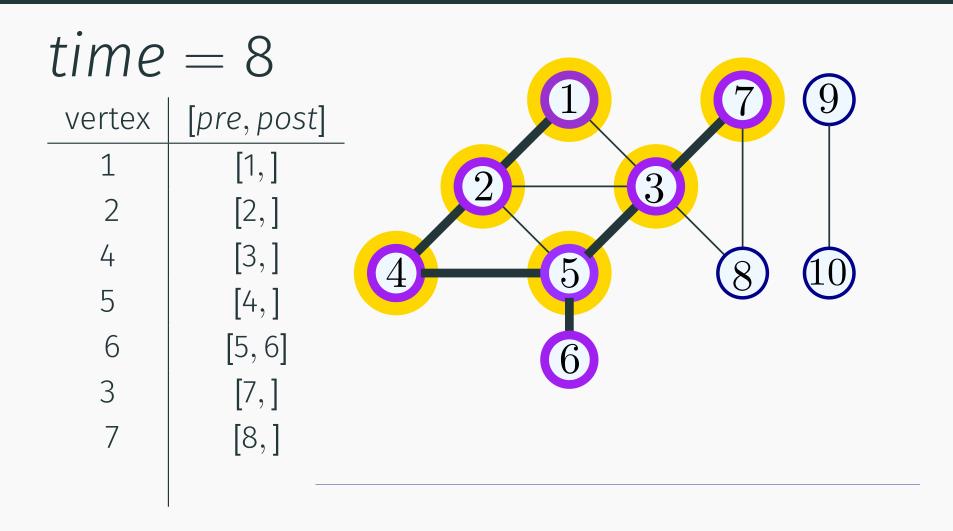


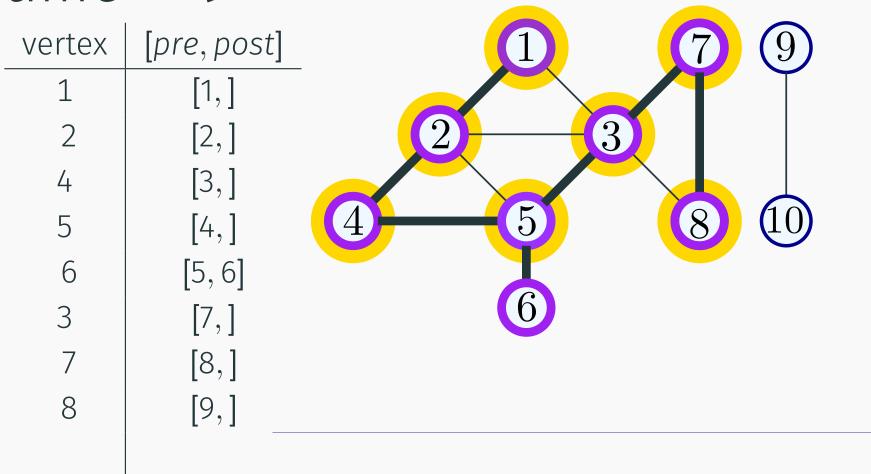


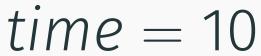


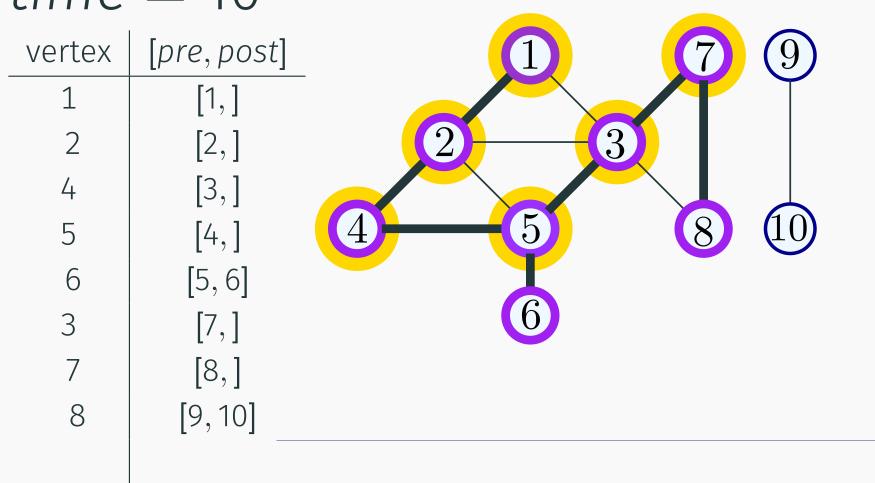


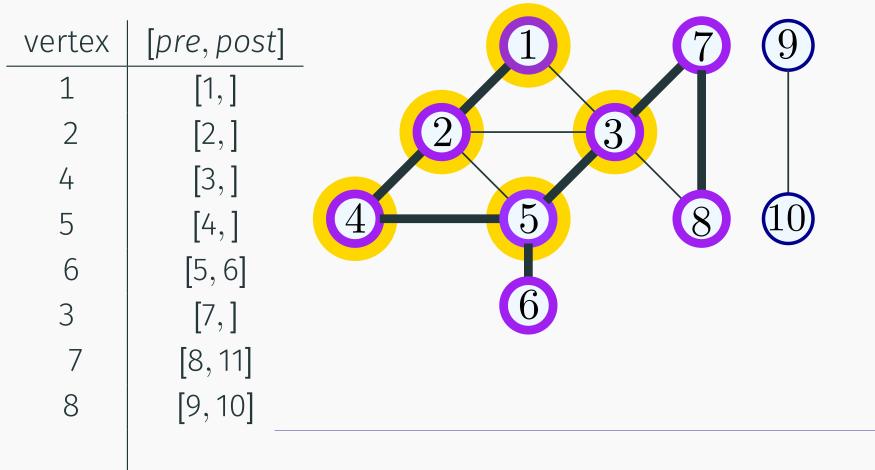


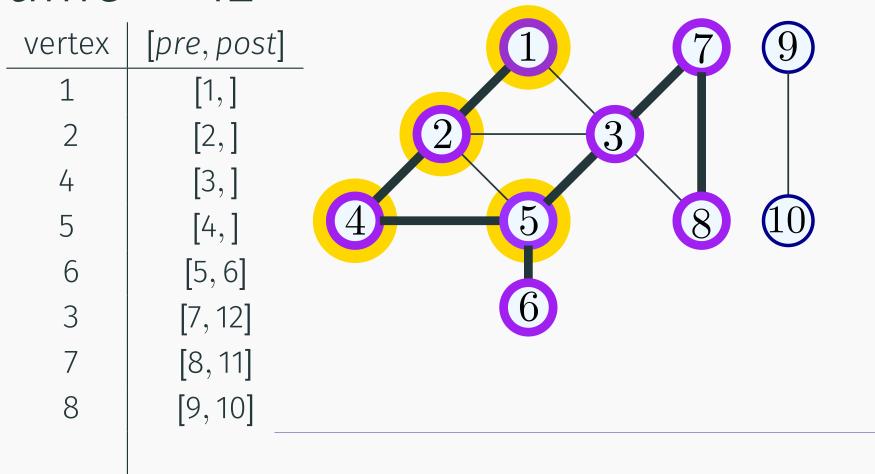


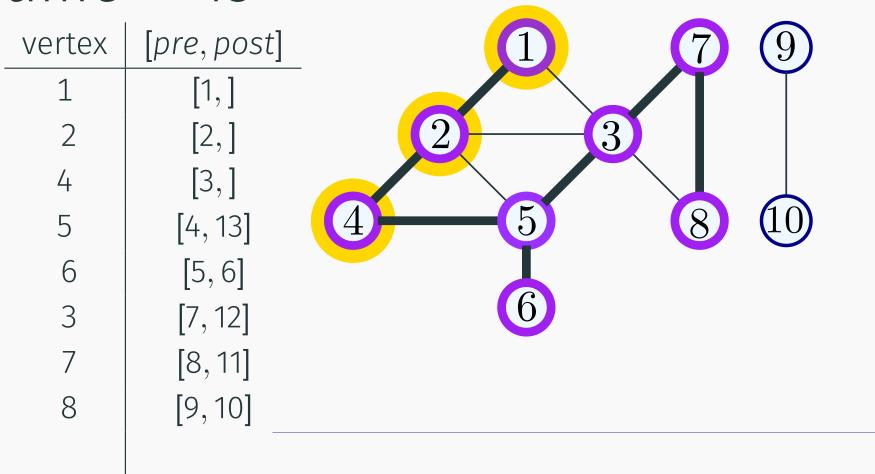


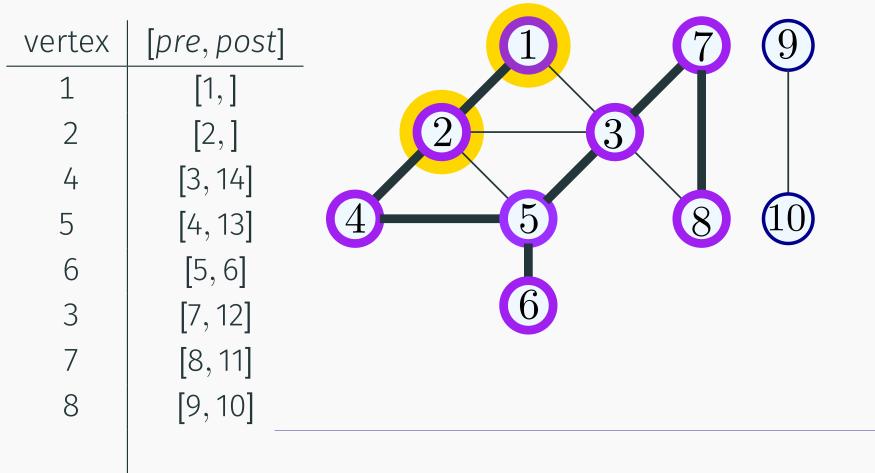


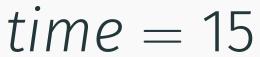


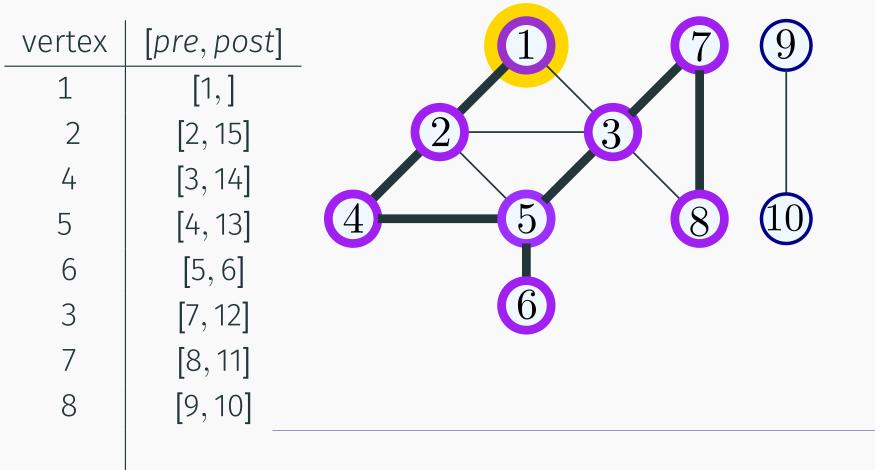












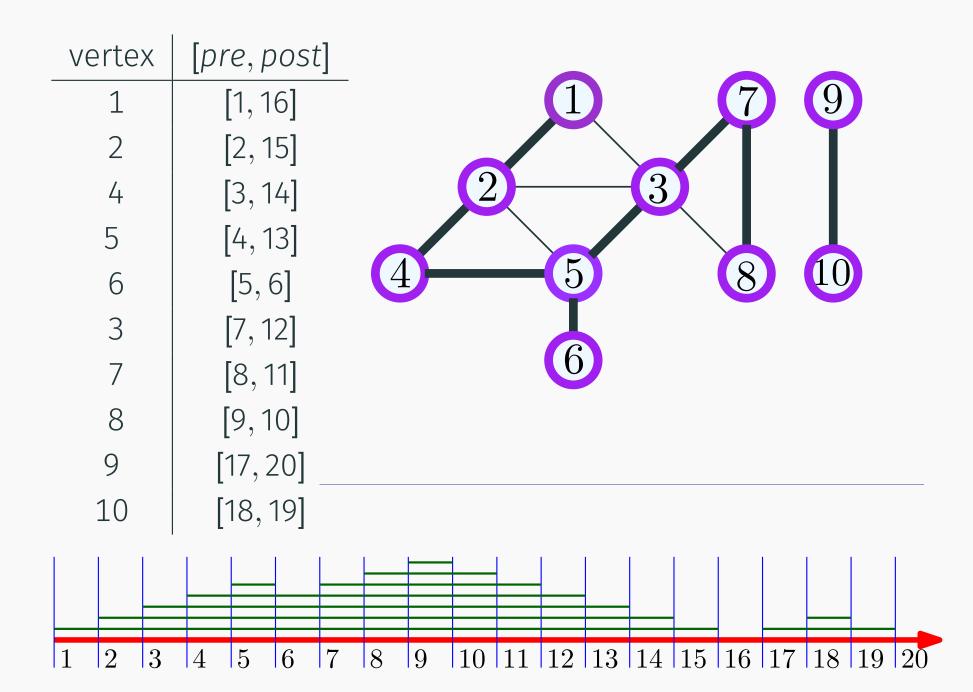
vertex	[pre, post]	1 7 9
1	[1, 16]	
2	[2, 15]	(3)
4	[3, 14]	
5	[4, 13]	(4)—(5) (8) (10)
6	[5, 6]	
3	[7, 12]	
7	[8, 11]	
8	[9, 10]	

vertex	[pre, post]	
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2	[2, 15]	<u>2</u> —3
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6	[5, 6]	
3	[7, 12]	6
7	[8, 11]	
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7	[8, 11]	
8	[9, 10]	
9	[17,] —	
10	[18,]	

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8	[9, 10]	
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10	[18, 19]	

vertex	[pre, post]	<b>(1) (7) (9)</b>
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2	[2, 15]	3
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3	[7, 12]	6
7	[8, 11]	
8	[9, 10]	
9	[17, 20]	
10	[18, 19]	



## pre and post numbers

Node u is <u>active</u> in time interval [pre(u), post(u)]

#### Proposition

For any two nodes u and v, the two intervals [pre(u), post(u)] and [pre(v), post(v)] are disjoint or one is contained in the other.

pre and post numbers useful in several applications of **DFS** 

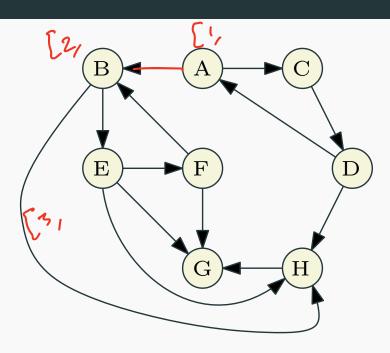
## **DFS** in Directed Graphs

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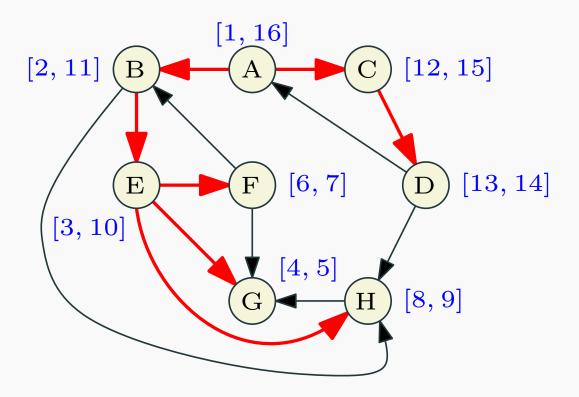
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DFS(u)
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    for each edge (u,v) in Out(u) do
        if v is not visited
            add edge (u,v) to T
            DFS(v)
    post(u) = ++time
```

## Example of DFS in directed graph



## Example of DFS in directed graph



Generalizing ideas from undirected graphs:

• **DFS**(G) takes O(m + n) time.

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- Edges added form a <u>branching</u>: a forest of out-trees.
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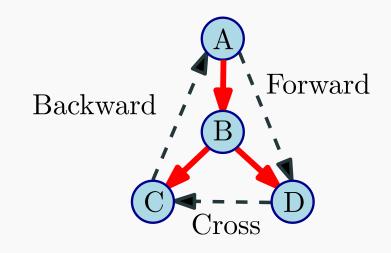
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#### **DFS** tree and related edges

Edges of *G* can be classified with respect to the **DFS** tree *T* as:

- Tree edges that belong to T
- A <u>forward edge</u> is a non-tree edges (x, y) such that y is a descendant of x.
- A <u>backward edge</u> is a non-tree edge (x, y) such that y is an ancestor of x.
- A <u>cross edge</u> is a non-tree edges
   (x, y) such that they don't have a
   ancestor/descendant
   relationship between them.



#### **DFS** tree and related edges

Edges of *G* can be classified with respect to the **DFS** tree *T* as:

- Tree edges that belong to T
- A <u>forward edge</u> is a non-tree edges (x, y) such that pre(x) < pre(y) < post(y) < post(x).
- A <u>cross edge</u> is a non-tree edges (x,y) such that the intervals are disjoint (x,y) such that (x,y) and (x,y) are (x,y) are (x,y) and (x,y) are (x,y) and (x,y) are (x,y) and (x,y) are (x,y) and (x,y) are (x,y) are (x,y) are (x,y) and (x,y) are (x,y) are (x,y) and (x,y) are (x,y) are (x,y) are (x,y) are (x,y) are (x,y) are (x,y) and (x,y) are (x,y) are (x,y) are (x,y) and (x,y) are (x,y) are (x,y) are (x,y) are (x,y) and (x,y) are (x,y) are (x,y) and (x,y) are (x,y) are

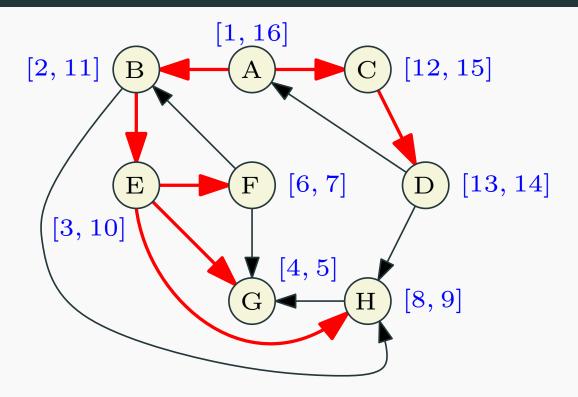
pre(x) < post(x) < pre(x) < post(x)

or pre(y) < post(y) < pre(x) < post(x)

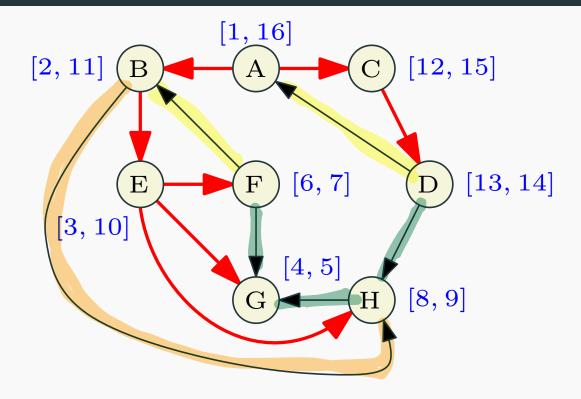
Forward

Backward

## Types of Edges



# Types of Edges



- Back edges:
- Forward edges:
- · Cross edges:

# DFS and cycle detection: Topological sorting using DFS

# Cycles in graphs

Given an <u>undirected</u> graph how do we check whether it has a cycle and output one if it has one? If any edge is in the there has to be a cycle

# Cycles in graphs

Given an <u>undirected</u> graph how do we check whether it has a cycle and output one if it has one?

**Question:** Given an <u>directed</u> graph how do we check whether it has a cycle and output one if it has one?

# Cycle detection in directed graph using topological sorting

# Question Cives Coic it a De

Given G, is it a DAG?

If it is, compute a topological sort.

If it fails, then output the cycle C.

## Topological sort a graph using DFS

## **DFS** based algorithm:

- Compute DFS(G)
- If there is a back edge e = (v, u) then G is not a DAG. Output cycle C formed by path from u to v in T plus edge (v, u).
- Otherwise output nodes in decreasing post-visit order.
   Note: no need to sort, DFS(G) can output nodes in this order.

# Topological sort a graph using DFS

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Computes topological ordering of the vertices.

Algorithm runs in O(n+m) time.

# Topological sort a graph using DFS

## **DFS** based algorithm:

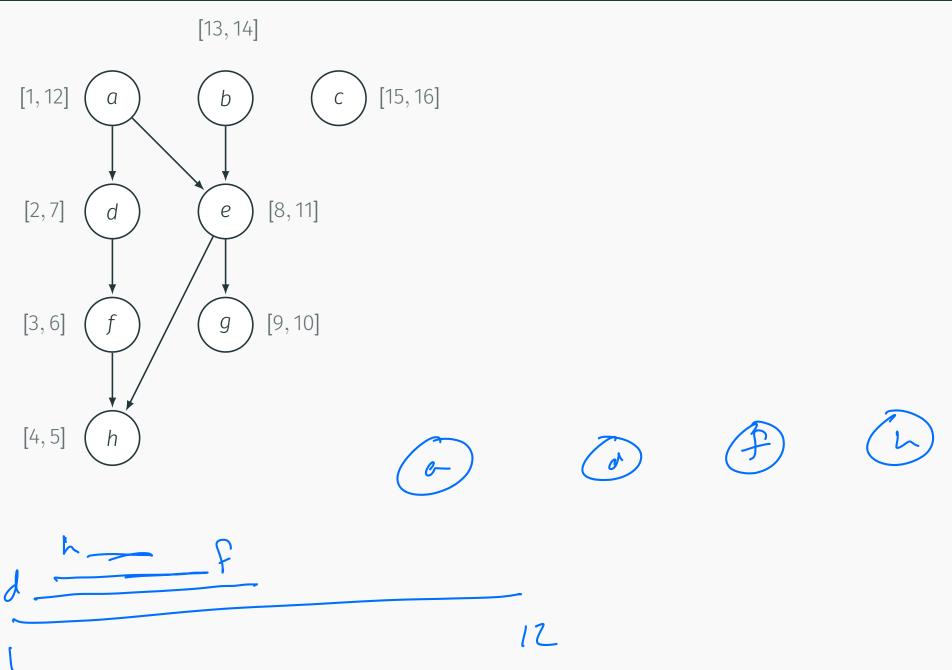
- Compute DFS(G)
- If there is a back edge e = (v, u) then G is not a DAG. Output cycle C formed by path from u to v in T plus edge (v, u).
- Otherwise output nodes in decreasing post-visit order.
   Note: no need to sort, DFS(G) can output nodes in this order.

Computes topological ordering of the vertices.

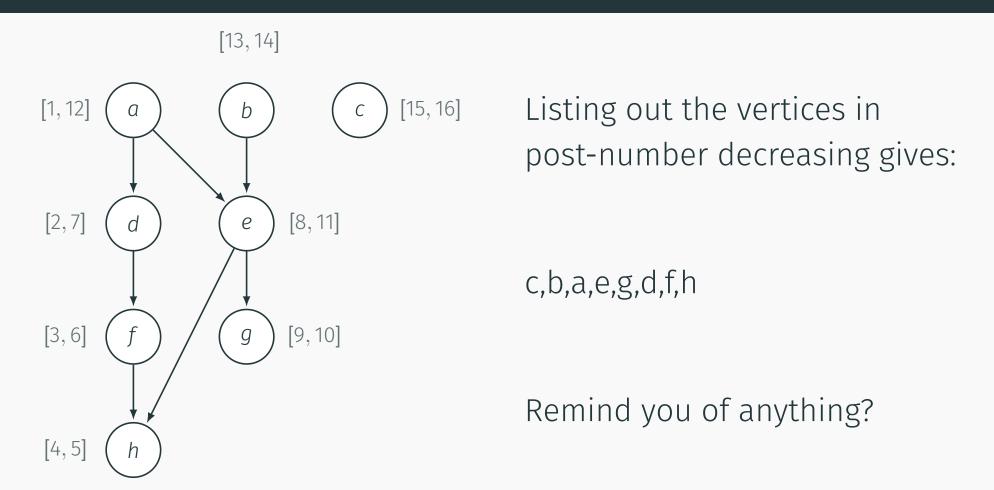
Algorithm runs in O(n+m) time. Correctness is not so obvious.

See next two propositions.

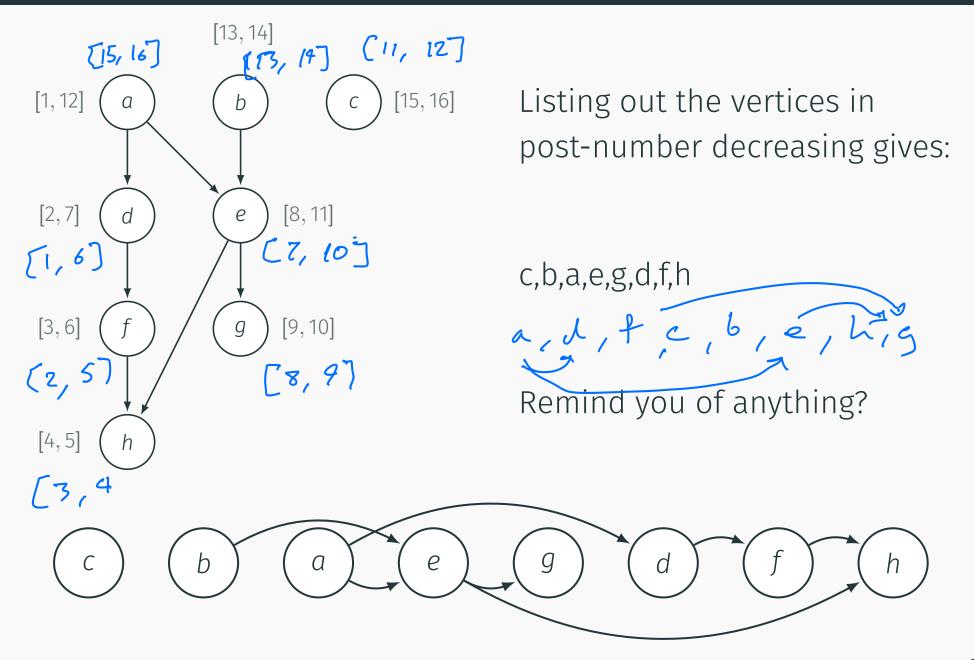
# Example



# Example



# Example



## Back edge and Cycles

## Proposition

G has a cycle  $\iff$  there is a back-edge in DFS(G).

#### Proof.

If: (u, v) is a back edge implies there is a cycle C consisting of the path from v to u in **DFS** search tree and the edge (u, v).

Only if: Suppose there is a cycle  $C = V_1 \rightarrow V_2 \rightarrow \ldots \rightarrow V_k \rightarrow V_1$ .

Let  $v_i$  be first node in C visited in **DFS**.

All other nodes in C are descendants of  $v_i$  since they are reachable from  $v_i$ .

Therefore,  $(v_{i-1}, v_i)$  (or  $(v_k, v_1)$  if i = 1) is a back edge.

# Decreasing post numbering is valid

## **Proposition**

If G is a DAG and post(v) > post(u), then  $(u \to v)$  is not in G.

#### Proof.

Assume post(u) < post(v) and  $(u \rightarrow v)$  is an edge in G.

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Assume post(u) < post(v) and  $(u \rightarrow v)$  is an edge in G. One of two holds:

- Case 1: [pre(u), post(u)] is contained in [pre(v), post(v)].
- Case 2: [pre(u), post(u)] is disjoint from [pre(v), post(v)].

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- Case 1: [pre(u), post(u)] is contained in [pre(v), post(v)].
   Implies that u is explored during DFS(v) and hence is a descendent of v. Edge (u, v) implies a cycle in G but G is assumed to be DAG!
- Case 2: [pre(u), post(u)] is disjoint from [pre(v), post(v)]. This cannot happen since v would be explored from u.

## **Translation**

We just proved:

## Proposition

If G is a DAG and post(v) > post(u), then  $(u \to v)$  is not in G.

⇒ sort the vertices of a DAG by decreasing post nubmering in decreasing order, then this numbering is valid.

# Topological sorting

#### Theorem

G = (V, E): Graph with n vertices and m edges.

Comptue a topological sorting of G using **DFS** in O(n + m) time.

That is, compute a numbering  $\pi: V \to \{1, 2, ..., n\}$ , such that

$$(U \rightarrow V) \in E(G) \implies \pi(U) < \pi(V).$$

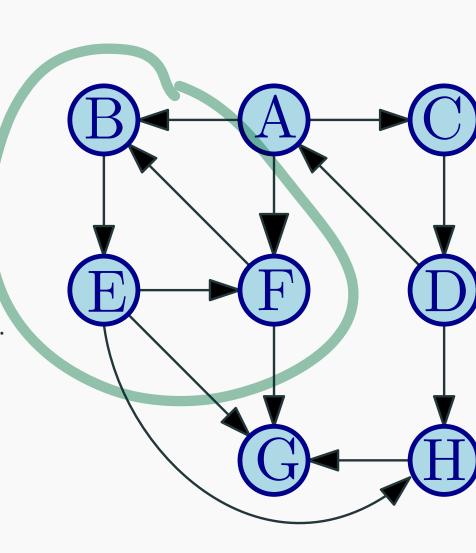
# The meta graph of strong connected components

# Strong Connected Components (SCCs)

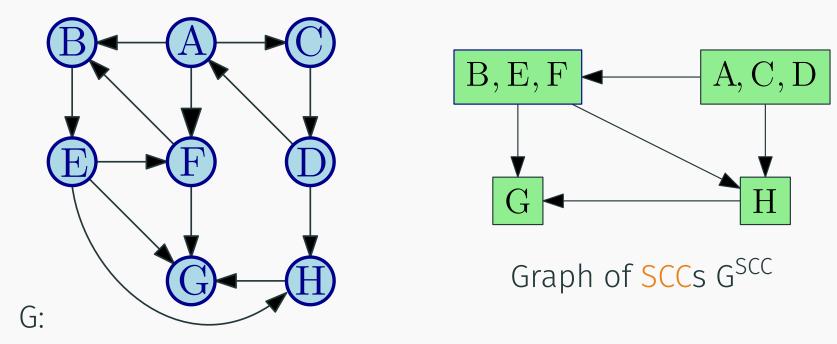
Algorithmic Problem
Find all SCCs of a given directed
graph.

Previous lecture:

Saw an  $O(n \cdot (n + m))$  time algorithm. This lecture: sketch of a O(n + m) time algorithm.



## Graph of SCCs



## Meta-graph of SCCs

Let  $S_1, S_2, ..., S_k$  be the strong connected components (i.e., SCCs) of G. The graph of SCCs is  $G^{SCC}$ 

- Vertices are  $S_1, S_2, \dots S_k$
- There is an edge  $(S_i, S_j)$  if there is some  $u \in S_i$  and  $v \in S_j$  such that (u, v) is an edge in G.

## The meta graph of SCCs is a DAG...

## Proposition

For any graph G, the graph G<sup>SCC</sup> has no directed cycle.

#### Proof.

If  $G^{SCC}$  has a cycle  $S_1, S_2, ..., S_k$  then  $S_1 \cup S_2 \cup \cdots \cup S_k$  should be in the same SCC in G.

## To Remember: Structure of Graphs

**Undirected graph:** connected components of G = (V, E) partition V and can be computed in O(m + n) time.

**Directed graph:** the meta-graph  $G^{SCC}$  of G can be computed in O(m+n) time.  $G^{SCC}$  gives information on the partition of V into strong connected components and how they form a DAG structure.

Above structural decomposition will be useful in several algorithms

# Linear time algorithm for finding all SCCs

# Finding all SCCs of a Directed Graph

### Problem

Given a directed graph G = (V, E), output <u>all</u> its strong connected components.

## Finding all SCCs of a Directed Graph

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Given a directed graph G = (V, E), output <u>all</u> its strong connected components.

## Straightforward algorithm:

```
Mark all vertices in V as not visited.

for each vertex u \in V not visited yet do

find SCC(G, u) the strong component of u:

Compute \operatorname{rch}(G, u) using DFS(G, u)

Compute \operatorname{rch}(G^{rev}, u) using DFS(G^{rev}, u)

SCC(G, u) \Leftarrow \operatorname{rch}(G, u) \cap \operatorname{rch}(G^{rev}, u)

\forall u \in SCC(G, u): Mark u as visited.
```

Running time: O(n(n+m))

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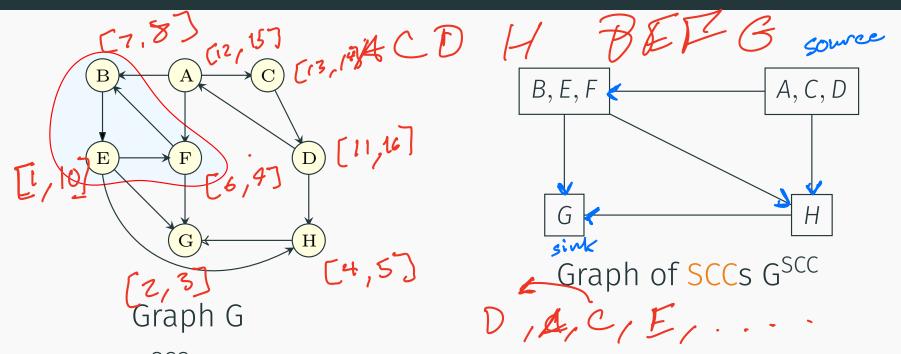
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Running time: O(n(n+m)) Is there an O(n+m) time algorithm?

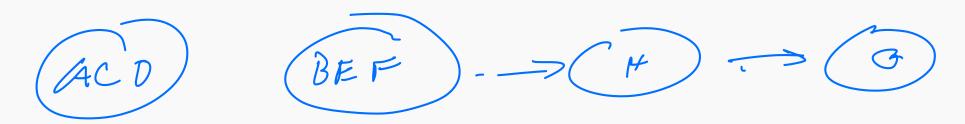
# Structure of a Directed Graph



**Reminder**G<sup>SCC</sup> is created by collapsing every strong connected component to a single vertex.

## Proposition

For a directed graph G, its meta-graph  $G^{SCC}$  is a DAG.



## Wishful Thinking Algorithm

- Let u be a vertex in a sink SCC of G<sup>SCC</sup>
- Do **DFS**(u) to compute SCC(u)
- Remove SCC(u) and repeat

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- · ... since there are no edges coming out a sink!
- **DFS**(u) takes time proportional to size of SCC(u)
- Therefore, total time O(n+m)!

# Big Challenge(s)

How do we find a vertex in a sink *SCC* of G<sup>SCC</sup>?

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# Big Challenge(s)

How do we find a vertex in a sink *SCC* of G<sup>SCC</sup>?

Can we obtain an <u>implicit</u> topological sort of G<sup>SCC</sup> without computing G<sup>SCC</sup>?

Answer: DFS(G) gives some information!

# Maximum post numbering and the source of the meta-graph

## Post numbering and the meta graph

#### Claim

Let v be the vertex with maximum post numbering in DFS(G). Then v is in a SCC S, such that S is a source of  $G^{SCC}$ .

#### Reverse post numbering and the meta graph

#### Claim

Let v be the vertex with maximum post numbering in  $DFS(G^{rev})$ . Then v is in a SCC S, such that S is a sink of  $G^{SCC}$ .

#### Reverse post numbering and the meta graph

#### Claim

Let v be the vertex with maximum post numbering in  $DFS(G^{rev})$ . Then v is in a SCC S, such that S is a sink of  $G^{SCC}$ .

Holds even after we delete the vertices of *S* (i.e., the vertex with the maximum post numbering, is in a sink of the meta graph).

# The linear-time SCC algorithm itself

### Linear Time Algorithm

```
do DFS(G<sup>rev</sup>) and output vertices in decreasing post order.

Mark all nodes as unvisited () (n)

for each u in the computed order do () (n)

if u is not visited then

DFS(u) () (u)

Let Su be the nodes reached by u

Output Su as a strong connected component

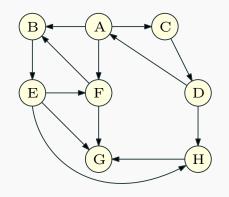
Remove Su from G
```

#### Theorem

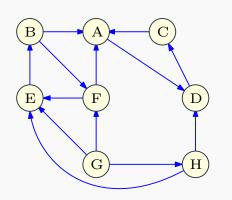
Algorithm runs in time O(m + n) and correctly outputs all the SCCs of G.

# Linear Time Algorithm: An Example - Initial steps 1

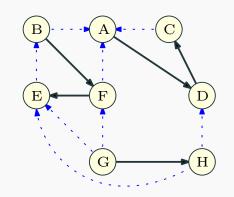
Graph G:



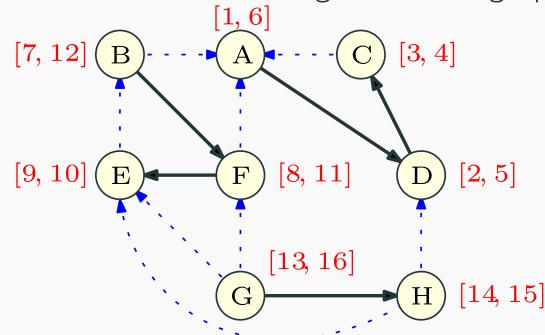
Reverse graph G<sup>rev</sup>:



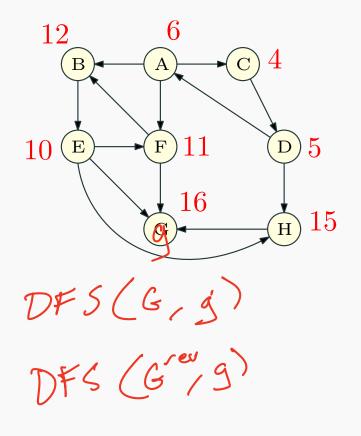
**DFS** of reverse graph:



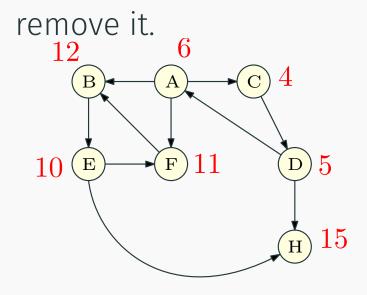
Pre/Post **DFS** numbering of reverse graph:



Original graph G with rev post numbers:



Do DFS from vertex G

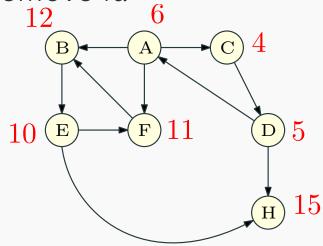


**SCC** computed:

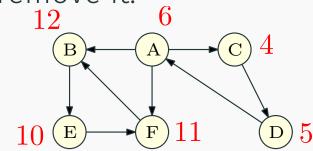
{G}

Do DFS from vertex G

remove it.



Do **DFS** from vertex *H*, remove it.



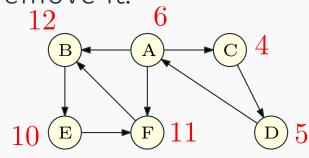
SCC computed:

{G}

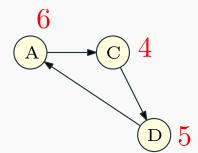
SCC computed:

 $\{G\}, \{H\}$ 

Do **DFS** from vertex *H*, remove it.



Do **DFS** from vertex BRemove visited vertices:  $\{F, B, E\}$ .



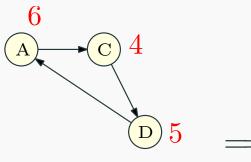
SCC computed:

$$\{G\}, \{H\}$$

SCC computed:

$$\{G\}, \{H\}, \{F, B, E\}$$

Do **DFS** from vertex FRemove visited vertices:  $\{F, B, E\}$ .

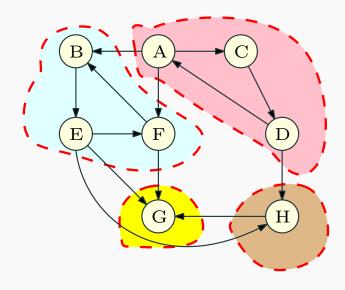


SCC computed:  $\{G\}, \{H\}, \{F, B, E\}$ 

Do **DFS** from vertex ARemove visited vertices:  $\{A, C, D\}$ .

SCC computed:

 $\{G\}, \{H\}, \{F, B, E\}, \{A, C, D\}$ 



SCC computed:

 $\{G\}, \{H\}, \{F, B, E\}, \{A, C, D\}$ 

Which is the correct answer!

### Obtaining the meta-graph...

#### **Exercise:**

Given all the strong connected components of a directed graph G = (V, E) show that the meta-graph  $G^{SCC}$  can be obtained in O(m + n) time.

### Solving Problems on Directed Graphs

A template for a class of problems on directed graphs:

- Is the problem solvable when G is strongly connected?
- Is the problem solvable when G is a DAG?
- If the above two are feasible then is the problem solvable in a general directed graph *G* by considering the meta graph G<sup>SCC</sup>?

# Summary

### Take away Points

- DAGs
- Topological orderings.
- DFS: pre/post numbering.
- Given a directed graph G, its SCCs and the associated acyclic meta-graph G<sup>SCC</sup> give a structural decomposition of G that should be kept in mind.
- There is a DFS based linear time algorithm to compute all the SCCs and the meta-graph. Properties of DFS crucial for the algorithm.
- DAGs arise in many application and topological sort is a key property in algorithm design. Linear time algorithms to compute a topological sort (there can be many possible orderings so not unique).

# Scratch Figures

