Pre-lecture teaser

Given the language:

$$L = \{ww^R | w \in \{0, 1\}^*\} \tag{1}$$

Prove that this language is non-regular

ECE-374-B: Lecture 6 - Context-Free Grammars

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September 12, 2023

University of Illinois at Urbana-Champaign

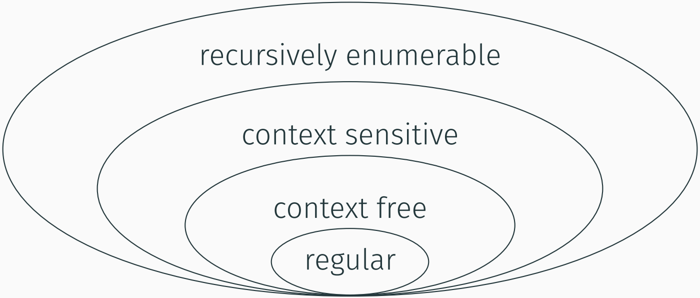
Pre-lecture teaser

Given the language:

$$L = \{ww^R | w \in \{0, 1\}^*\} \tag{2}$$

Prove that this language is non-regular

Chomsky hierarchy revisited



Example of Context-Free Languages

New addition to our toolbox

Regular languages could be constructed using a finite number of:

- Unions
- Concatenations
- Repetitions

With context-free languages we have a much more powerful tool:

Substitution (aka recursion)!

Example

- $V = \{S\}$
- $T = \{0, 1\}$
- $P = \{S \to \epsilon \mid 0S0 \mid 1S1\}$
  (abbrev. for $S \to \epsilon, S \to 0S0, S \to 1S1$)

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$$S \rightsquigarrow 0S0 \rightsquigarrow 01S10 \rightsquigarrow 011S110 \rightsquigarrow 011\varepsilon110 \rightsquigarrow 011110$$

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What strings can S generate like this?

Formal definition of context-free languages (CFGs)

Context Free Grammar (CFG) Definition

Definition
A CFG is a quadruple $G = (V, T, P, S)$

- V is a finite set of non-terminal (variable) symbols

$$G = \Big(\quad \text{Variables}, \quad \text{Terminals}, \quad \text{Productions}, \quad \text{Start var} \quad \Big)$$

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- P is a finite set of productions, each of the form
  $A \rightarrow \alpha$
  where $A \in V$ and α is a string in $(V \cup T)^*$.
  Formally, $P \subset V \times (V \cup T)^*$.

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- $S \in V$ is a start symbol

$$G = \Big(\quad \text{Variables,} \quad \text{Terminals,} \quad \text{Productions,} \quad \text{Start var} \quad \Big)$$

Example formally...

- $V = \{S\}$
- $T = \{0, 1\}$
- $P = \{S \to \epsilon \mid 0S0 \mid 1S1\}$
  (abbrev. for $S \to \epsilon, S \to 0S0, S \to 1S1$)

$$G = \left(\{S\}, \quad \{0, 1\}, \quad \left\{ \begin{matrix} S \to \epsilon, \\ S \to 0S0 \\ S \to 1S1 \end{matrix} \right\} \quad S \quad \right)$$

Notation and Convention

Let $G = (V, T, P, S)$ then

- $a, b, c, d, \ldots,$ in T (terminals)
- $A, B, C, D, \ldots,$ in V (non-terminals)
- $u, v, w, x, y, \ldots$ in T^* for strings of terminals
- $\alpha, \beta, \gamma, \ldots$ in $(V \cup T)^*$
- X, Y, X in $V \cup T$

“Derives” relation

Formalism for how strings are derived/generated

Definition
Let $G = (V, T, P, S)$ be a CFG. For strings $\alpha_1, \alpha_2 \in (V \cup T)^*$ we say α_1 derives α_2 denoted by $\alpha_1 \rightsquigarrow_G \alpha_2$ if there exist strings β, γ, δ in $(V \cup T)^*$ such that

- $\alpha_1 = \beta A \delta$
- $\alpha_2 = \beta \gamma \delta$
- $A \to \gamma$ is in P.

Examples: $S \rightsquigarrow \epsilon$, $S \rightsquigarrow 0S1$, $0S1 \rightsquigarrow 00S11$, $0S1 \rightsquigarrow 01$.

“Derives” relation continued

Definition
For integer $k \geq 0$, $\alpha_1 \rightsquigarrow^k \alpha_2$ inductive defined:

- $\alpha_1 \rightsquigarrow^0 \alpha_2$ if $\alpha_1 = \alpha_2$
- $\alpha_1 \rightsquigarrow^k \alpha_2$ if $\alpha_1 \rightsquigarrow \beta_1$ and $\beta_1 \rightsquigarrow^{k-1} \alpha_2$.

“Derives” relation continued

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- Alternative definition: $\alpha_1 \rightsquigarrow^k \alpha_2$ if $\alpha_1 \rightsquigarrow^{k-1} \beta_1$ and $\beta_1 \rightsquigarrow \alpha_2$

“Derives” relation continued

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$\rightsquigarrow^*$ is the reflexive and transitive closure of $\rightsquigarrow$.

$\alpha_1 \rightsquigarrow^* \alpha_2$ if $\alpha_1 \rightsquigarrow^k \alpha_2$ for some k.

Examples: $S \rightsquigarrow^* \epsilon$, $0S1 \rightsquigarrow^* 0000011111$.

Context Free Languages

Definition
The language generated by CFG $G = (V, T, P, S)$ is denoted by $L(G)$ where $L(G) = \{w \in T^* \mid S \rightsquigarrow^* w\}$.

Context Free Languages

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The language generated by CFG $G = (V, T, P, S)$ is denoted by $L(G)$ where $L(G) = \{w \in T^* \mid S \rightsquigarrow^* w\}$.

Definition
A language L is context free (CFL) if it is generated by a context free grammar. That is, there is a CFG G such that $L = L(G)$.

Example

$L = \{0^n 1^n \mid n \geq 0\}$

Example

$L = \{0^n 1^n \mid n \geq 0\}$

$L = \{0^n 1^m \mid m > n\}$

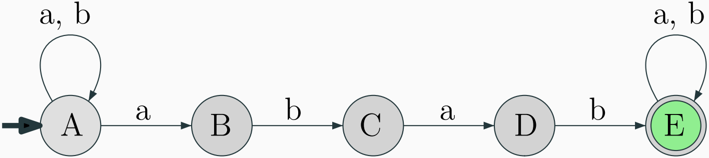
Converting regular languages into CFL

Regular Grammar

What was the grammar for a regular language?

Let's figure it out visually!

Converting regular languages into CFL I

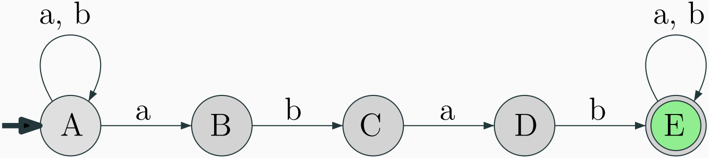


$$G = \left(\{A, B, C, D, E\}, \{a, b\}, \left\{ \begin{array}{c} A \to aA, A \to bA, A \to aB, \\ B \to bC, \\ C \to aD, \\ D \to bE, \\ E \to aE, E \to bE, E \to \varepsilon \end{array} \right\}, A \right)$$

Converting regular languages into CFL II

$M = (Q, \Sigma, \delta, s, A)$: DFA for regular language L.

$$G = \left(\overbrace{Q}^{\text{Variables}}, \overbrace{\Sigma}^{\text{Terminals}}, \overbrace{\begin{matrix} \{q \to a\delta(q,a) \mid q \in Q, a \in \Sigma\} \\ \cup \{q \to \varepsilon \mid q \in A\} \end{matrix}}^{\text{Productions}}, \overbrace{s}^{\text{Start var}} \right)$$



Converting regular languages into CFL I

$$G = \left(\{A, B, C, D, E\}, \{a, b\}, \left\{ \begin{array}{c} A \rightarrow aA, A \rightarrow bA, A \rightarrow aB, \\ B \rightarrow bC, \\ C \rightarrow aD, \\ D \rightarrow bE, \\ E \rightarrow aE, E \rightarrow bE, E \rightarrow \varepsilon \end{array} \right\}, A \right)$$

In regular languages:

- Terminals can only appear on one side of the production string
- Only one varibale allowed in production result

The result...

Lemma

For an regular language L, there is a context-free grammar (CFG) that generates it.

Push-down automata

The machine that generates CFGs

$\{0^n 1^n | n \geq 0\}$ is a CFL.

We have NFAs from regular languages. What can we add to enable them to recognize CFLs?

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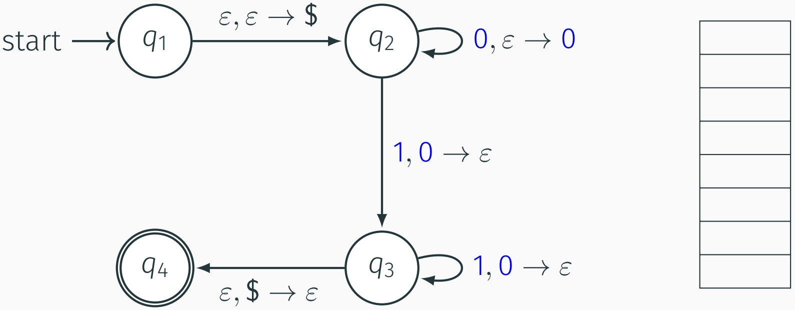
We have NFAs from regular languages. What can we add to enable them to recognize CFLs?

We need a stack!

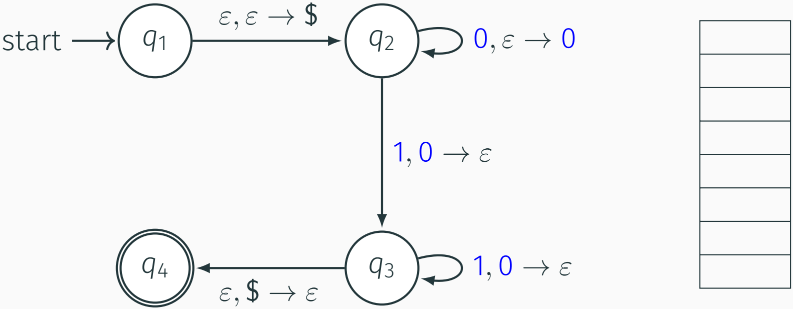
Push-down automata example



Each transition is formatted as:

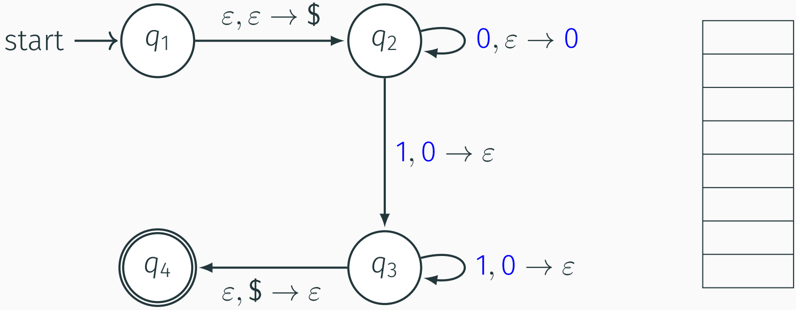
$$\langle\text{input read}\rangle, \langle\text{stack pop}\rangle \to \langle\text{stack push}\rangle \tag{3}$$

Push-down automata example



Does this machine recognize 0011?

Push-down automata example



Does this machine recognize 0101?

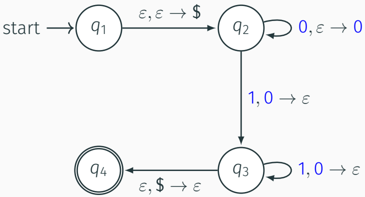
Formal Tuple Notation

Definition
A non-deterministic push-down automata $P = (Q, \Sigma, \Gamma, \delta, s, A)$ is a **six** tuple where

- Q is a finite set whose elements are called states,
- Σ is a finite set called the input alphabet,
- Γ is a finite set called the stack alphabet,
- $\delta : Q \times \Sigma \cup \{\varepsilon\} \times \Gamma \cup \{\varepsilon\} \to \mathcal{P}(Q \times (\Gamma \cup \{\varepsilon\}))$ is the transition function
- s is the start state
- A is the set of accepting states

Non-deterministic PDAs are more powerful than deterministic PDAs. Hence we'll only be talking about non-determinisitc PDAs.

Formal Tuple Notation of 0^n1^n



- $Q =$
- $\Sigma =$
- $\Gamma =$
- $S =$
- $A =$

$\delta =$

Input Stack	0			1			ε		
	0	$	ε	0	$	ε	0	$	ε
q_1									$\{(q_2, \$)\}$
q_2			$\{(q_2, 0)\}$	$\{(q_3, \varepsilon)\}$					
q_3				$\{(q_3, \varepsilon)\}$				$\{(q_4, \varepsilon)\}$	
q_4									

CFGs and PDAs

Convert a CFG to a PDA I

Converting a CFG to a PDA is simple (but a little tedious). Let's demonstrate via simple example:

$$S \rightarrow 0S|1$$

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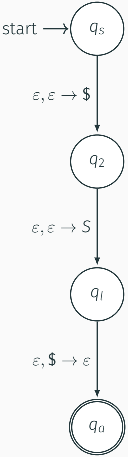
Idea:

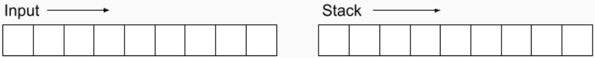
- We try to recreate the string on the stack:
    - Everytime we see a non-terminal, we replace it by one of the replacement rules.
    - Everytime we see a terminal symbol, we take that symbol from the input.
- if we reach a point where there stack is empty and the input is empty, then we accept the string.

Convert a CFG to a PDA I


start
q_s
$\varepsilon, \varepsilon \to \$$
q_2
$\varepsilon, \varepsilon \to S$
q_l
$\varepsilon, \$ \to \varepsilon$
q_a

$$S \to 0S|1|\epsilon$$

- First let's put in a $ to mark the end of the string
- Also let's put in the start symbol on the stack.



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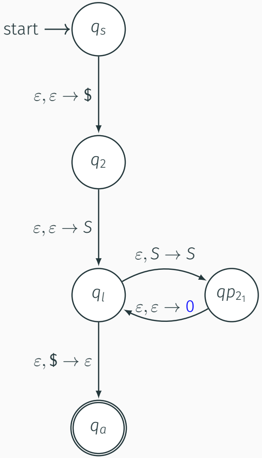
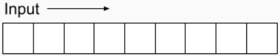


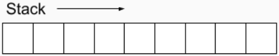
start
q_s
$\varepsilon, \varepsilon \to \$$
q_2
$\varepsilon, \varepsilon \to S$
$\varepsilon, S \to S$
q_l
qp_{2_1}
$\varepsilon, \varepsilon \to 0$
$\varepsilon, \$ \to \varepsilon$
q_a

$$S \to 0S|1|\epsilon$$

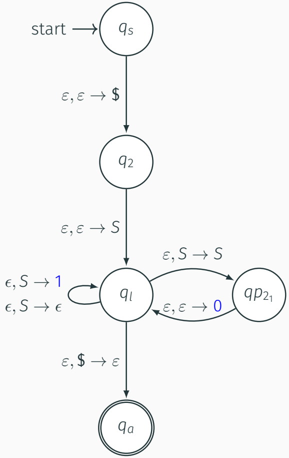
Next we want to add a loop for every non-terminla symbol that replaces that non-terminal with the result. Consider the rule: $S \to 0S$

- So we got to pop the S non-terminal,
- Add a S non-terminal to the stack.
- And add a 0 terminal to the stack.





Convert a CFG to a PDA I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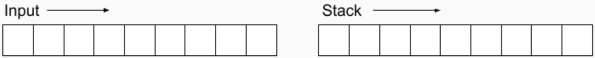
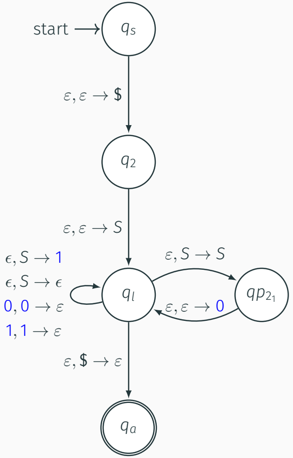
$\epsilon, S \to 1$
$\epsilon, S \to \epsilon$
q_l
qp_{2_1}
$\varepsilon, \varepsilon \to 0$
$\varepsilon, \$ \to \varepsilon$
q_a

$S \to 0S|1|\epsilon$

Do the same thing for $S \to 1$ and $S \to \epsilon$



Convert a CFG to a PDA I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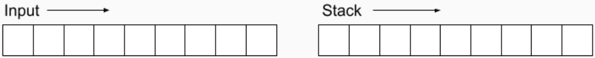
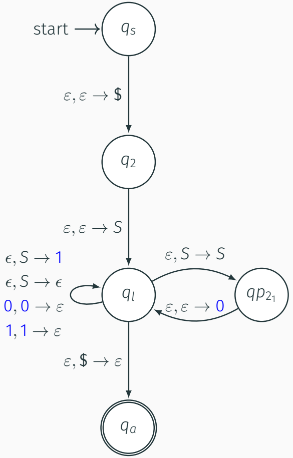
q_2
$\varepsilon, \varepsilon \to S$
$\epsilon, S \to 1$
$\epsilon, S \to \epsilon$
$0, 0 \to \varepsilon$
$1, 1 \to \varepsilon$
q_l
$\varepsilon, S \to S$
qp_{2_1}
$\varepsilon, \varepsilon \to 0$
$\varepsilon, \$ \to \varepsilon$
q_a

$$S \to 0S|1|\epsilon$$

If we see a non-terminal symbol on the stack, then we can cross that symbol from the input.
Got to add transitions to do that.



Convert a CFG to a PDA I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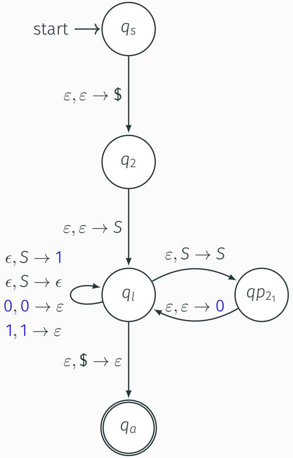
$\varepsilon, \$ \rightarrow \varepsilon$
q_a

$$S \rightarrow 0S|1|\epsilon$$

Let's go over the operation again:

Convert a CFG to a PDA I



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$\epsilon, S \to 1$
$\epsilon, S \to \epsilon$
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q_l
$\varepsilon, S \to S$
qp_{2_1}
$\varepsilon, \varepsilon \to 0$
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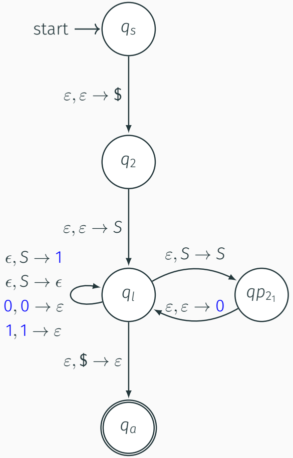
q_a

$$S \to 0S|1|\epsilon$$

Let’s go over the operation again:

- Does this automata accept 001?

Convert a CFG to a PDA I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$\epsilon, S \rightarrow 1$
$\epsilon, S \rightarrow \epsilon$
$0, 0 \rightarrow \varepsilon$
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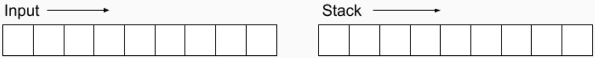
q_l
$\varepsilon, S \rightarrow S$
qp_{2_1}
$\varepsilon, \varepsilon \rightarrow 0$
$\varepsilon, \$ \rightarrow \varepsilon$
q_a

$$S \rightarrow 0S|1|\epsilon$$

Let's go over the operation again:

- Does this automata accept 001?
- Does this automata accept 010?

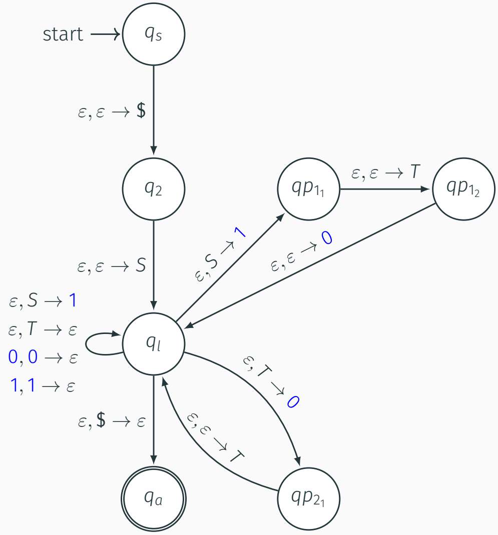


Convert a CFG to a PDA II

Let’s do a harder example:

$$S \rightarrow 0T1|1$$
$$T \rightarrow T0|\varepsilon$$

Convert a CFG to a PDA II



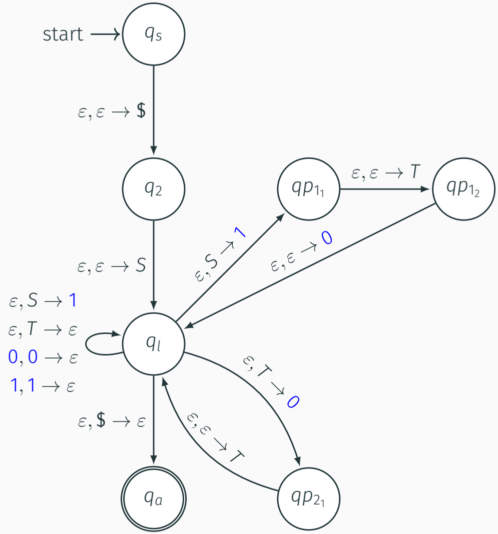
start
q_s
$\varepsilon, \varepsilon \to \$$
q_2
$\varepsilon, \varepsilon \to S$
qp_{1_1}
$\varepsilon, \varepsilon \to T$
qp_{1_2}
$\varepsilon, S \to 1$
$\varepsilon, \varepsilon \to 0$
$\varepsilon, S \to 1$
$\varepsilon, T \to \varepsilon$
$0, 0 \to \varepsilon$
$1, 1 \to \varepsilon$
q_l
$\varepsilon, T \to 0$
$\varepsilon, \$ \to \varepsilon$
$\varepsilon, \varepsilon \to T$
q_a
qp_{2_1}

$S \to 0T1|1$

$T \to T0|\varepsilon$

The goal of our PDA is to construct the string within the stack and pop off the leftmost terminals when we read those terminals on the input string.

Convert a CFG to a PDA II



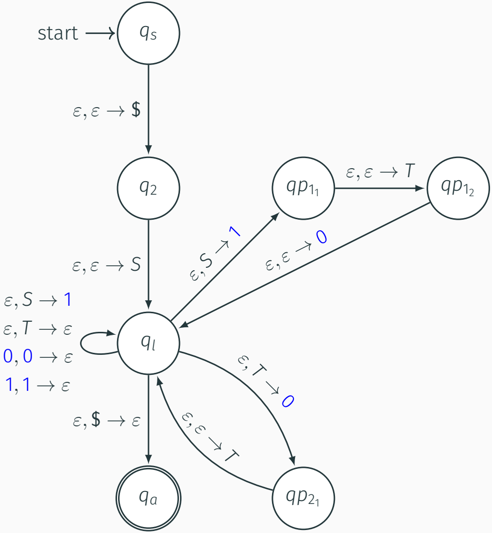
start
q_s
$\varepsilon, \varepsilon \to \$$
q_2
$\varepsilon, \varepsilon \to S$
qp_{1_1}
$\varepsilon, \varepsilon \to T$
qp_{1_2}
$\varepsilon, S \to 1$
$\varepsilon, \varepsilon \to 0$
$\varepsilon, S \to 1$
$\varepsilon, T \to \varepsilon$
$0, 0 \to \varepsilon$
$1, 1 \to \varepsilon$
q_l
$\varepsilon, T \to 0$
$\varepsilon, \$ \to \varepsilon$
$\varepsilon, \varepsilon \to T$
q_a
qp_{2_1}

$S \to 0T1|1$

$T \to T0|\varepsilon$

- First we need to mark the start of the stack.
- Then we put the start variable on the stack.

Convert a CFG to a PDA II



start
q_s
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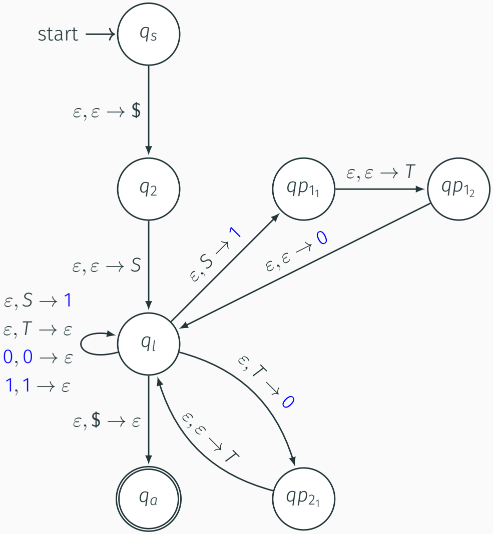
q_2
$\varepsilon, \varepsilon \rightarrow S$
qp_{1_1}
$\varepsilon, \varepsilon \rightarrow T$
qp_{1_2}
$\varepsilon, S \rightarrow 1$
$\varepsilon, \varepsilon \rightarrow 0$
$\varepsilon, S \rightarrow 1$
$\varepsilon, T \rightarrow \varepsilon$
$0, 0 \rightarrow \varepsilon$
$1, 1 \rightarrow \varepsilon$
q_l
$\varepsilon, T \rightarrow 0$
$\varepsilon, \$ \rightarrow \varepsilon$
$\varepsilon, \varepsilon \rightarrow T$
q_a
qp_{2_1}

$S \rightarrow 0T1|1$

$T \rightarrow T0|\varepsilon$

- We create a loop for each production rule.
- If we read a terminal that matches the input we pop it.

Convert a CFG to a PDA II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q_s
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q_2
$\varepsilon, \varepsilon \rightarrow S$
qp_{1_1}
$\varepsilon, \varepsilon \rightarrow T$
qp_{1_2}
$\varepsilon, S \rightarrow 1$
$\varepsilon, \varepsilon \rightarrow 0$
$\varepsilon, S \rightarrow 1$
$\varepsilon, T \rightarrow \varepsilon$
$0, 0 \rightarrow \varepsilon$
$1, 1 \rightarrow \varepsilon$
q_l
$\varepsilon, T \rightarrow 0$
$\varepsilon, \$ \rightarrow \varepsilon$
$\varepsilon, \varepsilon \rightarrow T$
q_a
qp_{2_1}

$S \rightarrow 0T1|1$

$T \rightarrow T0|\varepsilon$

Computation ends when all the variables/terminals have been popped off the stack and the input is empty.

Determinism in Context-Free Languages

As you remember, deterministic finite automata (DFAs) and nondeterministic finite automata (NFAs) are equivalent in language recognition power.

Not so for PDAs. The previous PDA could not be completed using a deterministic PDA because we need to know where the middle of the input string is for determinism!

$L = \{0^n 1^n | n \geq 0\}$ can be modeled with a deterministic-PDA.

Learn more in CS 475 (Beyond the scope of this class.)

Closure properties of CFLs

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$G_1 = (V_1, T, P_1, S_1)$ and $G_2 = (V_2, T, P_2, S_2)$

Assumption: $V_1 \cap V_2 = \emptyset$, that is, non-terminals are not shared

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Closure Properties of CFLs- Union

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Assumption: $V_1 \cap V_2 = \emptyset$, that is, non-terminals are not shared.

Theorem

CFLs are closed under union. L_1, L_2 CFLs implies $L_1 \cup L_2$ is a CFL.

Closure Properties of CFLs- Concatenation

Theorem

CFLs are closed under concatenation. L_1, L_2 *CFLs implies* $L_1 \bullet L_2$ *is a CFL.*

Closure Properties of CFLs- Kleene star

Theorem

CFLs are closed under Kleene star.

If L is a CFL $\implies$ L^* is a CFL.

Bad news: Canonical non-CFL

Theorem
$L = \{a^n b^n c^n \mid n \geq 0\}$ *is not context-free.*

Proof based on pumping lemma for CFLs. See supplemental for the proof.

More bad news: CFL not closed under intersection

Theorem

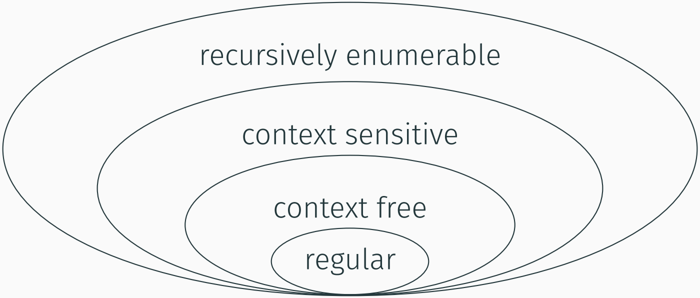
CFLs are not closed under intersection.

Even more bad news: CFL not closed under complement

Theorem

CFLs are not closed under complement.

The more you know!



We’re making our way up the Chompsky hierarchy!

Next stop: context-sensitive, and decidable languages.

Parse trees and ambiguity

Parse Trees or Derivation Trees

A tree to represent the derivation $S \rightsquigarrow^* w$.

- Rooted tree with root labeled S
- Non-terminals at each internal node of tree
- Terminals at leaves
- Children of internal node indicate how non-terminal was expanded using a production rule

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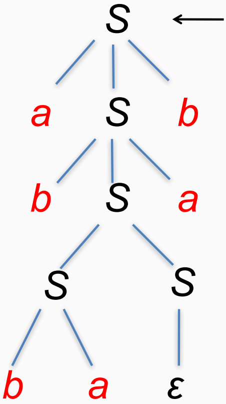
A picture is worth a thousand words

Example



← A derivation tree for *abbaab*

(also called "parse tree")

S → *aSb* | *bSa* | *SS* | *ab*| *ba* | ε

A corresponding derivation of *abbaab*

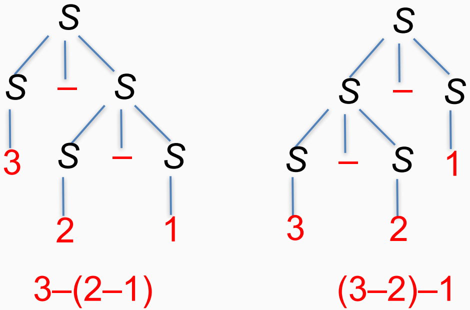
↓

$S \rightarrow aSb \rightarrow abSab \rightarrow abSSab \rightarrow abbaSab \rightarrow abbaab$

Ambiguity in CFLs

Definition
A CFG G is ambiguous if there is a string $w \in L(G)$ with two different parse trees. If there is no such string then G is unambiguous.

Example: $S \rightarrow S - S \mid 1 \mid 2 \mid 3$

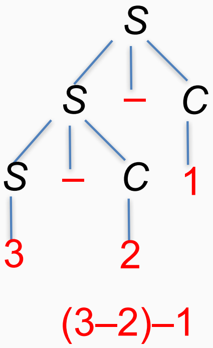


Ambiguity in CFLs

- Original grammar: $S \to S - S \mid 1 \mid 2 \mid 3$
- Unambiguous grammar:

  $S \to S - C \mid 1 \mid 2 \mid 3$

  $C \to 1 \mid 2 \mid 3$



The grammar forces a parse corresponding to left-to-right evaluation.

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- There exist inherently ambiguous CFLs.
  Example: $L = \{a^n b^m c^k \mid n = m \text{ or } m = k\}$
- Given a grammar G it is undecidable to check whether $L(G)$ is inherently ambiguous. No algorithm!

Supplemental: Why $a^n b^n c^n$ is not CFL

You are bound to repeat yourself...

$L = \{a^n b^n c^n \mid n \geq 0\}$.

- For the sake of contradiction assume that there exists a grammar:
  G a CFG for L.
- T_i: minimal parse tree in G for $a^i b^i c^i$.

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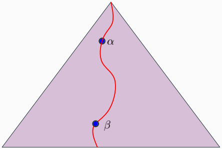
- For the sake of contradiction assume that there exists a grammar:
  G a CFG for L.
- T_i: minimal parse tree in G for $a^i b^i c^i$.
- $h_i = \mathrm{height}(T_i)$: Length of longest path from root to leaf in T_i.
- For any integer t, there must exist an index $j(t)$, such that $h_{j(t)} > t$.
- There an index j, such that $h_j > \Big(2 * \text{ \# variables in } G \Big)$.

Repetition in the parse tree...



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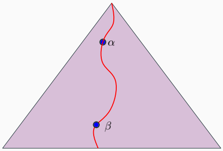

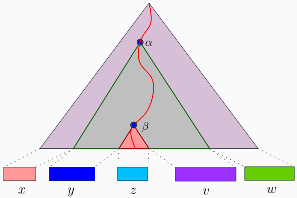

$$xyzvw = a^j b^j c^j$$

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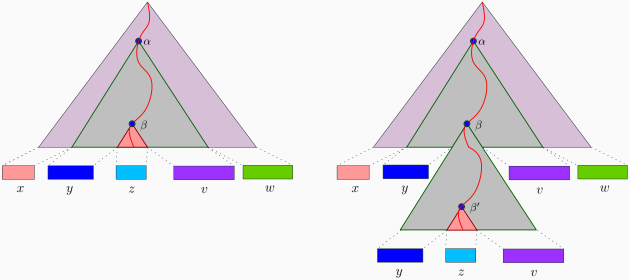

$$xyzvw = a^j b^j c^j \implies xy^2zv^2w \in L$$

Now for some case analysis...

- We know:
  $xyzvw = a^j b^j c^j$
  $|y| + |v| > 0$.
- We proved that $\tau = xy^2zv^2w \in L$.

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- Similarly, not possible that v contains both b and c.
- If y contains only as, and v contains only bs, then...
  $\#_{(a)}(\tau) \neq \#_{(c)}(\tau)$.
  Not possible.

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- Similarly, not possible that y contains only as, and v contains only cs.

  Similarly, not possible that y contains only bs, and v contains only cs.
- Must be that $\tau \notin L$. A contradiction.

We conclude...

Lemma
The language $L = \left\{a^n b^n c^n \;\middle|\; n \geq 0\right\}$ is not CFL (i.e., there is no CFG for it).