Merge Sort splits into 2 (roughly) equal sized arrays. Can we do better by splitting into more than 2 arrays? Say  $\frac{k}{k}$  arrays of size  $\frac{n}{k}$  each?

Recall: 
$$k=2$$
:  $T(n) = 2T(\frac{n}{2}) + cn \Rightarrow T(n) = O(n\log_2 n)$ 

$$k=3 : T(n) = 3T(\frac{n}{3}) + cn$$

$$\frac{1}{2} = \frac{1}{2} + \frac{1}{2} = cn$$

$$\log_2 n = \frac{1}{2} + \frac{1}{2} = cn$$

$$T(n) = O(n\log_2 n)$$

$$T(n) = O(n\log_2 n)$$

In general: 
$$T(n) = k T(\frac{n}{k}) + cn$$

$$\Rightarrow T(n) = O(n \log_k n)$$

$$\log_a x \log_b a = \log_b x$$

constant
$$O(n \log_2 n) = O(n \log_k n \log_2 k)$$

$$= O(n \log_k n)$$

# ECE-374-B: Lecture 10 - Divide and Conquer Algorithms

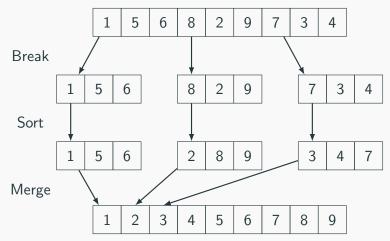
Instructor: Abhishek Kumar Umrawal

February 22, 2023

University of Illinois at Urbana-Champaign

Merge Sort splits into 2 (roughly) equal sized arrays. Can we do better by splitting into more than 2 arrays? Say k arrays of size n/k each?

Simpler case: Break into 3 lists:



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What does the recurrence for k = 3 look like?

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What is the solution to this recurrence?

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Merge Sort splits into 2 (roughly) equal sized arrays. Can we do better by splitting into more than 2 arrays? Say k arrays of size n/k each?

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$$T(n) = kT(\frac{n}{k}) + cn$$

What is the solution to this recurrence?

$$T(n) = kT(\frac{n}{k}) + cn = O(n\log n)$$

So why don't we use smaller lists?

# Learning Objectives

# **Learning Objectives**

At the end of the lecture, you should be able to understand

- the idea of divide and conquer and how recursion forms a basis of it,
- the quicksort algorithm and its runtime analysis,
- the selection problem, quickselect algorithm and its runtime analysis, and
- the multiplication of numbers problem, a simple divide and conquer algorithm, and Karatsuba's algorithm, and runtime analysis of these algorithms.

Quick Sort [Hoare] Torry Hoare (1959-60) A British Mathematician, Turing Awardee, FRS, FRENG.

- 1. Pick a (pivot) element from array
- 2. Split array into 3 subarrays: those smaller than pivot, those larger than pivot, and the pivot itself.
- 3. Recursively sort the subarrays, and concatenate them.

# Quick Sort [Hoare]

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# Quick Sort [Hoare]

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- 3. Recursively sort the subarrays, and concatenate them.

# **Quick Sort: Example**

• array: 16, 12, 14, 20, 5, 3, 18, 19, 1

• pivot: 16

See visualizer:

hacker earth.com/practice/algorithms/sorting/quick-sort/visualize

• Let k be the rank of the chosen pivot. Then, T(n) = T(k-1) + T(n-k) + O(n)k=1: T(n) = T(1-1) + T(n-1) + O(n)= T(0) + T(M-1) + O(M) = T(M-1) + O(M)k=n: T(n) = T(n-1) + T(n-n) + O(n)=  $T(\delta) + T(n-1) + O(n) = T(n-1) + O(n)$  $k = 1 \text{ or } \underline{n}$ : T(m) = T(n-1) + O(n) $T(M) = O(n \cdot M) = O(M^2)$ 

• Let k be the rank of the chosen pivot. Then,

$$T(n) = T(k-1) + T(n-k) + O(n)$$
• If  $k = \lceil n/2 \rceil$  then median

• If  $k = \lceil n/2 \rceil$  then  $T(n) = T(\lceil n/2 \rceil - 1) + T(\lfloor n/2 \rfloor) + O(n) \le 2T(n/2) + O(n)$ . Then,  $T(n) = O(n \log n)$ .

$$T(n) \leq 2T(\frac{\pi}{2}) + O(n)$$

$$\Rightarrow T(n) = O(n\log_2 n)$$

- Let k be the rank of the chosen pivot. Then, T(n) = T(k-1) + T(n-k) + O(n)
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- If  $k = \lceil n/2 \rceil$  then  $T(n) = T(\lceil n/2 \rceil 1) + T(\lfloor n/2 \rfloor) + O(n) \le 2T(n/2) + O(n).$  Then,  $T(n) = O(n \log n).$
- Typically, pivot is the first or last element of array. Then,

$$T(n) = \max_{1 \le k \le n} \left( T(k-1) + T(n-k) + O(n) \right)$$

In the worst case T(n) = T(n-1) + O(n), which means  $T(n) = O(n^2)$ . Happens if array is already sorted and pivot is always first element.

# **Selecting in Unsorted Lists**

#### The Selection Problem

Big problem with QuickSort is that the pivot might not be the median.

How long would it take us to find the median of an unsorted list?

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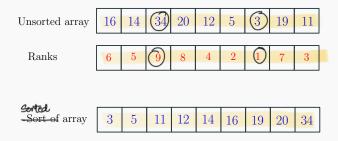
How long would it take us to find the median of an unsorted list?

Sort, then A[n/2]. Is this the optimal way?

## Rank of element in an array

A: an unsorted array of n integers

For  $1 \le j \le n$ , element of rank j is the j-th smallest element in A.



#### **Problem - Selection**

Input Unsorted array A of n integers and integer jGoal Find the j-th smallest number in A (rank j number)

Median: 
$$j = \lfloor (n+1)/2 \rfloor$$

A:  $9 > 1 < 7 < 2$ 

M=  $5 > \frac{n+1}{2} = 3$ 

Sortal:  $1 < 2 < \frac{5}{2} < 7 < 9$ 

Hedian!

#### **Problem - Selection**

**Input** Unsorted array A of n integers **and** integer j **Goal** Find the j-th smallest number in A (rank j number)

Median: 
$$j = \lfloor (n+1)/2 \rfloor$$

Simplifying assumption for sake of notation: elements of A are distinct

# Algorithm I

- Sort the elements in A
- Pick jth element in sorted order

Time taken =  $O(n \log n)$ 

# Algorithm I

- Sort the elements in A
- Pick jth element in sorted order

Time taken =  $O(n \log n)$ 

Do we need to sort? Is there an O(n) time algorithm?

# Algorithm II

If j is small or n-j is small then

- Find j smallest/largest elements in A in O(jn) time. (How?)
- Time to find median is  $O(n^2)$ .

E.g. 
$$j=1$$
: We want the (1st) smallest element from A!

Likin: We can do that in  $O(1n) = O(n)$  time!

 $j=2$ :  $O(2n)$  time!

 $j=\lfloor n+1 \rfloor : O(\lfloor n+1 \rfloor n) = O(n^2)$ 

# Quick select

## QuickSelect

- Pick a pivot element a from A
- Partition  $\stackrel{A}{A}$  based on  $\stackrel{a}{a}$ .  $A_{less} = \{x \in A \mid x \leq a\} \text{ and } A_{greater} = \{x \in A \mid x > a\}$
- $|A_{less}| = j$ : return a
- $|A_{\text{less}}| > j$ : recursively find jth smallest element in  $A_{\text{less}}$
- $|A_{less}| < j$ : recursively find kth smallest element in  $A_{greater}$  where  $k = j |A_{less}|$ .

# **E**xample

16	14	34	20	12	5	3	19	11
----	----	----	----	----	---	---	----	----

# **Time Analysis**

- Partitioning step: O(n) time to scan A
- How do we choose pivot? Recursive running time?

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- Partitioning step: O(n) time to scan A
- How do we choose pivot? Recursive running time?

Suppose we always choose pivot to be A[1]

Say A is sorted in increasing order and j = n.

How long does this new algorithm take?

O(n2): Quick Select

Should we combine this with QuickSort

Should we combine this with QuickSort

Of course not! It takes  $O(n^2)$  which is already the worse case of QuickSort. Need another method....

Looking at the quicksort recurrence again:

$$T(n) = \underbrace{T(k-1)} + \underbrace{T(n-k)} + \underbrace{O(n)}$$

Does *k* need to be n/2?

Looking at the quicksort recurrence again:

$$T(n) = T(k-1) + T(n-k) + O(n)$$

Does k need to be n/2?

What if 
$$k = \frac{3}{5}n$$
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Does k need to be n/2?

What if 
$$k = \frac{3}{5}n$$
?

What if 
$$k = \frac{7}{10}n$$
?

Looking at the quicksort recurrence again:

$$T(n) = T(k-1) + T(n-k) + O(n)$$

Does k need to be n/2?

What if  $k = \frac{3}{5}n$ ?

What if  $k = \frac{7}{10}n$ ?

we only need to be able to find a rough median! .... How do we do that?

# **Median of Medians**

# **Divide and Conquer Approach**

#### Idea

- Break input A into many subarrays:  $L_1, \ldots L_k$ .
- Find median  $m_i$  in each subarray  $L_i$ .
- Find the median x of the medians  $m_1, \ldots, m_k$ .
- Intuition: The median x should be close to being a good median of all the numbers in A.
- Use x as pivot in previous algorithm.

$$n \rightarrow \text{groups of } 5 \rightarrow \frac{n}{5} \text{groups}$$

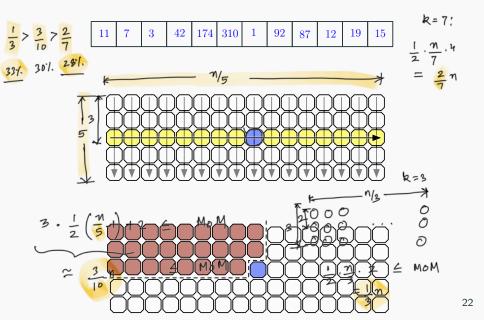
Clearly median of each group:

 $\downarrow 0 \text{ (m)}$ 

# Example

11	7	3	42	174	310	1	92	87	12	19	15	
----	---	---	----	-----	-----	---	----	----	----	----	----	--

# **Example**



# Choosing the pivot

- Partition array A into  $\lceil n/5 \rceil$  lists of 5 items each.  $L_1 = \{A[1], A[2], \dots, A[5]\}, L_2 = \{A[6], \dots, A[10]\}, \dots, L_i = \{A[5i+1], \dots, A[5i-4]\}, \dots, L_{\lceil n/5 \rceil} = \{A[5\lceil n/5 \rceil 4, \dots, A[n]\}.$
- For each i find median  $b_i$  of  $L_i$  using brute-force in O(1) time. Total O(n) time
- Let  $B = \{b_1, b_2, \dots, b_{\lceil n/5 \rceil}\}$
- Find median b of B

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- Let  $B = \{b_1, b_2, \dots, b_{\lceil n/5 \rceil}\}$
- Find median b of B

Median of B is an approximate median of A. That is, if b is used a pivot to partition A, then  $|A_{less}| \le 7n/10$  and  $|A_{greater}| \le 7n/10$ .

## Algorithm for Selection

```
 \begin{split} \mathbf{select}(A,\ j) : \\ & \quad \text{Form lists } L_1, L_2, \dots, L_{\lceil n/5 \rceil} \text{ where } L_i = \{A[5i-4], \dots, A[5i]\} \\ & \quad \text{Find median } b_i \text{ of each } L_i \text{ using brute-force} \\ & \quad \text{Find median } b \text{ of } B = \{b_1, b_2, \dots, b_{\lceil n/5 \rceil}\} \\ & \quad \text{Partition } A \text{ into } A_{\text{less}} \text{ and } A_{\text{greater}} \text{ using } b \text{ as pivot} \\ & \quad \mathbf{if} \ (|A_{\text{less}}|) = j \text{ return } b \\ & \quad \mathbf{else} \ \mathbf{if} \ (|A_{\text{less}}|) > j) \\ & \quad \mathbf{return select}(A_{\text{less}},\ j) \\ & \quad \mathbf{else} \\ & \quad \mathbf{return select}(A_{\text{greater}},\ j - |A_{\text{less}}|) \end{aligned}
```

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How do we find median of B?

## Algorithm for Selection

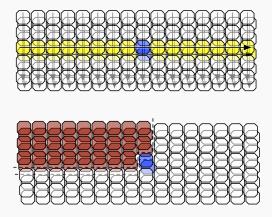
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```

How do we find median of B? Recursively!

Median of medians is a good median

#### Median of Medians: Proof of Lemma

There are at least 3n/10 elements smaller than the median of medians b.



#### Median of Medians: Proof of Lemma

There are at least 3n/10 elements smaller than the median of medians b.

At least half of the  $\lfloor n/5 \rfloor$  groups have at least 3 elements smaller than b, except for the group containing b which has 2 elements smaller than b. Hence number of elements smaller than b is:

$$3\lfloor \frac{\lfloor n/5\rfloor + 1}{2} \rfloor - 1 \ge 3n/10$$

#### Median of Medians: Proof of Lemma

There are at least 3n/10 elements smaller than the median of medians b.

$$|A_{\text{greater}}| \le 7n/10.$$
 (RIY)

Via symmetric argument,

$$|A_{\text{less}}| \leq 7n/10.$$

$$A \rightarrow B (\eta_5)$$

$$\frac{T(n)}{T(n)} \leq \frac{T(\lceil n/5 \rceil)}{T(|A_{\text{less}}|)}, \frac{T(|A_{\text{greater}}|)}{T(|A_{\text{greater}}|)} + O(n)$$

$$T(n) \leq T(\lceil n/5 \rceil) + \max\{T(|A_{\mathsf{less}}|), T(|A_{\mathsf{greater}}|)\} + O(n)$$
 From Lemma, 
$$T(n) \leq T(\lceil n/5 \rceil) + T(\lfloor 7n/10 \rfloor) + O(n)$$
 and 
$$T(n) = O(1) \qquad n < 10$$

$$T(n) \le T(\lceil n/5 \rceil) + \max\{T(|A_{less}|), T(|A_{greater}|)\} + O(n)$$

From Lemma,

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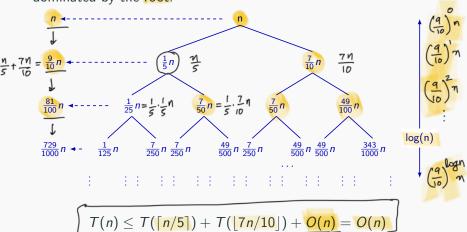
and

$$T(n) = O(1) \qquad n < 10$$

**Exercise:** show that T(n) = O(n)?

#### Recursion tree fill-in

If the workload is decreasing at every level, then total work is dominated by the root.



$$\left(\frac{q}{10}\right)^n + \left(\frac{q}{10}\right)^n + \cdots + \left(\frac{q}{10}\right)^{\log n}$$

$$\left(\frac{4}{10}\right)^n + \left(\frac{1}{10}\right)^n + \cdots + \left(\frac{1}{10}\right)^n$$

$$\left(\frac{4}{10}\right)^n + \cdots + \left(\frac{1}{10}\right)^n + \cdots + \left(\frac{1}{10}\right)^n$$

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O(n)

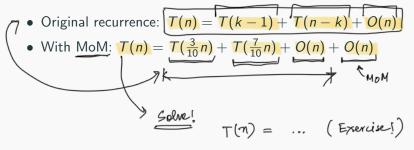
#### What about QuickSort?

How would we use the median of medians approach for quicksort?

### What about QuickSort?

How would we use the median of medians approach for quicksort?

Just use MoM if find pivot!



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**Favorite Knuth quote**: He once warned a correspondent, "Beware of bugs in the above code; I have only proved it correct, not tried it."

## **Takeaway Points**

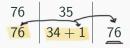
- Recursion tree method and guess and verify are the most reliable methods to analyze recursions in algorithms.
- Recursive algorithms naturally lead to recurrences.
- Some times one can look for certain type of recursive algorithms (reverse engineering) by understanding recurrences and their behavior.

# Problem statement: Multiplying numbers + a slow algorithm

#### The Problem: Multiplying numbers

Given two large positive integer numbers b and c, with n digits, compute the number b \* c.

76 35



$$\begin{array}{c|cccc}
76 & 35 \\
76 & 34 + 1 & 76 \\
\times 2 & 34 \\
152 & 17
\end{array}$$

 $m \cdot \eta = 2m \cdot \underline{\eta}$ 

76	35	
76	34 + 1	76
76	34	
152	17	
152	16 + 1	152
152	16	
304	8	
608	4	
1216	2	

76	35	
76	34 + 1	76
76	34	
152	17	
152	16 + 1	152
152	16	
304	8	
608	4	
1216	2	
2432	1	2432

76	35	
76	34 + 1	76
×2 ( 76	347/2	
¥ 152	34)/2 17	
152	16 + 1	152
152	16	
304	8	
608	4	
1216	2	
2432	1	2432
		2660

#### The problem: Multiplying Numbers

Problem Given two n-digit numbers x and y, compute their product.

#### **Grade School Multiplication**

Compute "partial product" by multiplying each digit of y with x and adding the partial products.

3141	Pour time ;
×2718	n.n
25128	$= O(n^2)$
3141	
21987	
6282	
8537238	

### Time Analysis of Grade School Multiplication

- Each partial product:  $\Theta(n)$
- Number of partial products:  $\Theta(n)$
- Addition of partial products:  $\Theta(n^2)$
- Total time:  $\Theta(n^2)$

# Multiplication using **Divide and**

Conquer

#### **Divide and Conquer**

Assume n is a power of 2 for simplicity and numbers are in decimal.

Split each number into two numbers with equal number of digits

- $b = b_{n-1}b_{n-2}...b_0$  and  $c = c_{n-1}c_{n-2}...c_0$
- $b = b_{n-1} \dots b_{n/2} 0 \dots 0 + b_{n/2-1} \dots b_0$
- $b(x) = b_L x + b_R$ , where  $x = 10^{n/2}$ ,  $b_L = b_{n-1} \dots b_{n/2}$  and  $b_R = b_{n/2-1} \dots b_0$
- Similarly  $c(x) = c_L x + c_R$  where  $c_L = c_{n-1} \dots c_{n/2}$  and  $c_R = c_{n/2-1} \dots c_0$

$$b = \frac{1234}{1200} = \frac{(200 + 34)^{2}}{1200 + 34}$$

$$b(x) = b_{L}x + b_{R}$$

$$x = 10^{7/2}$$

$$(x) = c_{L}x + b_{R}$$

$$(x) = c_{L}x + b_{R}$$

#### Example

$$1234 \times 5678 = (12x + 34) \times (56x + 78)$$
 for  $x = 1$ 
$$= 12 \cdot 56 \cdot x^2 + (12 \cdot 78 + 34 \cdot 56)x + 34 \cdot 78.$$

$$1234 \times 5678 = (100 \times 12 + 34) \times (100 \times 56 + 78)$$

$$= 10000 \times 12 \times 56$$

$$+100 \times (12 \times 78 + 34 \times 56)$$

$$+34 \times 78$$

#### **Divide and Conquer for multiplication**

Assume n is a power of 2 for simplicity and numbers are in decimal.

- $b = b_{n-1}b_{n-2}...b_0$  and  $c = c_{n-1}c_{n-2}...c_0$
- $b \equiv b(x) = b_L x + b_R$ where  $x = 10^{n/2}$ ,  $b_L = b_{n-1} \dots b_{n/2}$  and  $b_R = b_{n/2-1} \dots b_0$
- $c \equiv c(x) = c_L x + c_R$  where  $c_L = c_{n-1} \dots c_{n/2}$  and  $c_R = c_{n/2-1} \dots c_0$

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Therefore, for  $x = 10^{n/2}$ , we have

$$bc = b(x)c(x) = (b_L x + b_R)(c_L x + c_R)$$

$$= b_L c_L x^2 + (b_L c_R + b_R c_L)x + b_R c_R$$

$$= 10^n b_L c_L + 10^{n/2}(b_L c_R + b_R c_L) + b_R c_R$$

#### **Time Analysis**

$$b = b_{L} x + b_{R} \qquad x = 10^{M/2}$$

$$c = c_{L} x + c_{R} \qquad \sum_{l} n \qquad \sum_{l}$$

 $\frac{4}{2}$  recursive multiplications of number of size  $\frac{n/2}{2}$  each plus  $\frac{4}{2}$  additions and left shifts (adding enough 0's to the right)

#### Time Analysis

$$bc = 10^n b_L c_L + 10^{n/2} (b_L c_R + b_R c_L) + b_R c_R$$

4 recursive multiplications of number of size n/2 each plus 4 additions and left shifts (adding enough 0's to the right)

$$T(n) = 4T(n/2) + O(n)$$
  $T(1) = O(1)$ 

#### Time Analysis

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 $T(n) = \Theta(n^2)$ . No better than grade school multiplication!

# Faster multiplication: Karatsuba's Algorithm

#### A Trick of Gauss

Carl Friedrich Gauss: 1777–1855 "Prince of Mathematicians"

Observation: Multiply two complex numbers: (a + bi) and (c + di)

$$(a+bi)(c+di) = ac-bd+(ad+bc)i$$

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How many multiplications do we need?

Only 3! If we do extra additions and subtractions.

Compute ac, bd, (a+b)(c+d). Then

#### **Gauss technique for polynomials**

$$p(x) = ax + b$$
 and  $q(x) = cx + d$ .

$$p(x)q(x) = acx^2 + (ad + bc)x + bd.$$

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.

$$p(x)q(x) = \underline{ac}x^2 + (\underline{(a+b)(c+d)} - ac - bd)x + \underline{bd}.$$

$$bc = b(x)c(x) = (b_L x + b_R)(c_L x + c_R)$$

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$$= b_L c_L x^2 + (b_L c_R + b_R c_L)x + b_R c_R$$

$$= (b_L * c_L)x^2 + ((b_L + b_R) * (c_L + c_R) - b_L * c_L - b_R * c_R)x$$

$$+ b_R * c_R$$

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$$+ b_R * c_R$$

Recursively compute only  $b_L c_L$ ,  $b_R c_R$ ,  $(b_L + b_R)(c_L + c_R)$ .

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$$+ b_R * c_R$$

Recursively compute only  $b_L c_L$ ,  $b_R c_R$ ,  $(b_L + b_R)(c_L + c_R)$ .

#### **Time Analysis**

Running time is given by

$$T(n) = 3T(n/2) + O(n)$$
  $T(1) = O(1)$ 

which means 
$$T(n) = O(n^{\log_2 3}) = O(n^{1.585}) \le O(n^2)$$

#### State of the Art

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Schönhage-Strassen 1971: O(n \log n \log \log n) time using Fast-Fourier-Transform (FFT)
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Martin Fürer 2007:  $O(n) \log n2^{O(\log^* n)}$  time

Conjecture: There is an  $O(n \log n)$  time algorithm.

CS/ECE 374-B, Sp'24