Pre-lecture brain teaser

Write a (very simple) recursive algorithm that calcuates the

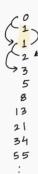
Fibonnacci nth number.

$$F_n = F_{n-1} + F_{n-2}$$
 where $F_0 = \underline{0}, F_1 = \underline{1}$

Indian Mathematician

Azhanya Pingala in 200 BC

Named after Halian Mathematican Leonardo of Pisa aka Frbonacci (1202)





ECE-374-B: Lecture 12 - Dynamic Programming I

Instructor: Abhishek Kumar Umrawal

October 05, 2022

University of Illinois at Urbana-Champaign

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Write a (very simple) recursive algorithm that calcuates the Fibonnacci n^{th} number.

$$F_n = F_{n-1} + F_{n-2}$$
 where $F_0 = 0, F_1 = 1$

Learning Objectives

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At the end of the lecture, you should be able to understand

- the concepts of the memoizationand dynamic programming,
- how to improve the time and space complexities of recursive algorithms using the above concepts,
- dynamic programming for the fibonacci numbers and longest increasing subsequence problem, and
- where and how to use dynamic programming to refine recursive algorithms.

Recursion and Memoization

Fibonacci Numbers

Fibonacci numbers defined by recurrence:

$$F(n) = F(n-1) + F(n-2)$$
 and $F(0) = 0, F(1) = 1$.

These numbers have many interesting properties. A journal $\underline{\mathsf{The}}$ Fibonacci Quarterly $^1!$

4

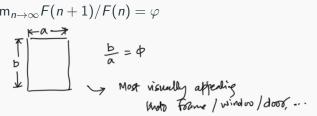
Fibonacci Numbers

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These numbers have many interesting properties. A journal The Fibonacci Quarterly¹!

- Binet's formula: $F(n) = \frac{\varphi^n (1-\varphi)^n}{\sqrt{5}} \approx \frac{1.618^n (-0.618)^n}{\sqrt{5}} \approx \frac{1.618^n}{\sqrt{5}}$ φ is the golden ratio $(1+\sqrt{5})/2 \simeq 1.618$.
- $\lim_{n\to\infty} F(n+1)/F(n) = \varphi$



Recursive Algorithm for Fibonacci Numbers

Question: Given n, compute F(n).

```
Fib(n):

if (n = 0)

return 0

else if (n = 1)

return 1

else

return Fib(n - 1) + Fib(n - 2)
```

Recursive Algorithm for Fibonacci Numbers

Question: Given n, compute F(n).

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Fib(n):

if (n = 0)

return 0

else if (n = 1)

return 1

else

return Fib(n - 1) + Fib(n - 2)
```

Running time? Let T(n) be the number of additions in Fib(n).

$$T(n) = T(n-1) + T(n-2) + O(1)$$

Q=1.6 <2

$$T(n) \leq 1 + 2 + 4 + \cdots + 2^n$$
 Exact bound:
 $= O(2^n)$ $T(n) = O(\varphi^n)$

Recursive Algorithm for Fibonacci Numbers

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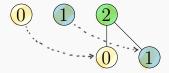
$$T(n) = T(n-1) + T(n-2) + 1$$
 and $T(0) = T(1) = 0$

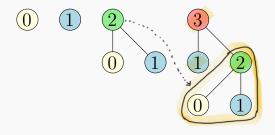
Roughly same as F(n): $T(n) = \Theta(\varphi^n)$.

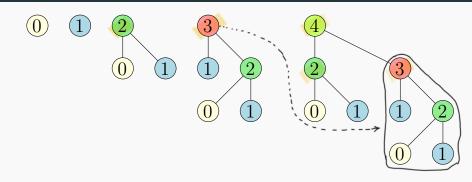
The number of additions is exponential in n. Can we do better?

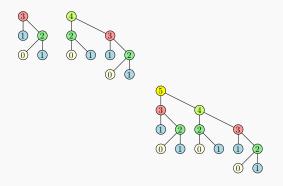


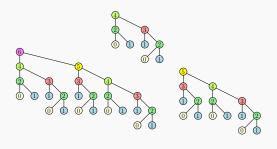


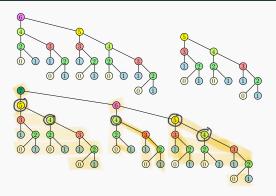












Observation: OPTIMAL SUBSTRUCTURE

"A recursive solution contains a small number of distinct subproblems repeated many times."

An iterative algorithm for Fibonacci numbers

```
Fiblter(n):
                                          F stores the values co far!
       if (n = 0) then
            return 0
       if (n = 1) then
            return 1
       F[0] = 0
       F[1] = 1
       for i = 2 to n do
            F[i] = F[i-1] + F[i-2]
That every some call
       return F[n]
Herative bottom - up calculation.

T(n) = O(n) additions
```

An iterative algorithm for Fibonacci numbers

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Fiblter(n):
    if (n = 0) then
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    if (n = 1) then
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    F[0] = 0
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    return F[n]
```

What is the running time of the algorithm?

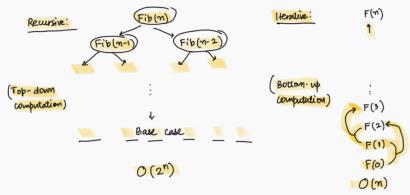
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    for i = 2 to n do
        F[i] = F[i-1] + F[i-2]
    return F[n]
```

What is the running time of the algorithm? O(n) additions.

What is the difference?

- Recursive algorithm is computing the same numbers again and again.
- Iterative algorithm is storing computed values and building bottom up the final value.



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Dynamic Programming: Finding a recursion that can be effectively/efficiently memorized.

Leads to polynomial time algorithm if number of sub-problems is polynomial in input size.

Implicit vs. explicit memoization

Can we convert recursive algorithm into an efficient algorithm without explicitly doing an iterative algorithm? Combiler will do it! algorithm, i.e., runtime = O(nk) for some k constant independent of n. Divide-and-conquer recurrences are fundamentally different from what we would like for dynamic programming (DP). In DP, we want to be repeated. maller instances For instance: In merge sort, smaller subproblems are not repeated.

Can we convert recursive algorithm into an efficient algorithm without explicitly doing an iterative algorithm?

```
Fib(n):

if (n = 0)

return 0

if (n = 1)

return 1

if (Fib(n) was previously computed)

return stored value of Fib(n)

else

return Fib(n-1) + Fib(n-2)
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How do we keep track of previously computed values?

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        return Fib(n - 1) + Fib(n - 2)
```

How do we keep track of previously computed values? Two methods: explicitly and implicitly (via data structure)

Initialize a (dynamic) dictionary data structure D to empty

```
Fib(n):

if (n = 0)

return 0

if (n = 1)

return 1

if (n \text{ is already in } D)

return value stored with n \text{ in } D

val \Leftarrow \text{Fib}(n-1) + \text{Fib}(n-2)

Store (n, val) in D

return val
```

Use hash-table or a map to remember which values were already computed.

```
Compiler will do it! Key value pair. For instance: Python dictionery.

Made by the compiler. Ask the compiler to do the memoization.
```

Explicit (not automatic) memoization

• Initialize table/array M of size n: M[i] = -1 for $i = 0, \ldots, n$.

To it yourself munoization!

Explicit (not automatic) memoization

- Initialize table/array M of size n: M[i] = -1 for i = 0, ..., n.
- Resulting code:

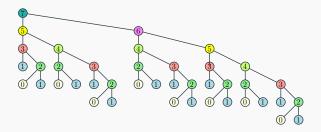
```
Fib(n):
         if (n = 0)
               return 0
          if (n = 1)
               return 1
          if (M[n] \neq -1) // M[n]: stored value of Fib(n) \leftarrow
               return M[n]
          M[n] \Leftarrow \text{Fib}(n-1) + \text{Fib}(n-2)
                                                           You are explicitly
          return M[n]
                                                           writing what the
                                                          compiler may do
                                                          implicitly
```

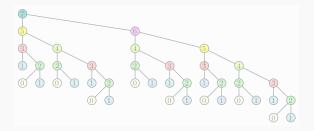
Explicit (not automatic) memoization

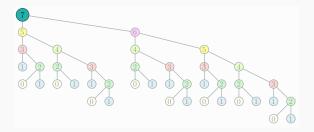
- Initialize table/array M of size n: M[i] = -1 for i = 0, ..., n.
- Resulting code:

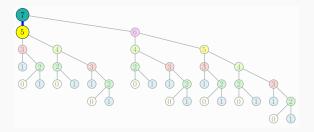
```
\begin{aligned} &\textbf{Fib}(n):\\ &\textbf{if}~(n=0)\\ &\textbf{return}~0\\ &\textbf{if}~(n=1)\\ &\textbf{return}~1\\ &\textbf{if}~(M[n]\neq -1)~//~M[n]:~\textbf{stored value of Fib}(n)\\ &\textbf{return}~M[n]\\ &M[n]\Leftarrow \textbf{Fib}(n-1) + \textbf{Fib}(n-2)\\ &\textbf{return}~M[n] \end{aligned}
```

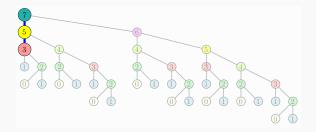
 Need to know upfront the number of sub-problems to allocate memory.

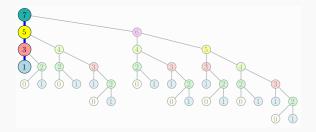


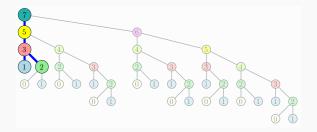


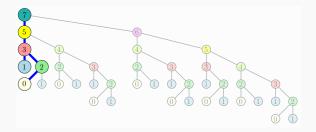


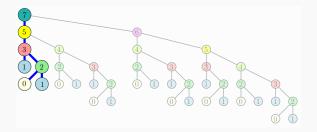


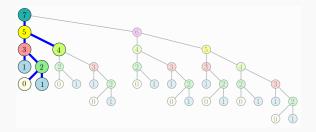


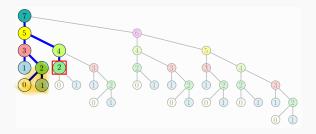


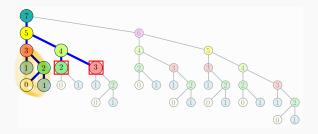


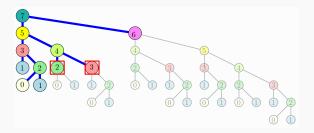


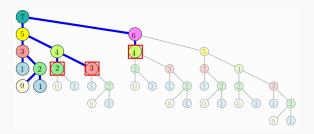


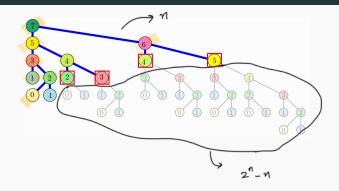












Implicit or automatic memoization

(RIY)

• Recursive version:

$$f(x_1, x_2, \dots, x_d)$$
:

CODE

• Recursive version with memoization:

```
g(x_1,x_2,\ldots,x_d):

if f already computed for (x_1,x_2,\ldots,x_d) then

return value already computed

NEW_CODE
```

- NEW CODE:
 - Replaces any "return α " with
 - Remember " $f(x_1, \ldots, x_d) = \alpha$ "; **return** α .

- Explicit memoization (on the way to iterative algorithm) preferred:
 - analyze problem ahead of time



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- Implicit (automatic) memoization:
 - problem structure or algorithm is not well understood.
 - Need to pay overhead of data-structure.
 - Functional languages (e.g., LISP) automatically do memoization, usually via hashing based dictionaries.

Explicit/implicit memoization for Fibonacci

```
Init: M[i] = -1, i = 0, ..., n.
Fib(k):
     if (k = 0)
          return 0
     if (k = 1)
          return 1
     if (M[k] \neq -1)
          return M[n]
     M[k] \Leftarrow \text{Fib}(k-1) + \text{Fib}(k-2)
     return M[k]
```

```
Init: Init dictionary D
Fib(n):
    if (n = 0)
         return 0
    if (n = 1)
         return 1
    if (n \text{ is already in } D)
         return value stored
              with n in D
         val \Leftarrow Fib(n-1) + Fib(n-2)
    Store (n, val) in D
    return val
```

Explicit memoization

Implicit memoization

Dynamic programming

Removing the recursion by filling the table in the right order

```
\begin{aligned} &\textbf{Fib}(n): \\ &\textbf{if} \quad (n=0) \\ &\textbf{return} \quad 0 \\ &\textbf{if} \quad (n=1) \\ &\textbf{return} \quad 1 \\ &\textbf{if} \quad (M[n] \neq -1) \\ &\textbf{return} \quad M[n] \\ &M[n] \Leftarrow \textbf{Fib}(n-1) + \textbf{Fib}(n-2) \\ &\textbf{return} \quad M[n] \end{aligned}
```

Explint Memoization

```
Fiblter(n):

if (n = 0) then

return 0

if (n = 1) then

return 1

F[0] = 0

F[1] = 1

for i = 2 to n do

F[i] = F[i-1] + F[i-2]

return F[n]
```

Herative Algorithm

Dynamic programming: Saving space!

Saving space. Do we need an array of n numbers? Not really.

```
Fiblter(n):
    if (n = 0) then
        return 0
    if (n = 1) then
        return 1
    F[0] = 0
    F[1] = 1
    for i = 2 to n do
        F[i] = F[i-1] + F[i-2]
    return F[n]
```

```
Fiblter(n):
    if (n = 0) then
        return 0
    if (n = 1) then
        return 1
    prev2 = 0
    prev1 = 1
    for i = 2 to n do
        temp = prev1 + prev2
        prev2 = prev1
        prev1 = temp
    return prev1
```

Dynamic programming – quick review

Dynamic Programming is smart recursion

Dynamic programming – quick review

Dynamic Programming is smart recursion

+ explicit memoization

Dynamic programming – quick review

Dynamic Programming is smart recursion

- + explicit memoization
- + filling the table in right order
- + removing recursion.

- Suppose we have a recursive program foo(x) that takes an input x.
 - On input of size n the number of distinct sub-problems that foo(x) generates is at most A(n)
 - foo(x) spends at most B(n) time not counting the time for its recursive calls.

Eg.
$$Fib(n)$$
: $A(n) = O(n)$ $O(n)$ $O(n)$ $O(n)$ $O(n)$ $O(n)$ $O(n)$ $O(n)$ $O(n)$ $O(n)$

Suppose we have a recursive program foo(x) that takes an input x.

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Suppose we memorize the recursion.

Assumption: Storing and retrieving solutions to pre-computed problems takes O(1) time.

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Q: What is an upper bound on the running time of memorized version of foo(x) if |x| = n?

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Q: What is an upper bound on the running time of memorized version of foo(x) if |x| = n? O(A(n)B(n)).

Longest Increasing Sub-sequence Revisited

$$\frac{O(n2^n)}{f} \xrightarrow{?} O(2^n) \xrightarrow{?} O(n^2)$$
*Brute-frice"

*Brute-frice

Sequences

Definition

<u>Sequence</u>: an ordered list a_1, a_2, \ldots, a_n . <u>Length</u> of a sequence is number of elements in the list.

Definition

$$a_{i_1}, \ldots, a_{i_k}$$
 is a sub-sequence of a_1, \ldots, a_n if $1 \le i_1 < i_2 < \ldots < i_k \le n$.

Definition

A sequence is increasing if $a_1 < a_2 < \ldots < a_n$. It is non-decreasing if $a_1 \le a_2 \le \ldots \le a_n$. Similarly decreasing and non-increasing.

Sequences - Example...

Example

- Sequence: 6, 3, 5, 2, 7, 8, 1
- Subsequence of above sequence: 5, 2, 1
- Increasing sequence: 3, 5, 9, 17, 54
- Decreasing sequence: 34, 21, 7, 5, 1
- Increasing subsequence of the first sequence: 2, 7, 8.
- Longest Increasing subsequence of the first sequence: 3, 5, 7, 8.

Longest Increasing Subsequence Problem

Input A sequence of numbers $a_0, a_1, \ldots, a_{n-1}$ **Goal** Find an increasing subsequence $a_{i_0}, a_{i_1}, \ldots, a_{i_k}$ of maximum length

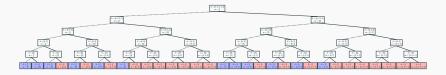
Longest Increasing Subsequence Problem

- **Input** A sequence of numbers $a_0, a_1, \ldots, a_{n-1}$
 - **Goal** Find an increasing subsequence $a_{i_0}, a_{i_1}, \ldots, a_{i_k}$ of maximum length

Example

- Sequence: 6, 3, 5, 2, 7, 8, 1
- Increasing subsequences: 6, 7, 8 and 3, 5, 7, 8 and 2, 7 etc
- Longest increasing subsequence: 3, 5, 7, 8

Naive Recursion Enumeration - State Tree



- This is just for [6,3,5,2,7]! (Tikz won't print larger trees)
- How many leafs are there for the full [6,3,5,2,7, 8, 1] sequence
- What is the running time?

Naive Recursion Enumeration - Code

Assume a_1, a_2, \ldots, a_n is contained in an array A

```
\begin{aligned} & \textbf{algLISNaive}(A[1..n]): \\ & \textit{max} = 0 \\ & \textbf{for} \text{ each subsequence } B \text{ of } A \textbf{ do} \\ & & \textbf{if } B \text{ is increasing and } |B| > \textit{max} \textbf{ then} \\ & & \textit{max} = |B| \end{aligned} Output \textit{max}
```

Running time: $O(n2^n)$.

 2^n subsequences of a sequence of length n and O(n) time to check if a given sequence is increasing.

Backtracking Approach: LIS: Longest increasing subsequence

Can we find a recursive algorithm for LIS?

LIS(
$$A[0..n-1]$$
):

Backtracking Approach: LIS: Longest increasing subsequence

Can we find a recursive algorithm for LIS?

LIS(A[0..n-1]):

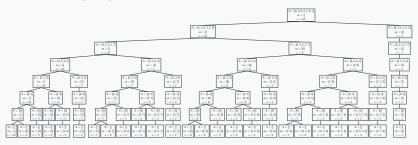
- Case 1: Does not contain A[n-1] in which case LIS(A[0..n-1]) = LIS(A[0..(n-1)])
- Case 2: contains A[n-1] in which case LIS(A[0..n-1]) is not so clear.

Observation

For second case we want to find a subsequence in A[1..(n-2)] that is restricted to numbers less than A[n-1]. This suggests that a more general problem is LIS_smaller(A[0..n-1], x) which gives the longest increasing subsequence in A where each number in the sequence is less than x.

Example

Sequence: A[0..6] = 6, 3, 5, 2, 7, 8, 1



LIS(A[1..n]): the length of longest increasing subsequence in A

LIS_smaller(A[1..n], x): length of longest increasing subsequence in A[1..n] with all numbers in subsequence less than x

```
LIS_smaller(A[1...i], x):

if i = 0 then return 0

m = LIS\_smaller(A[1...i-1], x)

if A[i] < x then

m = max(m, 1 + LIS\_smaller(A[1...i-1], A[i]))
Output m
```

LIS (A[1..n]):

```
return LIS_smaller (A[1..n], \infty)
O(2^n) : Don't have to check for the increasing nature
```

```
\begin{split} \textbf{LIS\_smaller}(A[1..i], x) : \\ & \textbf{if } i = 0 \textbf{ then return } 0 \\ & m = \textbf{LIS\_smaller}(A[1..i-1], x) \\ & \textbf{if } A[i] < x \textbf{ then} \\ & m = max(m, 1 + \textbf{LIS\_smaller}(A[1..i-1], A[i])) \\ & \texttt{Output } m \end{split}
```

• How many distinct sub-problems will **LIS_smaller**($A[1..n], \infty$) generate?

```
LIS_smaller(A[1..i], x):

if i = 0 then return 0

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Output m
```

• How many distinct sub-problems will LIS_smaller($A[1..n], \infty$) generate? $O(n^2)$

```
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```
 \begin{aligned} \textbf{LIS}\left(A[1..n]\right): \\ \textbf{return LIS\_smaller}\left(A[1..n], \infty\right) \end{aligned}
```

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- How much space for memoization? $O(n^2)$

Naming sub-problems and recursive equation

After seeing that number of sub-problems is $O(n^2)$ we name them to help us understand the structure better. For notational ease we add ∞ at end of array (in position n+1)

 $\underline{LIS(i,j)}$: length of longest increasing sequence in $\underline{A[1..i]}$ among numbers less than $\underline{A[j]}$ (defined only for i < j)

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LIS $(\pi, \pi + 1)$

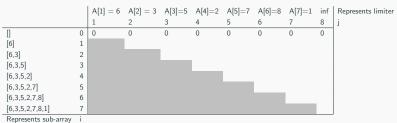
Base case: L/S(0,j) = 0 for $1 \le j \le n+1 \leftarrow A(0,...,1)$: empty A Recursive relation:

- $LIS(i,j) = LIS(\underline{i-1},\underline{j})$ if $\underline{A[i]} \geq \underline{A[j]} \leftarrow H$ we don't include $\underline{A(i]}$ in LIS.
- $LIS(i,j) = \max\{\underline{LIS(i-1,j)}, \frac{1}{1} + \underline{LIS(i-1,i)}\}$ if A[i] < A[j]

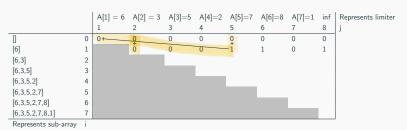
Output: LIS(n, n+1). Please spend som five here!



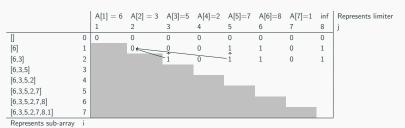
$$LIS(i,j) = \\ A[1 \dots 7] = [6,3,5,2,7,8,1] \begin{cases} 0 & i = 0 \\ \underline{LIS(i-1,j)} & \underline{A[i] \ge A[j]} \\ \max \begin{cases} \underline{LIS(i-1,j)} & \underline{A[i] < A[j]} \end{cases} \end{cases}$$



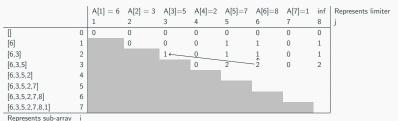
$$LIS(i,j) = \\ Sequence: \\ A[1 \dots 7] = [6,3,5,2,7,8,1] \begin{cases} 0 & i = 0 \\ LIS(i-1,j) & A[i] \geq A[j] \\ \max \begin{cases} LIS(i-1,j) & A[i] < A[j] \end{cases} \end{cases}$$



Sequence:
$$A[1 \dots 7] = [6, 3, 5, 2, 7, 8, 1] \begin{cases} 0 & i = 0 \\ LIS(i - 1, j) & A[i] \ge A[j] \\ \max \begin{cases} LIS(i - 1, j) & A[i] < A[j] \\ 1 + LIS(i - 1, i) \end{cases}$$



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represents sub-array

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	A[1] = 6	A[2] = 3	A[3]=5	A[4]=2	A[5]=7	A[6]=8	A[7]=1	inf	Represents limiter
	1	2	3	4	5	6	7	8	j
[] 0	0	0	0	0	0	0	0	0	
[6] 1		0	0	0	1	1	0	1	
[6,3] 2			1	0	1	1	0	1	
[6,3,5] 3				0	2	2	0	2	
[6,3,5,2] 4					2	2	0	2	
[6,3,5,2,7] 5									
[6,3,5,2,7,8] 6									
[6,3,5,2,7,8,1] 7									

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[6]	1		0	0	0	1	1	0	1	
[6,3]	2			1	0	1	1	0	1	
[6,3,5]	3				0	2	2	0	2	
[6,3,5,2]	4					2	2	0	2	
[6,3,5,2,7]	5						3	0	3	
[6,3,5,2,7,8]	6									
[6,3,5,2,7,8,1]	7									

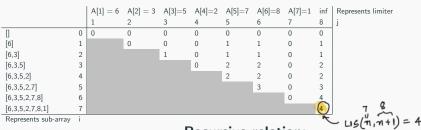
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[6,3,5,2]	4					2	2	0	2	
[6,3,5,2,7]	5						3	0	3	
[6,3,5,2,7,8]	6							0	4	
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diagonal + 1st off diagonal + Upper riset corner =
$$(n+1)$$
 + n + $(n-1)$ + ... + 1

$$= (n+1) + n + (n-1) + \cdots + 1$$

(n+1) (n+2)

= $n^2 + 3n + 2$

$$= (m+1) + m + (m-1) + \cdots + 1$$

Iterative algorithm

The dynamic program for longest increasing subsequence

```
LIS-Iterative(A[1..n]):
    A[n+1]=\infty
    int LIS[0..n-1,0..n]
    for i = 0 \dots n) if A[i] \leq A[j] then L/S[0][j] = 1
    for i = 1 \dots n-1 do
         for j = i \dots n-1 do
              if (A[i] > A[i])
                   LIS[i, j] = LIS[i - 1, j]
              else
                   LIS[i, j] = \max(LIS[i-1, j], 1 + LIS[i-1, i])
    Return LIS[n, n+1]
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Running time: $O(n^2)$

Space: $O(n^2)$

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Running time: $O(n^2)$

Space: $O(n^2)$ Can be done in linear space. How?

Two comments

Question: Can we compute an optimum solution and not just its value?

Two comments

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Yes! See notes.

Finding the sub-sequence

		A[1] = 6	A[2] = 3	A[3]=5	A[4]=2	A[5]=7	A[6] = 8	A[7]=1	inf	Represent
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Penrecente cub array	- 1									1

Sequence:

$$A[1...7] = [6,3,5,2,7,8,1]$$
 $LIS(i,j) =$

$$LIS = [3, 5, 7, 8]$$

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$$A[1...7] = [6,3,5,2,7,8,1] \qquad LIS(i,j) = \\ \text{We know the LIS length (4)} \\ \text{but how do we find the LIS} \\ \text{itself?} \\ LIS = [3,5,7,8] \qquad \begin{cases} 0 & i = 0 \\ LIS(i-1,j) & A[i] \geq A[j] \\ \max \begin{cases} LIS(i-1,j) & A[i] < A[j] \\ 1 + LIS(i-1,i) \end{cases} \end{cases}$$

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[6,3]	2			1←	0	1	1	0	1	[3, 5, 7,8]
[6,3,5]	3				0	-2	2	0	2	27777
[6,3,5,2]	4					2	2	0	2	
[6,3,5,2,7]	5						-3←	0	3	
[6,3,5,2,7,8]	6							0	-4	
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Represents sub-array i

Sequence:

$$A[1...7] = [6,3,5,2,7,8,1]$$
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$$LIS = [3, 5, 7, 8]$$

Recursive relation:

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Question: Is there a faster algorithm for LIS?

Two comments

Question: Can we compute an optimum solution and not just its value?
Yes!

Question: Is there a faster algorithm for LIS? Yes! Using a different recursion and optimizing one can obtain an $O(n \log n)$ time and O(n) space algorithm. $O(n \log n)$ time is not obvious. Depends on improving time by using data structures on top of dynamic programming.

$$O(n2^n) \xrightarrow{\sim} O(2^n) \xrightarrow{\sim} O(n^2) \xrightarrow{p} O(n\log n)$$

How to come up with dynamic programming algorithm: summary

(RIY)

 Find a "smart" recursion for the problem in which the number of distinct sub-problems is small; polynomial in the original problem size.

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- ...
- Get rich!

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