

Pre-lecture brain teaser

Given $\Sigma = \{0, 1\}$, find the regular expression for the language containing all binary strings with an odd number of 0's

Formulate a **language** that describes the above problem.

ECE-374 B: Lecture 2 - DFAs

Lecturer: Nickvash Kani

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University of Illinois at Urbana-Champaign

Pre-lecture brain teaser

Given $\Sigma = \{0, 1\}$, find the regular expression for the language containing all binary strings with an odd number of 0's

Formulate a **language** that describes the above problem.

$$L_{\text{odd}}(0) = \begin{cases} 0 \\ 1011 \end{cases}$$

A series of handwritten blue ink scribbles on a white background. The scribbles are organized into four horizontal rows. The first row consists of two small, connected loops. The second row features a series of connected loops followed by a short horizontal line. The third row shows a single loop, followed by a vertical line, then two more loops, and finally a short horizontal line. The fourth row is composed of a series of connected loops, ending with a short horizontal line.

$$R_{\text{reg}} : 1^* 0 1^* (0 1^* 0 1^*)^*$$
$$\begin{aligned} & (0(00)^* + 1^*)^* \\ & (0\varepsilon + \varepsilon)^2 \underbrace{(0)^2}_{\varepsilon 00} \end{aligned}$$

A simple program

Program to check if an input string w has odd number of 0's

```
int  $n = 0$ 
While input is not finished
    read next character  $c$ 
    If ( $c \equiv '0'$ )
         $n \leftarrow n + 1$ 
    endwhile
If ( $n$  is odd) output YES
Else output NO
```

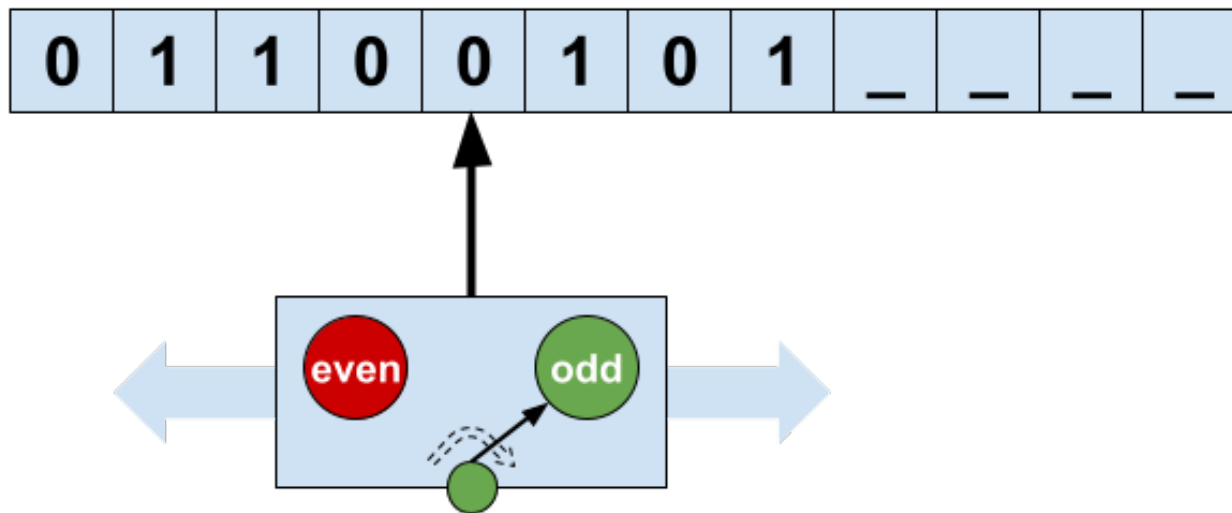
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```
bit  $x = 0$ 
While input is not finished
    read next character  $c$ 
    If ( $c \equiv '0'$ )
         $x \leftarrow \text{flip}(x)$ 
endWhile
If ( $x = 1$ ) output YES
```

Another view



- Machine has input written on a read-only tape
- Start in specified start state
- Start at left, scan symbol, change state and move right
- Circled states are accepting
- Machine accepts input string if it is in an accepting state after scanning the last symbol.

Deterministic-finite-automata (DFA)

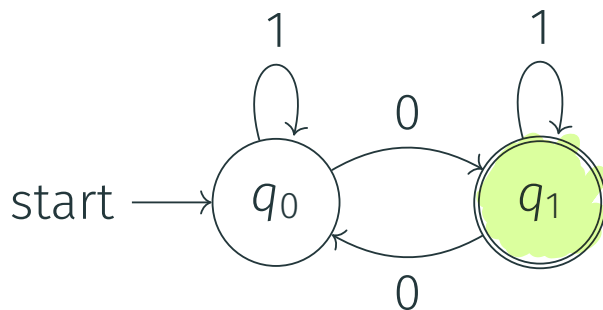
Introduction

DFAs also called Finite State Machines (FSMs)

- The “simplest” model for computers?
- State machines that are common in practice.
 - Vending machines
 - Elevators
 - Digital watches
 - Simple network protocols
- Programs with fixed memory

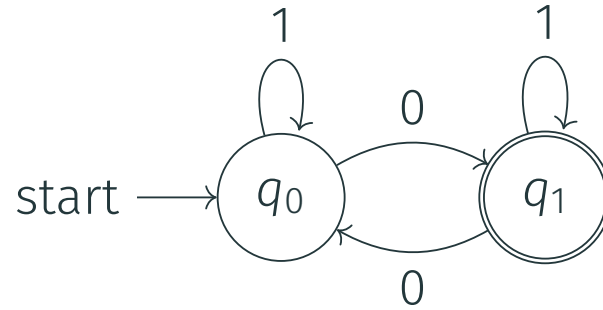
Graphical representation of DFA

Graphical Representation/State Machine



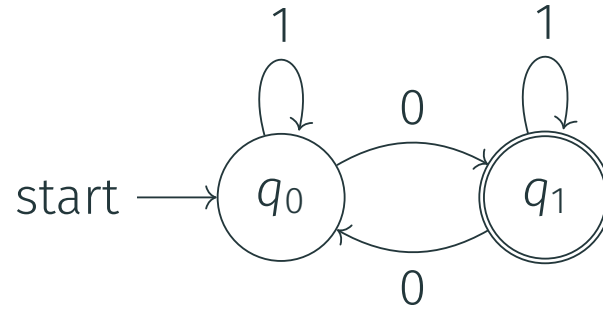
- Directed graph with nodes representing **states** and edge/arcs representing **transitions** labeled by symbols in Σ
- For each state (vertex) q and symbol $a \in \Sigma$ there is exactly one outgoing edge labeled by a
- Initial/start state has a pointer (or labeled as s , q_0 or “start”)
- Some states with double circles labeled as accepting/final states

Graphical Representation



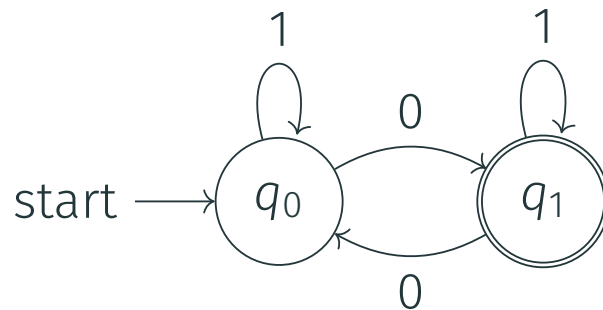
- Where does 001 lead? *q0*

Graphical Representation



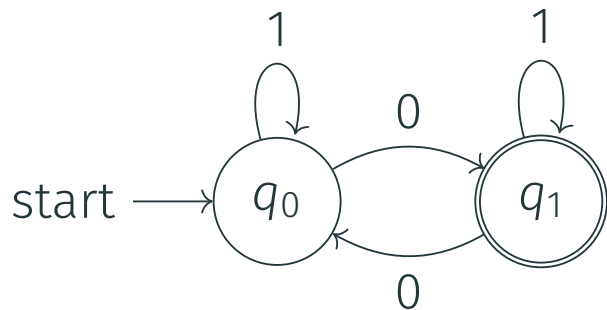
- Where does 001 lead?
- Where does 10010 lead?

Graphical Representation



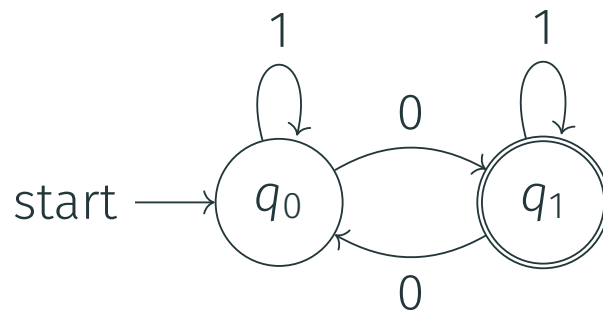
- Where does 001 lead?
- Where does 10010 lead?
- Which strings end up in accepting state? *strings with an odd # of 0's*

Graphical Representation



- Where does 001 lead?
- Where does 10010 lead?
- Which strings end up in accepting state?
- Every string w has a unique walk that it follows from a given state q by reading one letter of w from left to right.

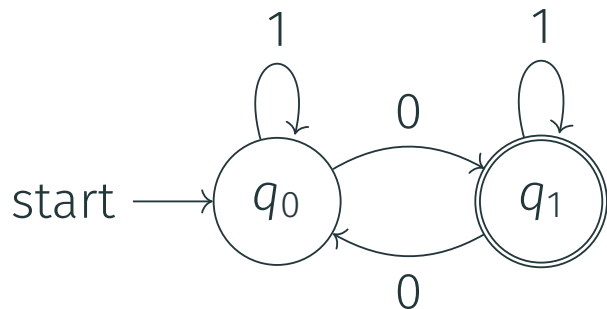
Graphical Representation



Definition

A DFA M **accepts a string** w iff the unique walk starting at the start state and spelling out w ends in an accepting state.

Graphical Representation



Definition

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Definition

The **language accepted** (or recognized) by a DFA M is denoted by $L(M)$ and defined as: $L(M) = \{w \mid M \text{ accepts } w\}$.

reg expr " r "
 $L(r)$ language represented
by the regular expression r

Formal definition of DFA

Formal Tuple Notation

Definition

A **deterministic finite automata (DFA)** $M = (Q, \Sigma, \delta, s, A)$ is a five tuple where

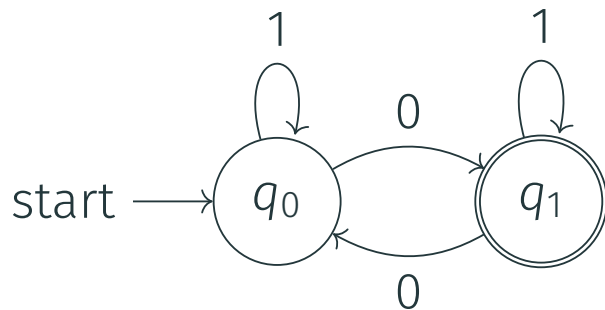
- Q is a finite set whose elements are called **states**,
- Σ is a finite set called the **input alphabet**,
- $\delta : Q \times \Sigma \rightarrow Q$ is the **transition function**,
- $s \in Q$ is the **start state**,
- $A \subseteq Q$ is the set of **accepting/final** states.

Common alternate notation: q_0 for start state, F for final states.

DFA Notation

$$M = \left(\overbrace{Q}^{\text{set of states}}, \underbrace{\Sigma}_{\text{alphabet}}, \overbrace{\delta}^{\text{transition function}}, \underbrace{s}_{\text{start state}}, \overbrace{A}^{\text{accepting states}} \right)$$

Example



- $Q = \{q_0, q_1\}$

- $\Sigma = \{0, 1\}$

- $\delta =$
 $\delta(q_0, 0) = q_1$
 $\delta(q_0, 1) = q_0$
 $\delta(q_1, 0) = q_0$
 $\delta(q_1, 1) = q_1$

- $S = q_0$

- $A = \{q_1\}$

$\delta =$

	0	1
q_0	q_1	q_0
q_1	q_0	q_1

Extending the transition function to strings

Extending the transition function to strings

Given DFA $M = (Q, \Sigma, \delta, s, A)$, $\delta(q, a)$ is the state that M goes to from q on reading letter a

Useful to have notation to specify the unique state that M will reach from q on reading string w

Extending the transition function to strings

Given DFA $M = (Q, \Sigma, \delta, s, A)$, $\delta(q, a)$ is the state that M goes to from q on reading letter a

Useful to have notation to specify the unique state that M will reach from q on reading string w

Transition function $\delta^* : Q \times \Sigma^* \rightarrow Q$ defined inductively as follows:

- $\delta^*(q, w) = q$ if $w = \epsilon$
- $\delta^*(q, w) = \delta^*(\delta(q, a), x)$ if $w = ax$.

$$w = 01$$
$$\delta^*(q_0, 01) = \delta^*(\delta(q_0, 0), 1)$$

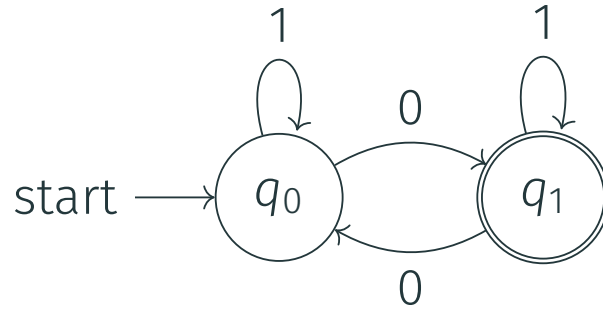
Formal definition of language accepted by **M**

Definition

The language $L(M)$ accepted by a DFA $M = (Q, \Sigma, \delta, s, A)$ is

$$\{w \in \Sigma^* \mid \delta^*(s, w) \in A\}.$$

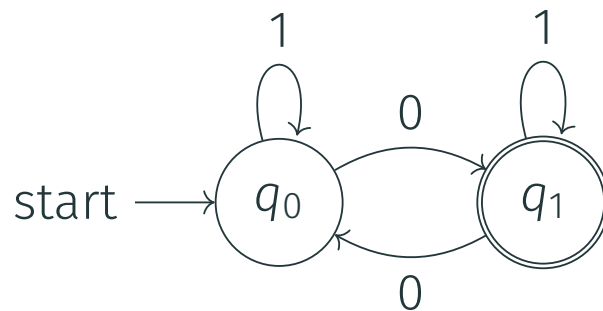
Example



What is:

- $\delta^*(q_1, \epsilon) = \mathbf{q_1}$

Example

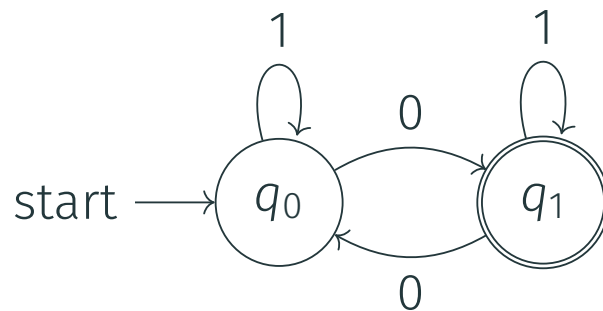


What is:

- $\delta^*(q_1, \epsilon) =$

- $\delta^*(q_0, 1011) =$ **q_1**

Example



What is:

- $\delta^*(q_1, \epsilon) =$
- $\delta^*(q_0, 1011) =$
- $\delta^*(q_1, 010) = 1.$

Constructing DFAs: Examples

DFAs: State = Memory

How do we design a DFA M for a given language L ? That is $L(M) = L$.

- DFA is like a program that has fixed number of states regardless of its input size.
- The state must capture enough information from the input seen so far that it is sufficient for the suffix that is yet to be seen (note that DFA cannot go back)

DFA Construction: Example I: Basic languages

Assume $\Sigma = \{0, 1\}$.



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1. $L = \emptyset$

2. $L = \Sigma^*$



DFA Construction: Example I: Basic languages

Assume $\Sigma = \{0, 1\}$.

1. $L = \emptyset$

2. $L = \Sigma^*$

3. $L = \{\epsilon\}$



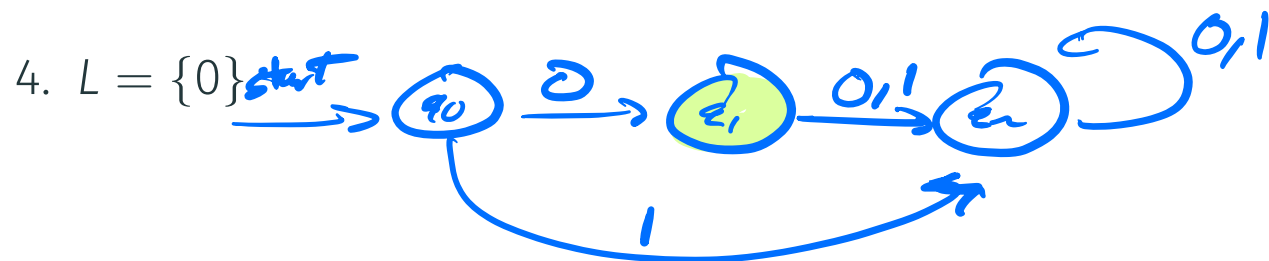
DFA Construction: Example I: Basic languages

Assume $\Sigma = \{0, 1\}$.

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2. $L = \Sigma^*$

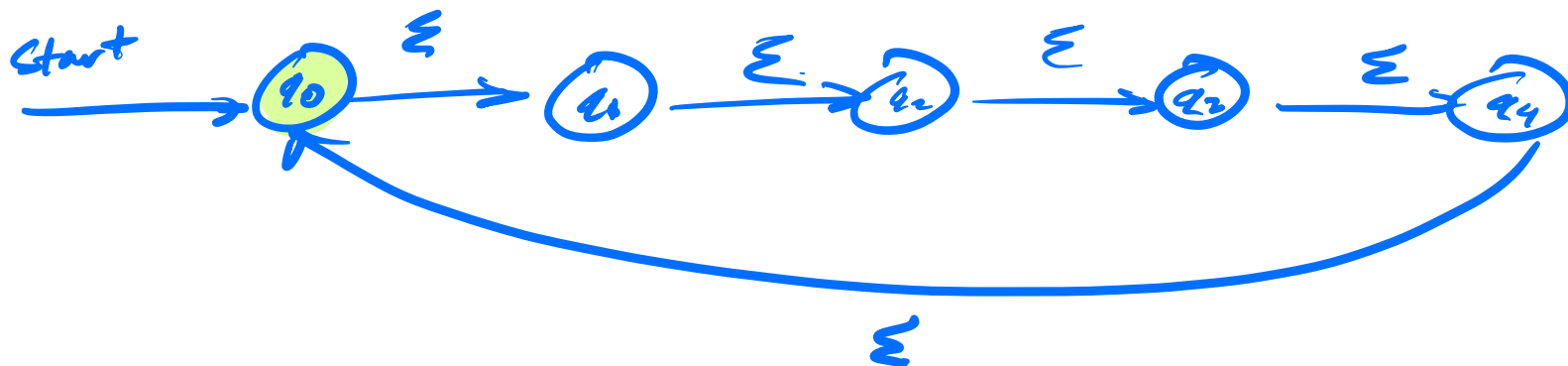
3. $L = \{\epsilon\}$



DFA Construction: Example II: Length divisible by 5

Assume $\Sigma = \{0, 1\}$.

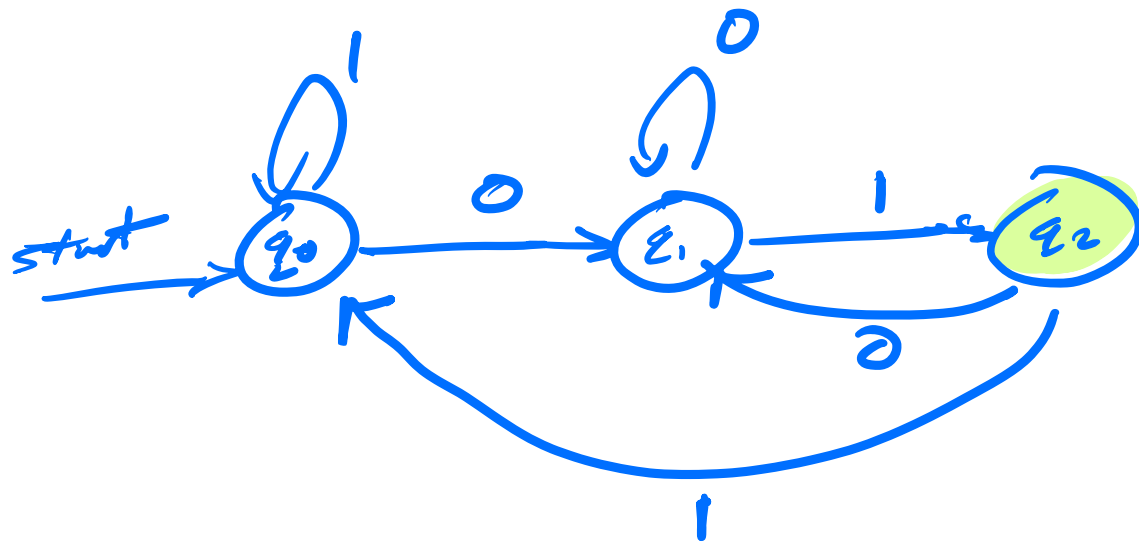
$L = \{w \in \{0, 1\}^* \mid |w| \text{ is divisible by } 5\}$



DFA Construction: Example III: Ends with 01

Assume $\Sigma = \{0, 1\}$.

$L = \{w \in \{0, 1\}^* \mid w \text{ ends with } \underline{01}\}$

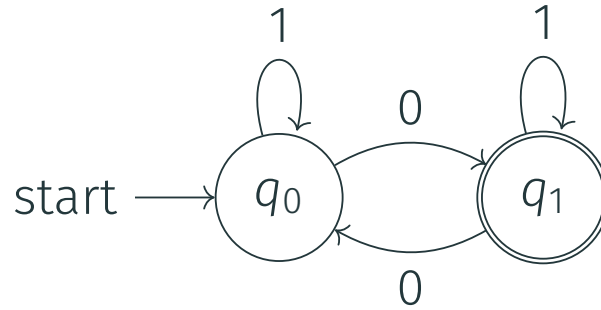


01
0101
01011

Complement language

Complement

Question: If M is a DFA, is there a DFA M' such that $L(M') = \Sigma^* \setminus L(M)$? That is, are languages recognized by DFAs closed under complement?

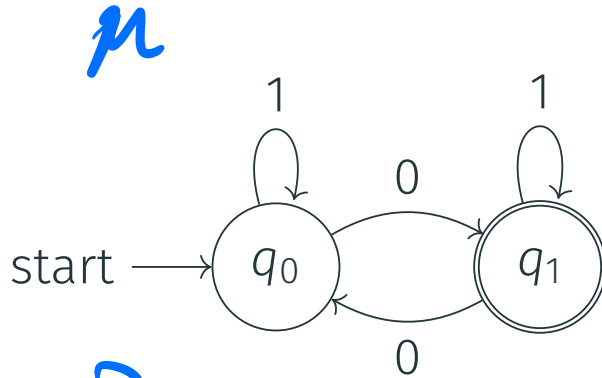


$L = \{w \in \Sigma^* \mid w \text{ has an odd \# of zeros}\}$

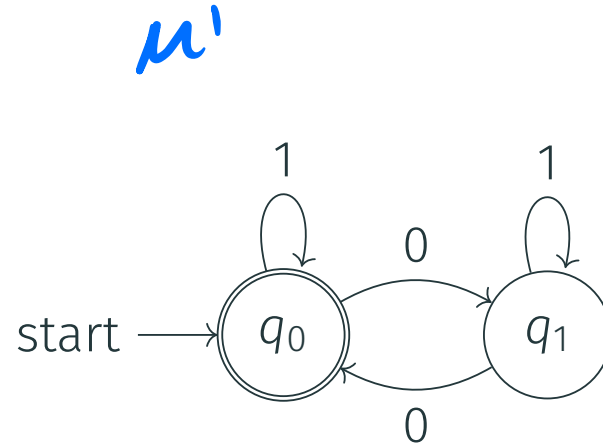
$L' = \{w \in \Sigma^* \mid w \text{ ~~has~~ ^{that does not have} an odd \# of zeros}\}$

Complement

Just flip the state of the states!



Q
 Σ
 δ
 s
 A



$$Q' = Q$$

$$\Sigma' = \Sigma$$

$$\delta' = \delta$$

$$s' = s$$

$$A' = Q - A$$

language
transformation

Complement

Theorem

Languages accepted by DFAs are closed under complement.

Property: Representable by a DFA

Set A has all positive integers

Set B has all positive integers

$$A = \left\{ \begin{array}{l} 100 \\ 200 \\ 47 \end{array} \right\}$$

$$B = \left\{ \begin{array}{l} 3 \\ 11 \end{array} \right\}$$

A & B have the property of having all positive integers

$A \cdot B = C$ has all positive integers

Function of complement is closed for languages that are representable by a DFA

Multiplication is closed for the property of all positive integers

Complement

Theorem

Languages accepted by DFAs are closed under complement.

Proof.

Let $M = (Q, \Sigma, \delta, s, A)$ such that $L = L(M)$.

Let $M' = (Q, \Sigma, \delta, s, Q \setminus A)$. Claim: $L(M') = \bar{L}$. Why?

$\delta_M^* = \delta_{M'}^*$. Thus, for every string w , $\delta_M^*(s, w) = \delta_{M'}^*(s, w)$.

$\delta_M^*(s, w) \in A \Rightarrow \delta_{M'}^*(s, w) \notin Q \setminus A$. $\delta_M^*(s, w) \notin A \Rightarrow \delta_{M'}^*(s, w) \in Q \setminus A$. □

Product Construction

Union and Intersection

Are languages accepted by DFAs closed under union? That is, given DFAs M_1 and M_2 is there a DFA that accepts $L(M_1) \cup L(M_2)$?

How about intersection $L(M_1) \cap L(M_2)$?

Union and Intersection

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Idea from programming: on input string w

- Simulate M_1 on w
- Simulate M_2 on w
- If both accept then $w \in L(M_1) \cap L(M_2)$. If at least one accepts then $w \in L(M_1) \cup L(M_2)$.

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- **Catch:** We want a single DFA M that can only read w once.

Union and Intersection

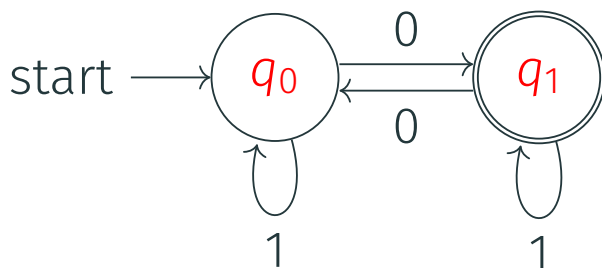
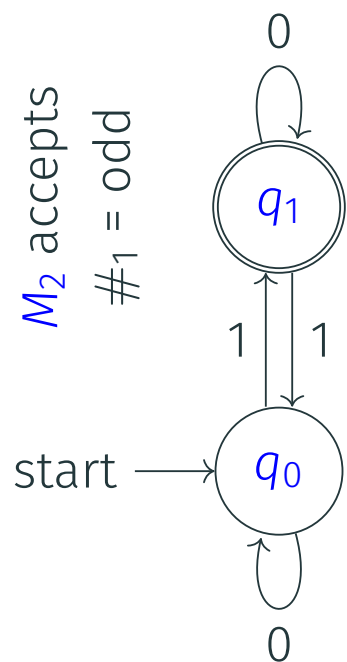
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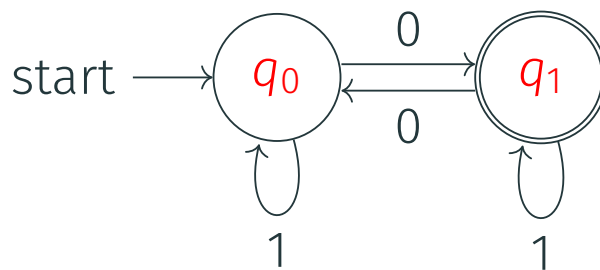
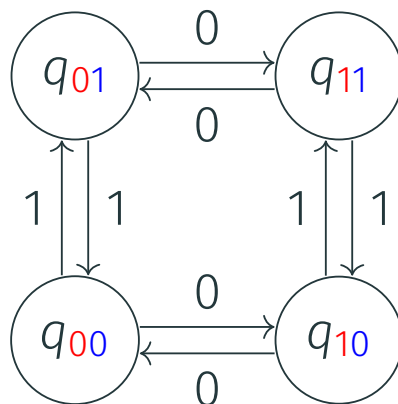
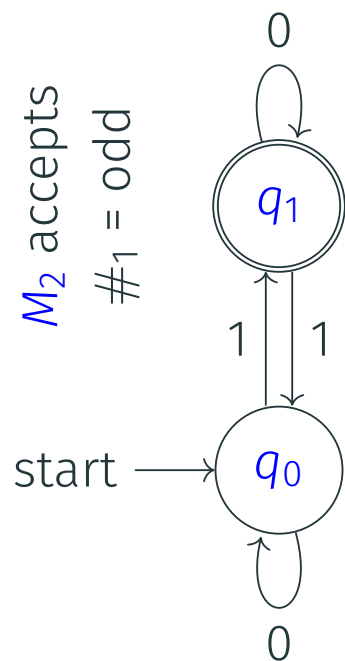
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- **Catch:** We want a single DFA M that can only read w once.
- **Solution:** Simulate M_1 and M_2 in **parallel** by keeping track of states of both machines

Cross-Product Example



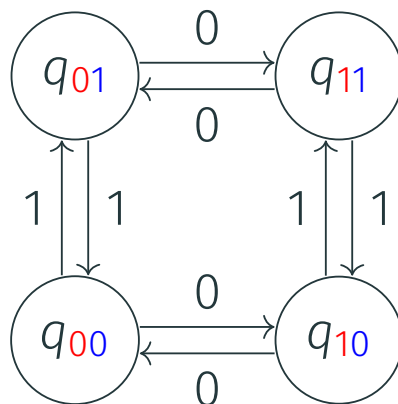
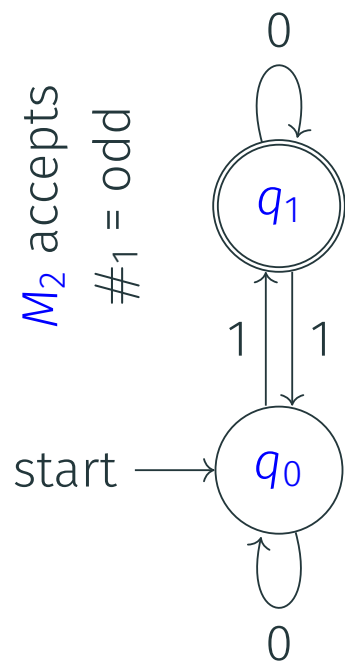
M_1 accepts
 $\#_0 = \text{odd}$

Cross-Product Example

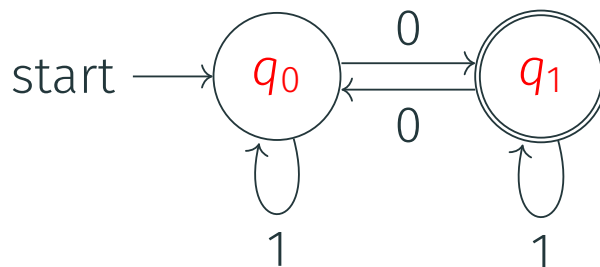


M_1 accepts
 $\#_0 = \text{odd}$

Cross-Product Example



What language does
 M_{12} accept?



M_1 accepts
 $\#_0 = \text{odd}$

Product construction for intersection

$M_1 = (Q_1, \Sigma, \delta_1, s_1, A_1)$ and $M_2 = (Q_2, \Sigma, \delta_2, s_2, A_2)$

Theorem

$L(M) = L(M_1) \cap L(M_2)$.

Create $M = (Q, \Sigma, \delta, s, A)$ where

Product construction for intersection

$$M_1 = (Q_1, \Sigma, \delta_1, s_1, A_1) \text{ and } M_2 = (Q_2, \Sigma, \delta_2, s_2, A_2)$$

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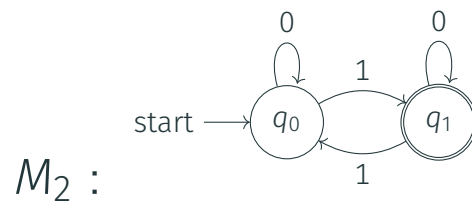
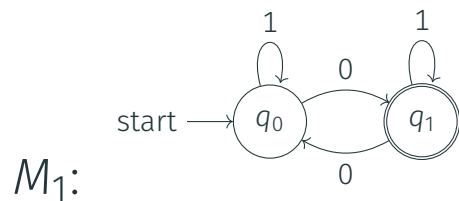
- $Q =$

- $s =$

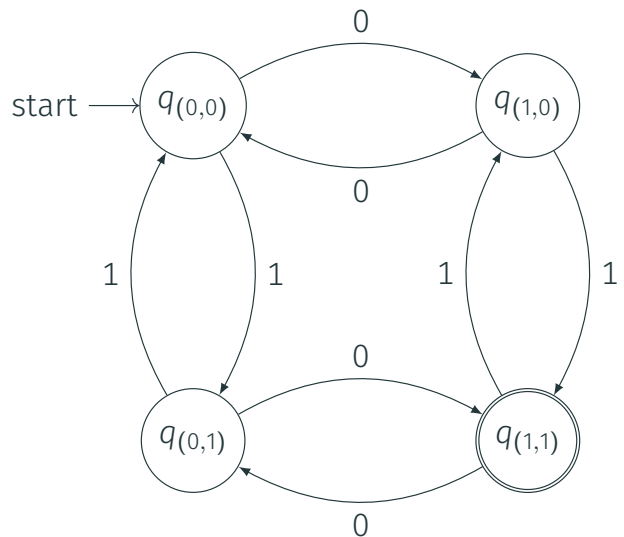
- $\delta :$

- $A = A_1 \times A_2$

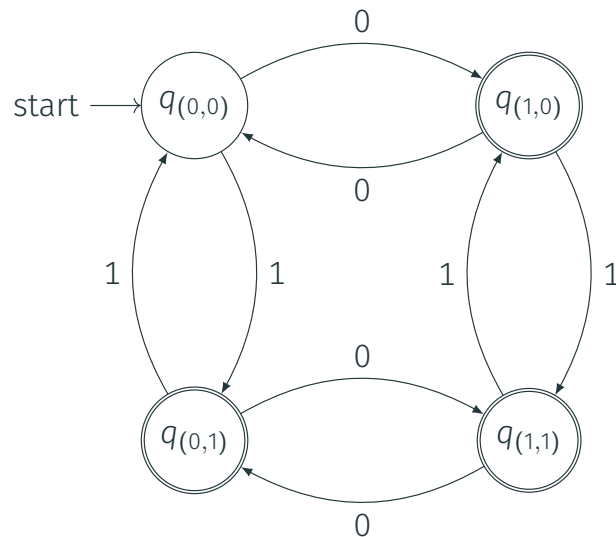
Intersection vs Union



$M_1 \cap M_2$



$M_1 \cup M_2$



Product construction for union

$M_1 = (Q_1, \Sigma, \delta_1, s_1, A_1)$ and $M_2 = (Q_2, \Sigma, \delta_2, s_2, A_2)$

Theorem

$L(M) = L(M_1) \cup L(M_2)$.

Create $M = (Q, \Sigma, \delta, s, A)$ where

- $Q = Q_1 \times Q_2 = \{(q_1, q_2) \mid q_1 \in Q_1, q_2 \in Q_2\}$
- $s = (s_1, s_2)$
- $\delta : Q \times \Sigma \rightarrow Q$ where

$$\delta((q_1, q_2), a) = (\delta_1(q_1, a), \delta_2(q_2, a))$$

- $A = \{(q_1, q_2) \mid q_1 \in A_1 \text{ or } q_2 \in A_2\}$

The End

Wonder why we had to specify *deterministic* finite automata? That's for next time.