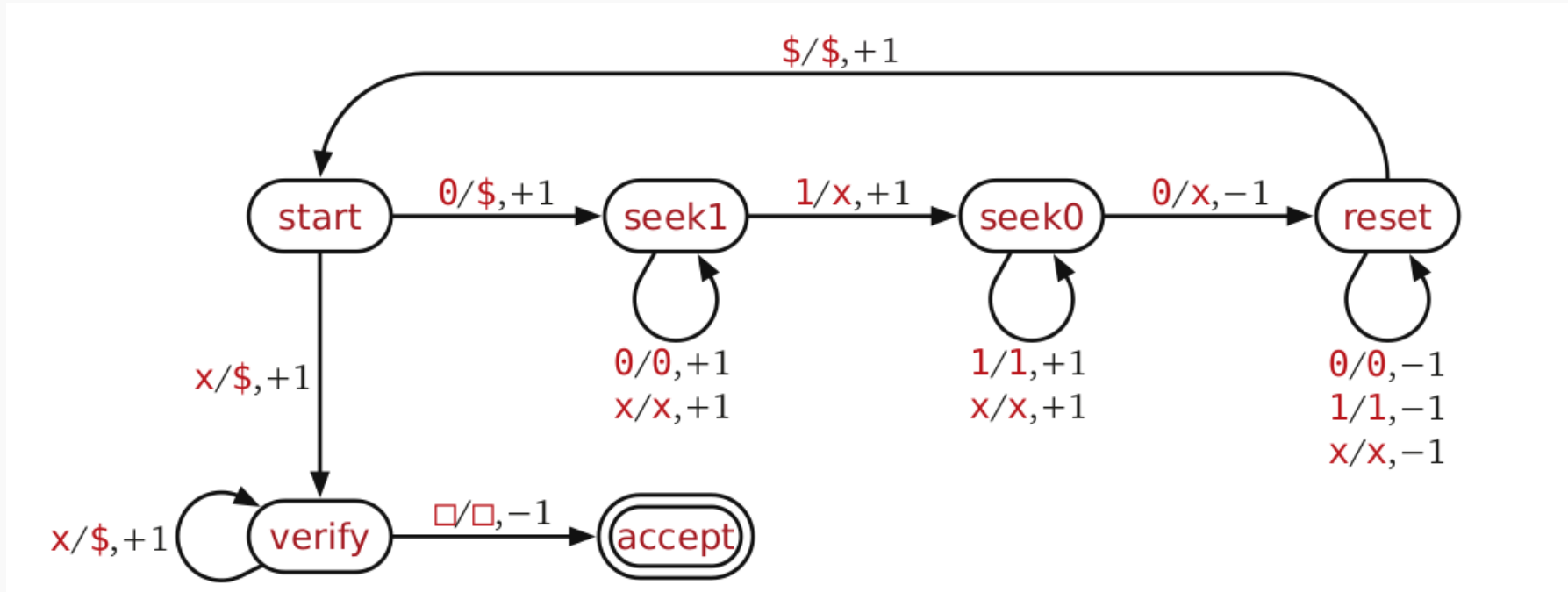




# Pre-lecture brain teaser

You have the following Turing machine diagram that accepts a particular language whose alphabet  $\Sigma = \{0, 1\}$ . Please describe the language.



# ECE-374-B: Lecture 8 - Universal Turing Machines

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**Instructor:** Nickvash Kani

September 19, 2023

University of Illinois at Urbana-Champaign



# Pre-lecture brain teaser - code

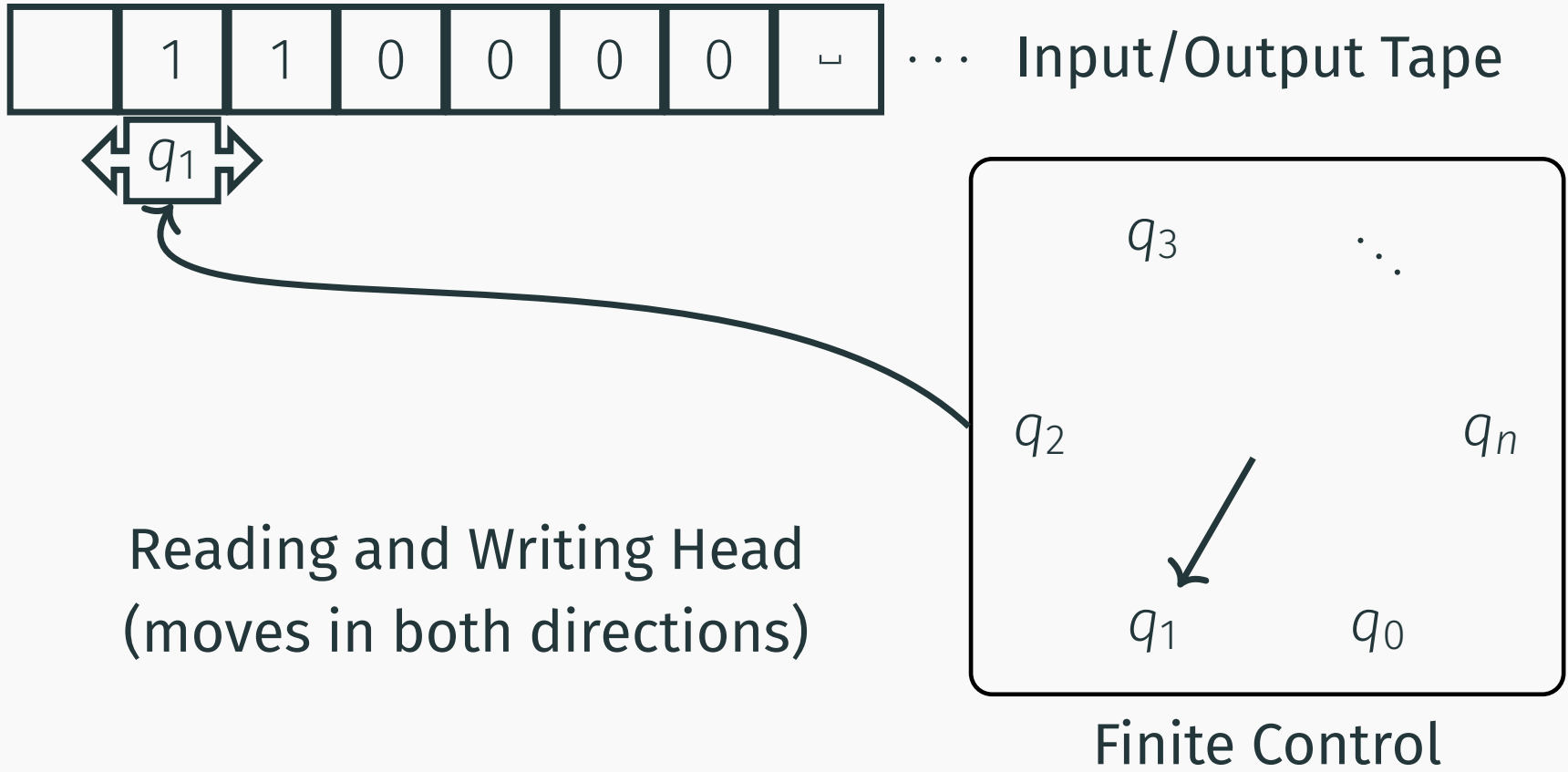
Can simulate TM on `turingmachine.io` using the following code:

```
start state: start
table:
start:
  # Inductive case: start with the same symbol.
  0: {write: '$', R: seek1}
  # Base case: empty string.
  'x': {write: '$', R: verify}
seek1:
  [0,'x']: R
  1: {write: 'x', R: seek0}
seek0:
  [1,'x']: R
  0: {write: 'x', L: reset}
reset:
  [0,1,'x']: L
  '$': {R: start}
verify:
  x: {write: '$', R}
  ' ': {L: accept}
accept:
```

# Turing machine recap

---

# Turing machine



Reading and Writing Head  
(moves in both directions)

- Input written on (infinite) one sided tape.
- Special blank characters.
- Finite state control (similar to DFA).
- Ever step: Read character under head, write character out, move the head right or left (or stay).

# Transition function

## Transition Function

$$\langle Q, \Sigma, \Gamma, \delta, q_{acc}, q_{rej}, s \rangle$$

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, s\}$$

Current state

Scanned symbol

Direction to  
move on tape

Symbol to write

New State

$\delta(q, a) = (p, b, L)$  means  
from state  $q$ , on reading  $a$ :

- go to state  $p$
- write  $b$
- move head **Left**



# Turing machine variants

---

# Equivalent Turing Machines

Several variations of a Turing machine:

- Standard Turing machine (single infinite tape)
- Multi-track tapes
- Doubly-Infinite Tape
- Multiple heads
- Multiple heads and tapes

# Multi-track Tapes

Suppose we have a TM with multiple tracks:



Is there an equivalent single-track TM?



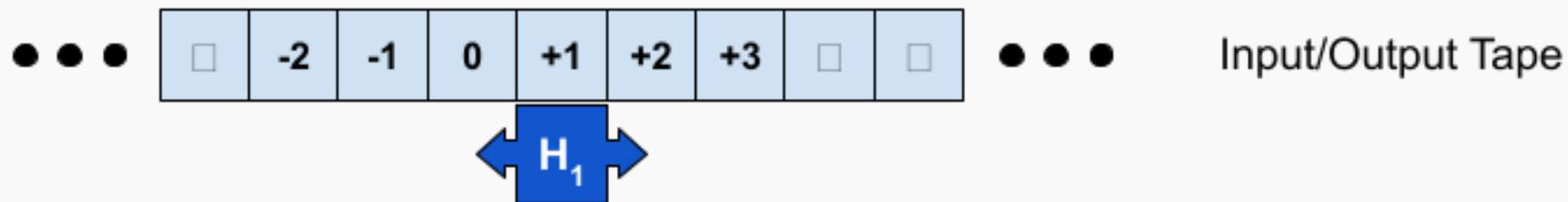
New transition function:

$$\delta : Q \times \Gamma_1 \times \Gamma_2 \times \Gamma_3 \rightarrow Q \times \Gamma_1 \times \Gamma_2 \times \Gamma_3 \times \{-1, +1\}$$

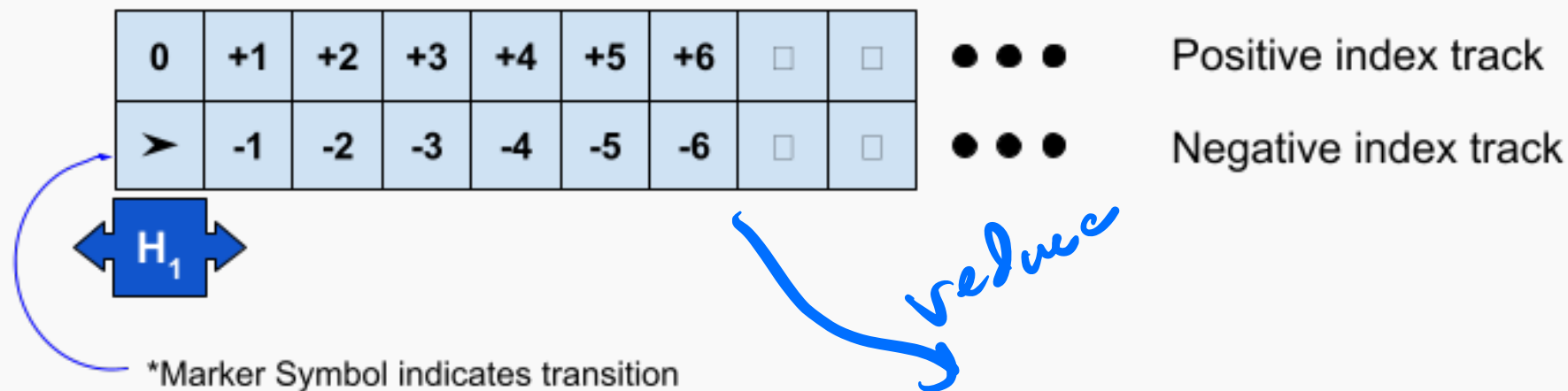
# Infinite Bi-directional Tape

*bidirectional tape*

Suppose we have a TM with ~~multiple tracks~~.



Is there an equivalent single-track TM?

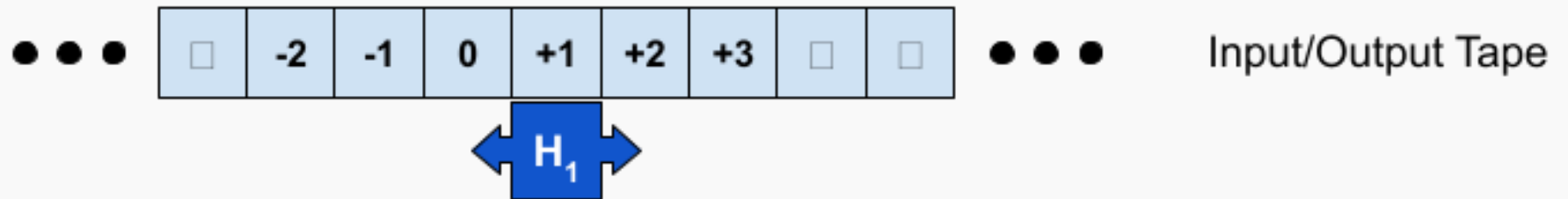


Can model as multiple tapes.

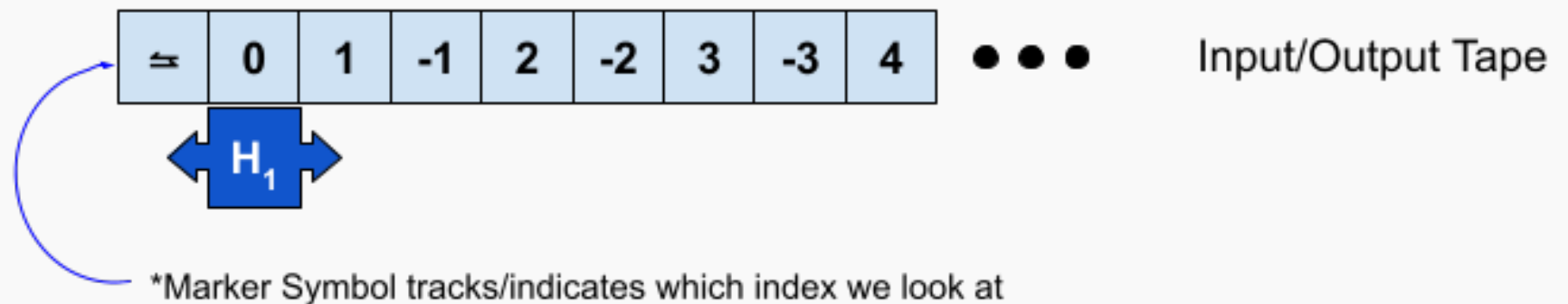
*single track tape* 8

# Infinite Bi-directional Tape

Suppose we have a TM with a bidirectional tape:



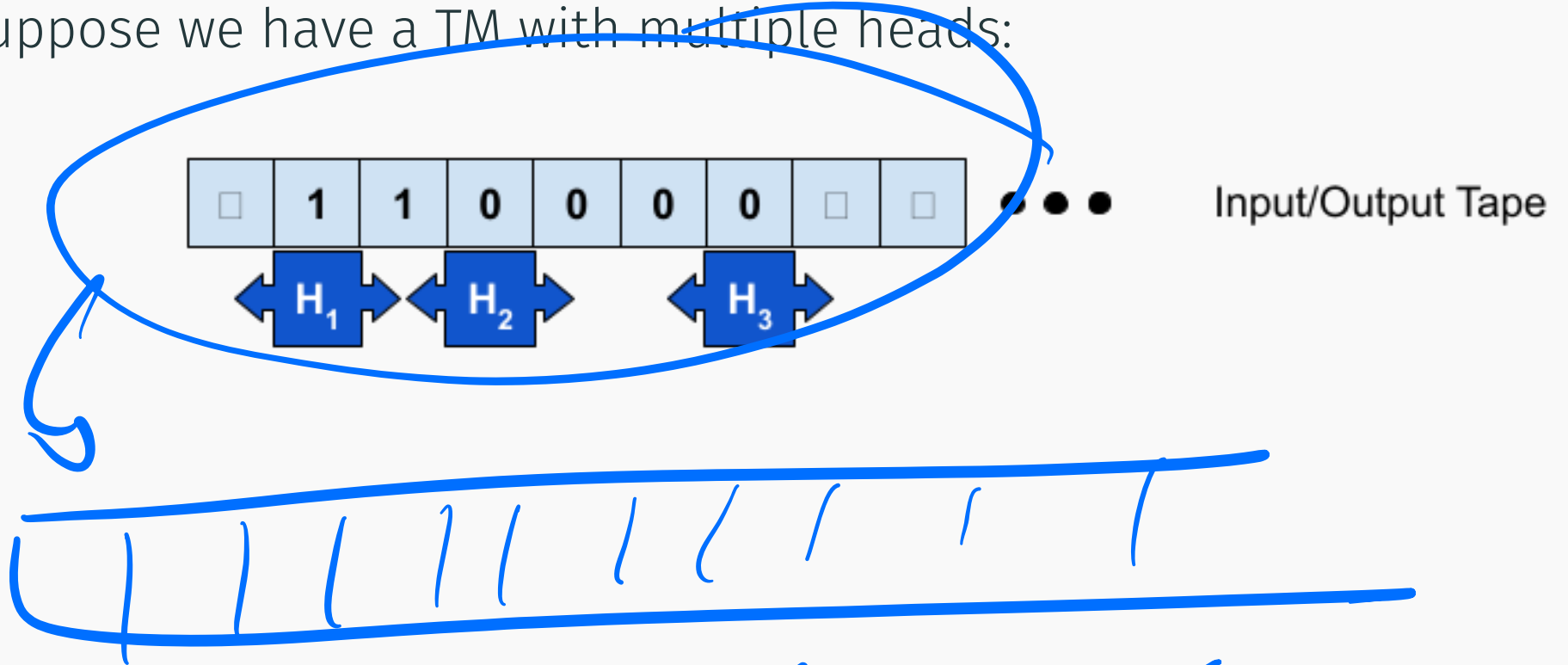
Is there an equivalent single-track TM?



Or as single tape interleaved with positive and negative indexes.

# Multiple Read/Write Heads

Suppose we have a TM with multiple heads:



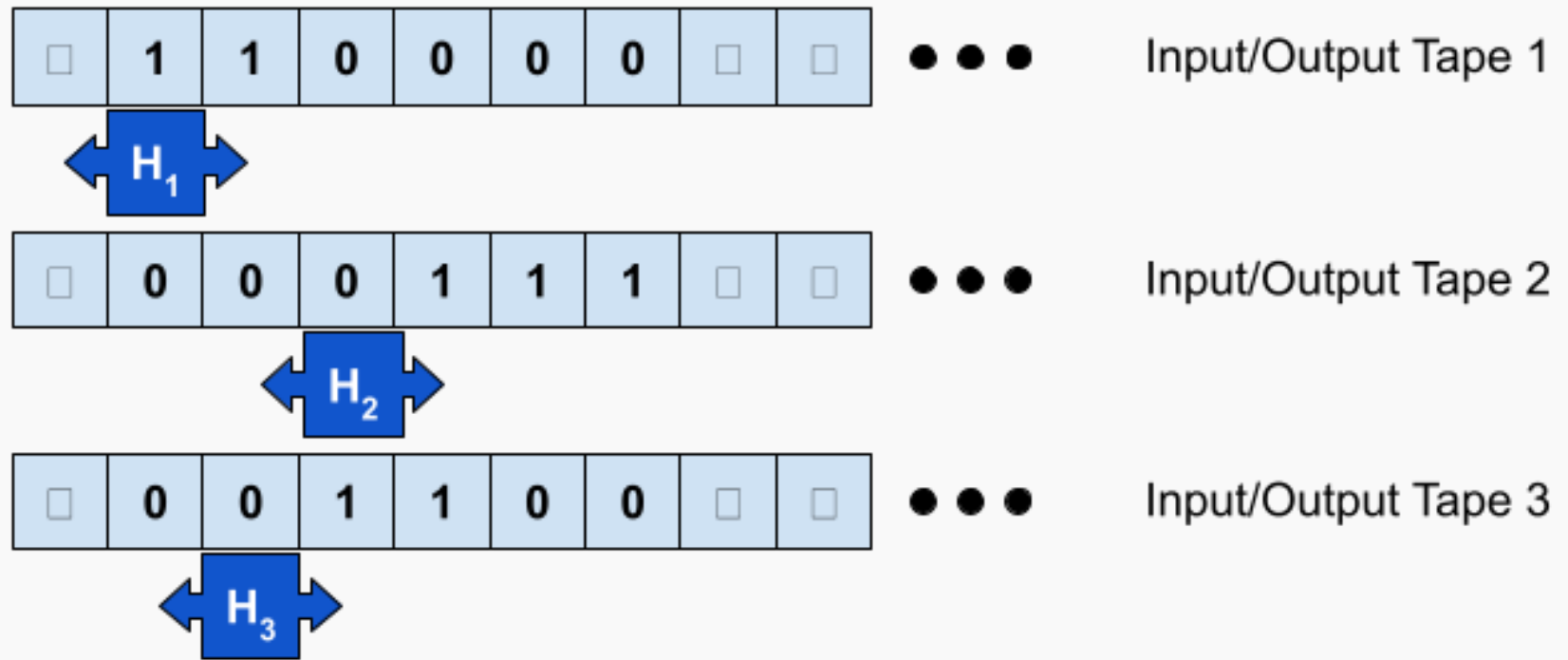
$$Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$$

What does the transition function for the equivalent nominal TM look like?

$$\boxed{Q^3} \times \boxed{\Gamma^3} \rightarrow \boxed{Q^3} \times \boxed{\Gamma^3} \times \{L, R, S\}^3$$

# Multiple Read/Write Heads

Suppose we have a TM with multiple heads and tracks:



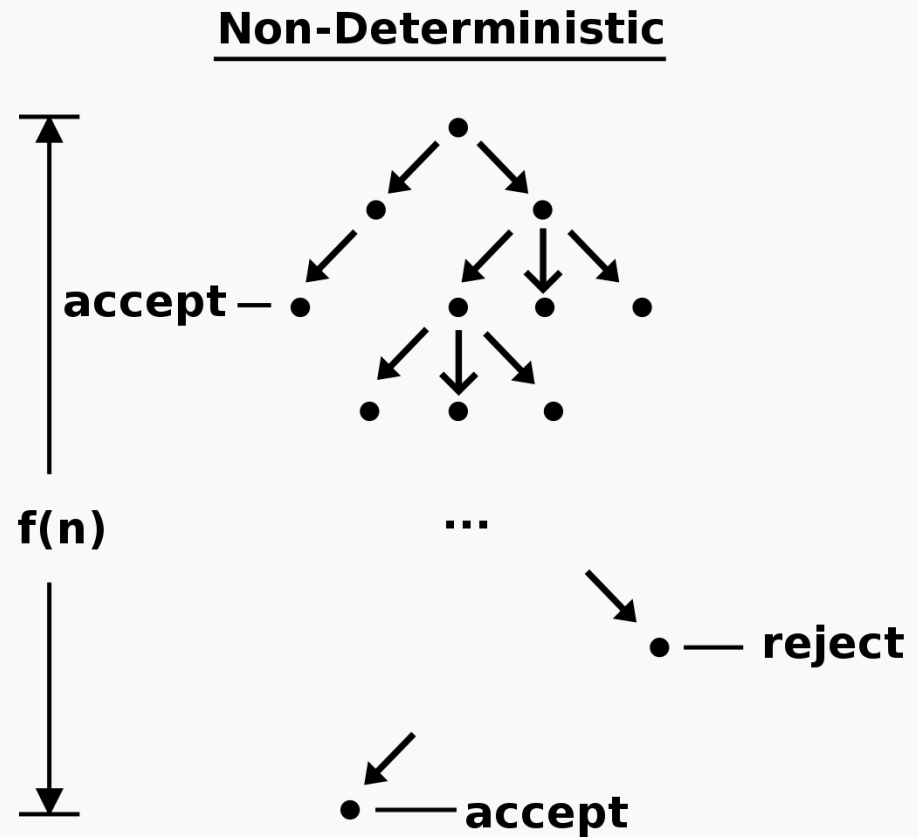
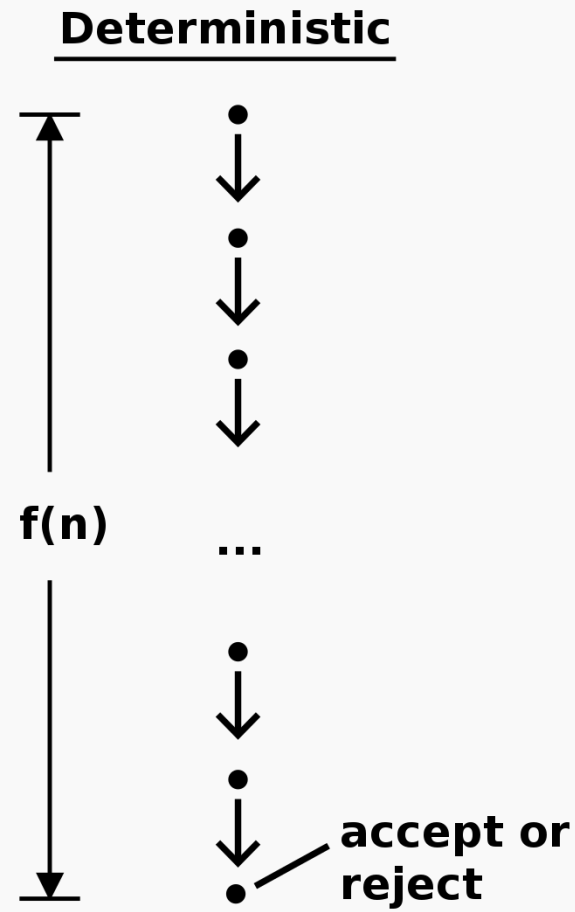
What does the transition function for the equivalent nominal TM look like?

# Determinism in Turing machines

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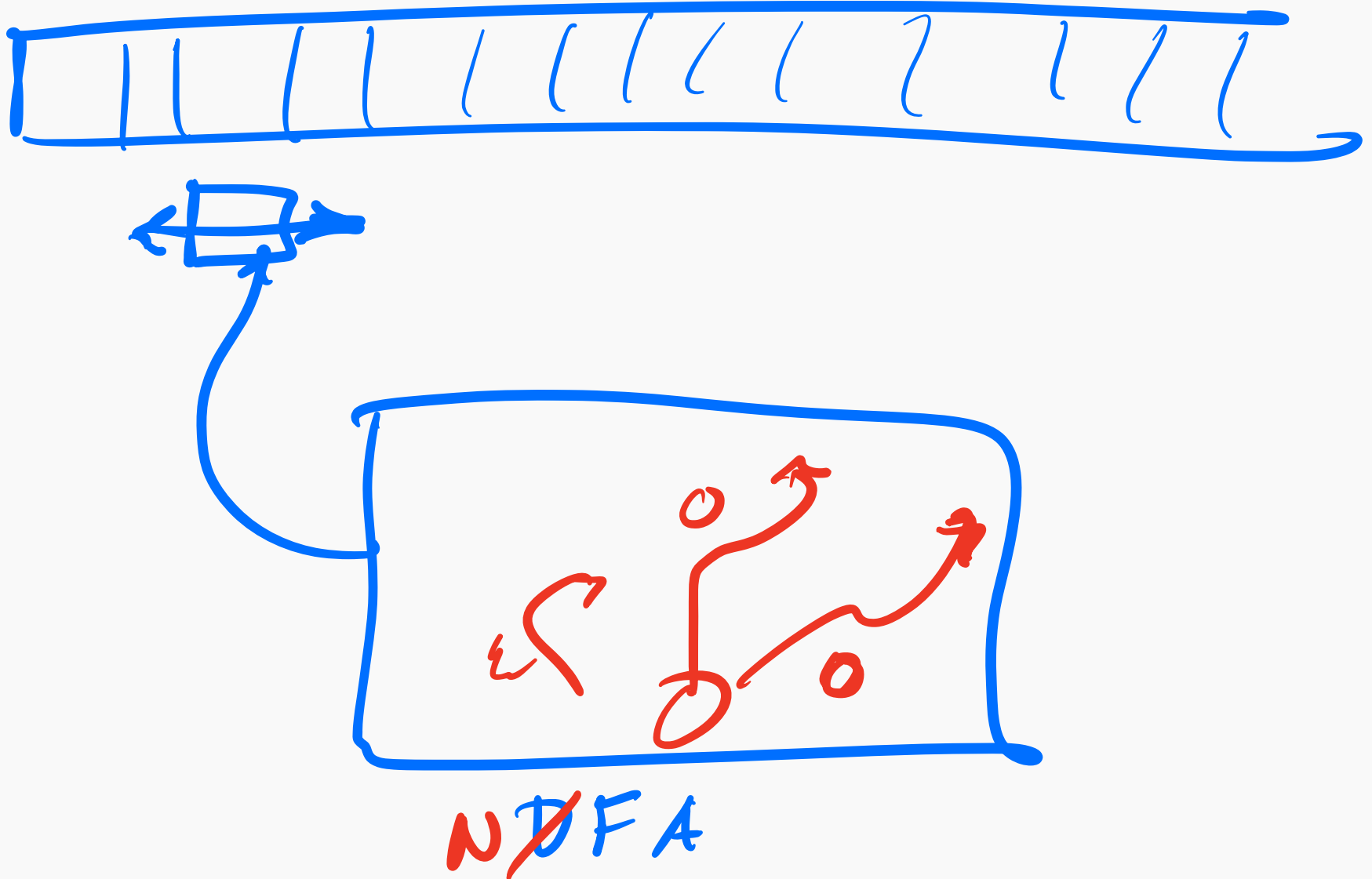


# Remember Non-determinism?



# Non-deterministic Turing machine?

What does a non-deterministic Turing machine look like?



# Non-deterministic Turing machine?

What does a non-deterministic Turing machine look like?

Is a **NTM** more powerful than a **DTM**?

# Power of NTM

No. A DTM can simulate a NTM in the following ways:

- **Multiplicity of configuration of states**

1. Have the store multiple configurations of the NTM.
2. At every timestep, process each configuration. Add configurations to the set if multiple paths exist.

- **Multiple Tapes** - Can simulate NTM with 3-tape DTM:

1. First tape holds original input
2. Second used to simulate a particular computation of NTM
3. Third tape encodes path in NTM computation tree.

Effectively this is a breadth-first search of non-deterministic computation tree.

$$NTM = \langle Q_N, \Gamma_N, \Sigma_N, \delta_N, q_{acc}, q_{reg}, s \rangle$$

$$DTM = \langle Q_D = P(Q_N), \Gamma_N, \Sigma_N \rangle$$

# Savitch's Theorem

Proved by Walter Savitch in 1970, states that for any function  $f \in \Omega(\log(n))$ :

$$\text{NSPACE}(f(n)) \subseteq \text{DSPACE}(f(n)^2)$$

## Lemma

*If a **NTM** can solve a problem using  $f(n)$  space, a **DTM** can solve the same problem in the square of that space bound.*

$\implies$  Even though non-determinism significantly reduces time to solve problem, it reduces space requirements far less!

# Universal Turing Machine

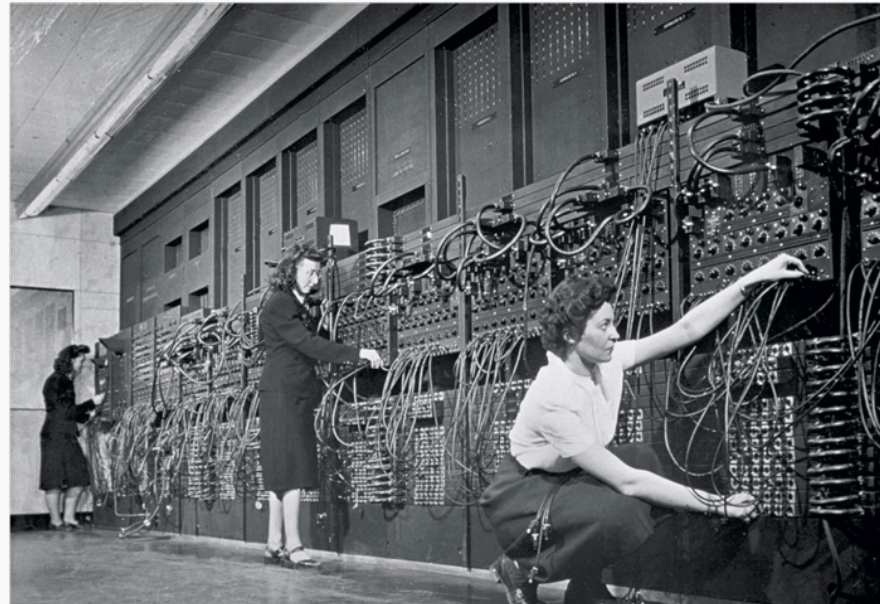
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# Special Purpose Machines?

We've seen that you need different DFAs for different languages.

We've seen that you need different TMs for different languages.

Early computers were no different.



# Universal Turing Machine

A single TM  $M_u$  that can compute anything computable!

Takes as input:

- the description of some other TM  $M$
- data  $w$  for  $M$  to run on

Outputs:

- results of running  $M(w)$



# Coding of TMs

Show how to represent every  $TM$  as a natural number

## Lemma

*If  $L$  over alphabet  $\{0, 1\}$  is accepted by some  $TM$   $M$ , then there is a one-tape  $TM$   $M$  that accepts  $L$ , such that*

- $\Gamma = \{0, 1, B\}$
- *states numbered  $1, \dots, k$*
- *$q_1$  is a unique start state*
- *$q_2$  is a unique halt/accept state*
- *$q_3$  is a unique halt/reject state*

So to represent a  $TM$ , we need only list its set of transitions - everything else is implicit by the above.

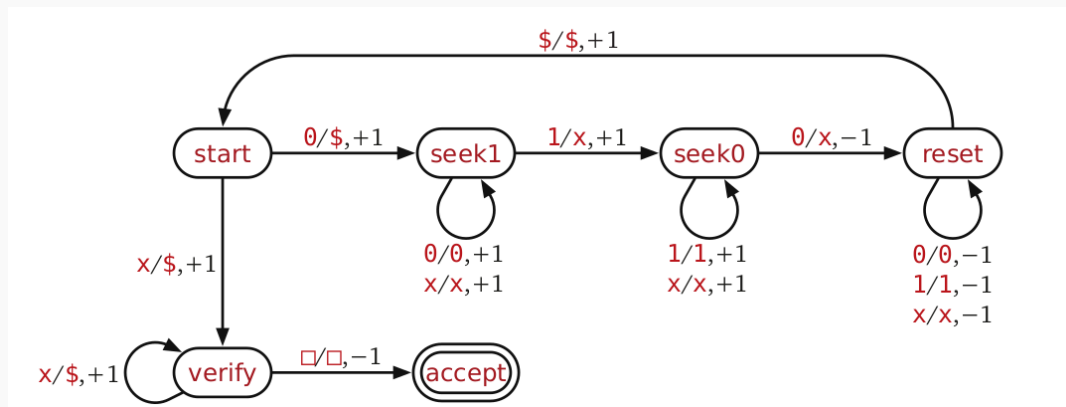
# Encoding Alphabet

Consider the TM that recognizes the language

$L = \{0^n 1^n 0^n \mid n \geq 0\}$  with the state diagram shown below:

Input encoding:

- $\langle 0 \rangle = 001$
- $\langle 1 \rangle = 010$
- $\langle \$ \rangle = 011$
- $\langle X \rangle = 100$
- $\langle \sqcup \rangle = 000$



$q \times \Gamma \rightarrow q \times \Gamma + \{L, R, \sqcup\}$

Example:  $\langle 001100 \rangle = [001 \cdot 001 \cdot 010 \cdot 010 \cdot 001 \cdot 001]$

(Putting  $\cdot$  separators for the sake of legibility)

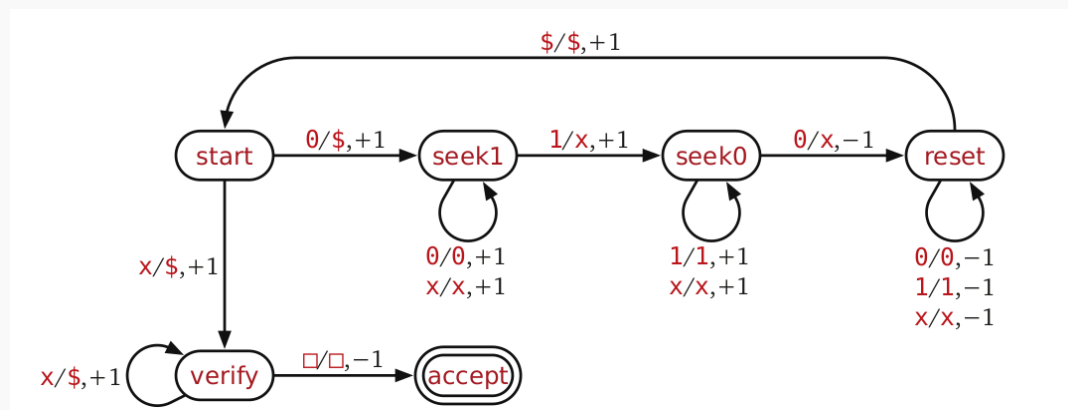
$[ \underbrace{\quad \quad \quad}_a \underbrace{\quad \quad \quad}_a \underbrace{\quad \quad \quad}_2 \underbrace{\quad \quad \quad}_{L/R} ]$

# Encoding states

Consider the TM that recognizes the language  $L = \{0^n 1^n 0^n \mid n \geq 0\}$  with the state diagram shown below:

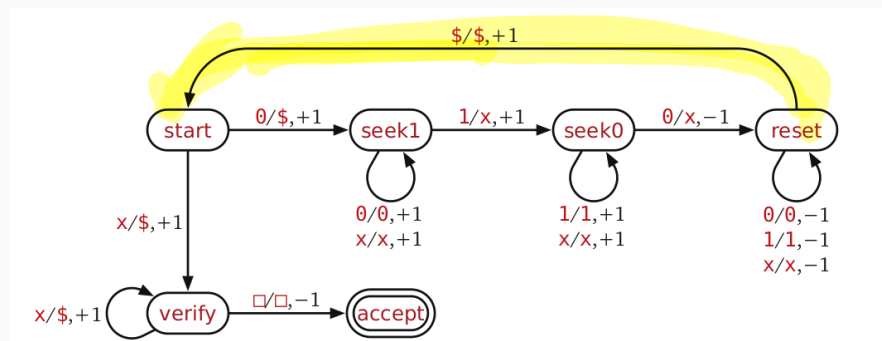
State encoding:

- $\langle \text{start} \rangle = 001$
- $\langle \text{seek1} \rangle = 010$
- $\langle \text{seek0} \rangle = 011$
- $\langle \text{reset} \rangle = 100$
- $\langle \text{verify} \rangle = 101$
- $\langle \text{accept} \rangle = 110$
- $\langle \text{reject} \rangle = 000$



# Encoding States and Alphabet

Consider the TM that recognizes the language  $L = \{0^n 1^n 0^n \mid n \geq 0\}$  with the state diagram shown below:



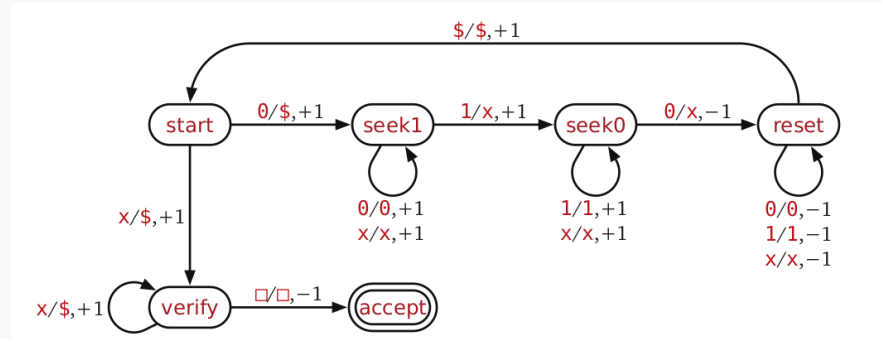
Now we need to encode a transition. Last thing we'll need is to encode the movement of the head which we'll describe as:

$[\text{left}, \text{right}] = [0, 1]$ .

Example: How do we encode:  $\delta(\text{reset}, \$) = (\text{start}, \$, \text{right})$

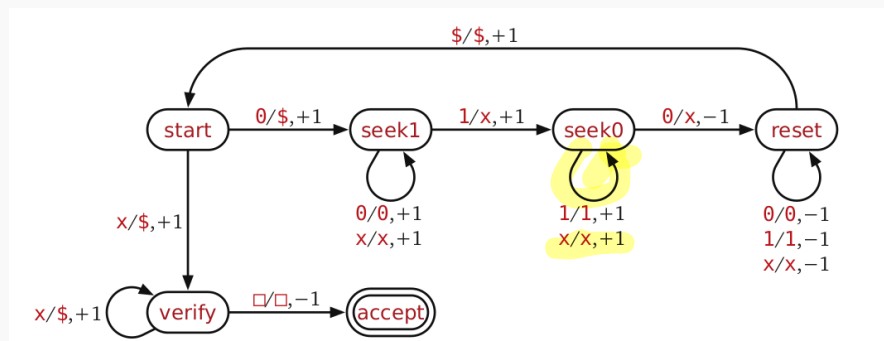
Answer:  $[100 \cdot 011 | 001 \cdot 011 \cdot 1]$

# Encoding machine through transitions



$$\delta^M = \begin{bmatrix} [001 \cdot 001 | 010 \cdot 011 \cdot 1] & [001 \cdot 100 | 101 \cdot 011 \cdot 1] \\ [010 \cdot 001 | 010 \cdot 001 \cdot 1] & [010 \cdot 100 | 010 \cdot 100 \cdot 1] \\ [010 \cdot 010 | 011 \cdot 100 \cdot 1] & [011 \cdot 010 | 011 \cdot 010 \cdot 1] \\ [011 \cdot 100 | 011 \cdot 100 \cdot 1] & [011 \cdot 001 | 100 \cdot 100 \cdot 1] \\ [100 \cdot 001 | 100 \cdot 001 \cdot 0] & [100 \cdot 010 | 100 \cdot 010 \cdot 0] \\ [100 \cdot 100 | 100 \cdot 100 \cdot 0] & [100 \cdot 011 | 001 \cdot 011 \cdot 1] \\ [101 \cdot 100 | 101 \cdot 011 \cdot 1] & [101 \cdot 000 | 110 \cdot 000 \cdot 0] \end{bmatrix}$$

# Encoding machine through transitions



$$\delta^M = \begin{bmatrix} [001 \cdot 001 | 010 \cdot 011 \cdot 1] & [001 \cdot 100 | 101 \cdot 011 \cdot 1] \\ [010 \cdot 001 | 010 \cdot 001 \cdot 1] & [010 \cdot 100 | 010 \cdot 100 \cdot 1] \\ [010 \cdot 010 | 011 \cdot 100 \cdot 1] & [011 \cdot 010 | 011 \cdot 010 \cdot 1] \\ [011 \cdot 100 | 011 \cdot 100 \cdot 1] & [011 \cdot 001 | 100 \cdot 100 \cdot 1] \\ [100 \cdot 001 | 100 \cdot 001 \cdot 0] & [100 \cdot 010 | 100 \cdot 010 \cdot 0] \\ [100 \cdot 100 | 100 \cdot 100 \cdot 0] & [100 \cdot 011 | 001 \cdot 011 \cdot 1] \\ [101 \cdot 100 | 101 \cdot 011 \cdot 1] & [101 \cdot 000 | 110 \cdot 000 \cdot 0] \end{bmatrix}$$

$$\delta(\text{seek0}, x) = (\text{seek0}, x, \text{right})$$

# Encoding initial state

Ok so now we've encoded the Turing machine ( $M$ ) into a string, how do we make a machine  $M_u(M, w)$  which accepts if  $M(w)$  accepts, and rejects if  $M(w)$  rejects?

# Encoding initial state

Ok so now we've encoded the Turing machine ( $M$ ) into a string, how do we make a machine  $M_u(M, w)$  which accepts if  $M(w)$  accepts, and rejects if  $M(w)$  rejects?

Let's start with the encoding of  $w$  (let's say  $w = 001100$ ):

$$\langle 001100 \rangle = [001 \cdot 001 \cdot 010 \cdot 010 \cdot 001 \cdot 001]$$



# Encoding initial state

Ok so now we've encoded the Turing machine ( $M$ ) into a string, how do we make a machine  $M_u(M, w)$  which accepts if  $M(w)$  accepts, and rejects if  $M(w)$  rejects?

Let's start with the encoding of  $w$  (let's say  $w = 001100$ ):

$$\langle 001100 \rangle = [001 \cdot 001 \cdot 010 \cdot 010 \cdot 001 \cdot 001]$$

Now let's add spaces next to each character so we can mark where  $M$ 's head is:

$$[[000 \cdot 001][000 \cdot 001][000 \cdot 010][000 \cdot 010][000 \cdot 001][000 \cdot 001]]$$

# Encoding states

Padding used to mark state.

In the beginning,  $q = \langle \text{start} \rangle = 001$  so our machine tapes initial string is:

$[[\underline{001} \cdot 001][000 \cdot 001][000 \cdot 010][000 \cdot 010][000 \cdot 001][000 \cdot 001]]$

Similarly intermediate configuration

$M = \langle \text{state, tape string, head position} \rangle = (\text{seek1}, \$0x1x0, 3)$

would be marked as:

$[[\underbrace{000 \cdot 011}_{\text{reject \$}}][\underbrace{000 \cdot 001}_{\text{reject 0}}][\underbrace{000 \cdot 100}_{\text{reject x}}][\underbrace{010 \cdot 010}_{\text{seek1 1}}][\underbrace{000 \cdot 100}_{\text{reject x}}][\underbrace{000 \cdot 001}_{\text{reject 0}}]]$



Next machine configuration

# The universal Turing machine

---

# UTM introduction

Now that we are able to encode Turing machines, we want to construct a Turing machine such that:

$$L(M_u) = \{ \langle M \rangle \# w \mid M \text{ accepts } w \}$$

$M_u$  is a stored-program computer. It reads  $\langle M \rangle$  and executes it on data  $w$ .

$M_u$  simulates the run of  $M$  on  $w$ .

# Encodings

$M$ : Turing machine

$\langle M \rangle$ : a string uniquely describing  $M$  (i.e., it is a number).

$w$ : An input string.

$\langle M, w \rangle$ : A unique string encoding both  $M$  and input  $w$ .

$$L(M_u) = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \} .$$

# $M_u$ Operational concept

We assume without a loss of generality that our universal turing machine ( $M_u$ ) has two tapes and two heads:

- **Input tape:** which stores the encoding of  $\langle M \rangle = \langle \text{state, tape input, head position} \rangle$
- **Machine tape:** Encoding tape which stores  $M$ 's encoding

**General Idea:** For any given configuration of  $M$ , our  $M_u$  will.

- Starting from leftmost of input tape, scan tape for first state which is not  $\langle \text{reject} \rangle$
- $M_u$  scans machine tape for the transition function that matches the substring found in the input tape.
- Based on transition function,  $M_u$  writes the right half of this transition function into the current input tape cell.
- Based on head direction of the transition function,  $M_u$  moves the current state left or right

# Simulation example I

Let's start with the configuration:  $M = (\text{seek1}, \$\$x1x0, 3)$ :

- Input-Tape =

[ [000 · 011][000 · 011][000 · 100][010 · 010][000 · 100][000 · 001]]  
△

- Machine-Tape =  $\delta^M =$

[ [001 · 001|010 · 011 · 1][001 · 100|101 · 011 · 1][010 · 001|...]  
△

First  $M_u$  searches ~~is~~ for none reject state:

- Input-Tape =

[ [000 · 011][000 · 011][000 · 100][010 · 010][000 · 100][000 · 001]]  
△ *seek 1*

- Machine-Tape =  $\delta^M =$

[ [001 · 001|010 · 011 · 1][001 · 100|101 · 011 · 1][010 · 001|...]  
△

# Simulation example II

- Input-Tape =  
 $[[000 \cdot 011][000 \cdot 011][000 \cdot 100][010 \cdot 010][000 \cdot 100][000 \cdot 001]]$   
 $\triangle$
- Machine-Tape =  $\delta^M =$   
 $[[001 \cdot 001|010 \cdot 011 \cdot 1][001 \cdot 100|101 \cdot 011 \cdot 1][010 \cdot 001| \dots]$   
 $\triangle$

Then  $M_u$  searches for transition whose left side matches the input cell:

- Input-Tape =  
 $[[000 \cdot 011][000 \cdot 011][000 \cdot 100][010 \cdot 010][000 \cdot 100][000 \cdot 001]]$   
 $\triangle$
- Machine-Tape =  $\delta^M =$   
 $\dots 100 \cdot 1][010 \cdot 010|011 \cdot 100 \cdot 1][011 \cdot 010|011 \cdot 010 \cdot 1] \dots$   
 $\triangle$



# Simulation example III

- Input-Tape =  
 $[[000 \cdot 011][000 \cdot 011][000 \cdot 100][010 \cdot 010][000 \cdot 100][000 \cdot 001]]$   
 $\triangle$
- Machine-Tape =  $\delta^M =$   
 $\dots 100 \cdot 1][010 \cdot 010|011 \cdot 100 \cdot 1][011 \cdot 010|011 \cdot 010 \cdot 1] \dots$   
 $\triangle$

Then  $M_u$  copies the right side of the transition function into the input tape:

- Input-Tape =  
 $[[000 \cdot 011][000 \cdot 011][000 \cdot 100][011 \cdot 100][000 \cdot 100][000 \cdot 001]]$   
 $\triangle$
- Machine-Tape =  $\delta^M =$   
 $\dots 100 \cdot 1][010 \cdot 010|011 \cdot 100 \cdot 1][011 \cdot 010|011 \cdot 010 \cdot 1] \dots$   
 $\triangle$

# Simulation example IV

- Input-Tape =

[[000 · 011][000 · 011][000 · 100][011 · 100][000 · 100][000 · 001]]



- Machine-Tape =  $\delta^M =$

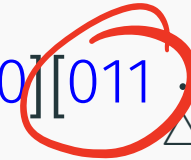
... 100 · 1][010 · 010|011 · 100 · 1][011 · 010|011 · 010 · 1]...



Then  $M_u$  move the state of the configuration according to the transition function:

- Input-Tape =

[[000 · 011][000 · 011][000 · 100][000 · 100][011 · 100][000 · 001]]



- Machine-Tape =  $\delta^M =$

... 100 · 1][010 · 010|011 · 100 · 1][011 · 010|011 · 010 · 1]...



# Simulation example V

- Input-Tape =  
 $[[000 \cdot 011][000 \cdot 011][000 \cdot 100][000 \cdot 100][011 \cdot 100][000 \cdot 001]]$   
 $\triangle$
- Machine-Tape =  $\delta^M =$   
 $\dots 100 \cdot 1][010 \cdot 010|011 \cdot 100 \cdot 1][011 \cdot 010|011 \cdot 010 \cdot 1] \dots$   
 $\triangle$

Then we reset:

- Input-Tape =  
 $[[000 \cdot 011][000 \cdot 011][000 \cdot 100][000 \cdot 100][011 \cdot 100][000 \cdot 001]]$   
 $\triangle$
  - Machine-Tape =  $\delta^M =$   
 $[[001 \cdot 001|010 \cdot 011 \cdot 1][001 \cdot 100|101 \cdot 011 \cdot 1][010 \cdot 001| \dots$   
 $\triangle$
- Handwritten notes:*  
 - Red circles around the  $\triangle$  symbols in both input and machine tape.  
 - Red arrows pointing from the first  $\triangle$  in the input tape to the first  $\triangle$  in the machine tape.  
 - Red circles around the  $[011 \cdot 100]$  in the input tape and  $[001 \cdot 100|101 \cdot 011 \cdot 1]$  in the machine tape.  
 - Red text "yes" above the  $[011 \cdot 100]$  in the input tape.  
 - Red text  $[001 \cdot 100]$  with an arrow pointing to the right, below the machine tape.

# What does this show?

- Every TM is encoded by a unique element of  $N$  (where  $N$  is a natural number)
- **Convention:** elements of  $N$  that do not correspond to any TM encoding represent the “null TM” that accepts nothing.
- Thus, every TM is a number, and vice versa
- Let  $\langle M \rangle$  mean the number that encodes  $M$ . Conversely, let  $M_n$  be the TM with encoding  $n$ .

*finite*

**Big Idea:** Every TM can be represent by a number (strings of 0's and 1's) and there exists a universal TM,  $M_u$ , that can simulate any other TM.