



## Pre-lecture brain teaser

Consider the following algorithm which takes in an undirected graph  $(G)$  and a vertex  $s$ .

**FindClique**  $(G, s)$

$C = s$

$O(n) \rightarrow$  for each vertex  $v \in V$   
flag = 1

$O(m+n) \rightarrow$  { for each vertex  $u \in C$   
if  $(u, v) \notin E$   
flag = 0

if flag == 1

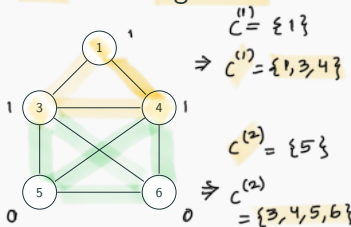
$C = C \cup \{v\}$

return  $C$

$T(n) = O(n \cdot (m+n))$

The algorithm represents a greedy algorithm which finds a clique depending on a start vertex  $s$ .

- How fast is this algorithm?



# ECE-374-B: Lecture 20 - P/NP and NP-completeness

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University of Illinois at Urbana-Champaign

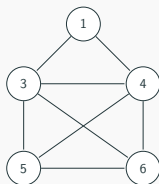
## Pre-lecture brain teaser

Consider the following algorithm which takes in a undirected graph  $(G)$  and a vertex  $s$

```
FindClique ( $G, s$ )  
   $C = s$   
  for each vertex  $v \in V$   
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    for each vertex  $u \in C$   
      if  $(u, v) \notin E$   
         $flag = 0$   
    if  $flag == 1$   
       $C = C \cup \{v\}$   
return  $C$ 
```

The algorithm is a represents a greedy algorithm which finds a clique depending on a start vertex  $s$ .

- How fast is this algorithm?

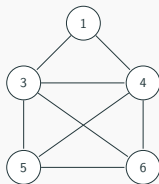


**Claim:** Run  $FindClique(G, s) \forall s \in V \Rightarrow$  maximal clique in  $G!$

## Pre-lecture brain teaser

Consider the following algorithm which takes in a undirected graph ( $G$ ) and a vertex  $s$

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   $C = s$   
  for each vertex  $v \in V$   
     $flag = 1$   
    for each vertex  $u \in C$   
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         $flag = 0$   
    if  $flag == 1$   
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return  $C$ 
```

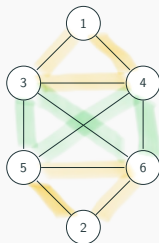




The Clique-problem is NP-complete. But this algorithm provides us with the maximal clique containing  $s$ . If we run it  $|V|$  times, does that solve the clique-problem.

## Pre-lecture brain teaser

Consider the following algorithm which takes in a undirected graph  $(G)$  and a vertex  $s$

```
FindClique  $(G, s)$   
   $C = s$   
  for each vertex  $v \in V$   
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    for each vertex  $u \in C$   
      if  $(u, v) \notin E$   
         $flag = 0$   
    if  $flag == 1$   
       $C = C \cup \{v\}$   
  return  $C$ 
```



 : maximal clique  
 : some clique

# The Satisfiability Problem (SAT)

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# Propositional Formulas

## Definition 1/0

Consider a set of boolean variables  $x_1, x_2, \dots, x_n$ .

- A literal is either a boolean variable  $x_i$  or its negation  $\neg x_i$ .

- A clause is a disjunction<sup>OR</sup> of literals.

For example,  $x_1 \vee x_2 \vee \neg x_4$  is a clause.

- A formula in conjunctive normal form (CNF) is propositional formula which is a conjunction<sup>(AND)</sup> of clauses.

- $(x_1 \vee x_2 \vee \neg x_4) \wedge (x_2 \vee \neg x_3) \wedge x_5$  is a CNF formula.

Disjunctive Normal Form (DNF):

Eg.  $(x_1 \wedge x_2) \vee (x_1 \wedge \neg x_2) \vee x_3$



# Propositional Formulas

## Definition

Consider a set of boolean variables  $x_1, x_2, \dots, x_n$ .

- A literal is either a boolean variable  $x_i$  or its negation  $\neg x_i$ .
- A clause is a disjunction of literals.  
For example,  $x_1 \vee x_2 \vee \neg x_4$  is a clause.
- A formula in conjunctive normal form (CNF) is propositional formula which is a conjunction of clauses.
  - $(x_1 \vee x_2 \vee \neg x_4) \wedge (x_2 \vee \neg x_3) \wedge x_5$  is a CNF formula.
- A formula  $\varphi$  is a **3CNF**:  
A CNF formula such that every clause has exactly 3 literals.
  - $(x_1 \vee x_2 \vee \neg x_4) \wedge (x_2 \vee \neg x_3 \vee x_1)$  is a 3CNF formula, but  
 $(x_1 \vee x_2 \vee \neg x_4) \wedge (x_2 \vee \neg x_3) \wedge x_5$  is not.

## CNF is universal

Every boolean formula  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  can be written as a CNF formula.

$n = 6$

$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$f(x_1, x_2, \dots, x_6)$	$\overline{x_1} \vee x_2 \overline{x_3} \vee x_4 \vee \overline{x_5} \vee x_6$
0	0	0	0	0	0	$f(0, \dots, 0, 0)$	1
0	0	0	0	0	1	$f(0, \dots, 0, 1)$	1
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$
1	0	1	0	0	1	?	1
1	0	1	0	1	0	0	0
1	0	1	0	1	1	?	1
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$
1	1	1	1	1	1	$f(1, \dots, 1)$	1

How? For every row such that  $f$  is zero, compute corresponding CNF clause. Then take the AND ( $\wedge$ ) of all the CNF clauses computed. The resulting CNF formula is equivalent to  $f$ .

# Satisfiability

## Problem: SAT

**Instance:** A CNF formula  $\varphi$ .

**Question:** Is there a truth assignment to the variable of  $\varphi$  such that  $\varphi$  evaluates to true?

## Problem: 3SAT

**Instance:** A 3CNF formula  $\varphi$ .

**Question:** Is there a truth assignment to the variable of  $\varphi$  such that  $\varphi$  evaluates to true?

# Satisfiability (SAT)

## SAT

Given a CNF formula  $\varphi$ , is there a truth assignment to variables such that  $\varphi$  evaluates to true?

## Example

- $(x_1 \vee x_2 \vee \neg x_4) \wedge (x_2 \vee \neg x_3) \wedge x_5$  is satisfiable; take  $x_1, x_2, \dots, x_5$  to be all true
- $(x_1 \vee \neg x_2) \wedge (\neg x_1 \vee x_2) \wedge (\neg x_1 \vee \neg x_2) \wedge (x_1 \vee x_2)$  is not satisfiable.

## 3SAT

Given a 3CNF formula  $\varphi$ , is there a truth assignment to variables such that  $\varphi$  evaluates to true?

## Importance of SAT and 3SAT

- SAT and 3SAT are basic constraint satisfaction problems.
- Many different problems can be reduced to them because of the simple yet powerful expressiveness of logical constraints.
- Arise naturally in many applications involving hardware and software verification and correctness.
- As we will see, it is a fundamental problem in theory of NP-Completeness.

$$z = \bar{x}$$

Given two bits  $x, z$  which of the following **SAT** formulas is equivalent to the formula  $z = \bar{x}$ :

(A)  $(\bar{z} \vee x) \wedge (z \vee \bar{x})$ .

(B)  $(z \vee x) \wedge (\bar{z} \vee \bar{x})$ .

(C)  $(\bar{z} \vee x) \wedge (\bar{z} \vee \bar{x}) \wedge (\bar{z} \vee \bar{x})$ .

(D)  $z \oplus x$ .

(E)  $(z \vee x) \wedge (\bar{z} \vee \bar{x}) \wedge (z \vee \bar{x}) \wedge (\bar{z} \vee x)$ .

Answer: B

## $z = \bar{x}$ : Solution

Given two bits  $x, z$  which of the following **SAT** formulas is equivalent to the formula  $z = \bar{x}$ :

- (A)  $(\bar{z} \vee x) \wedge (z \vee \bar{x})$ .
- (B)  $(z \vee x) \wedge (\bar{z} \vee \bar{x})$ .
- (C)  $(\bar{z} \vee x) \wedge (\bar{z} \vee \bar{x}) \wedge (z \vee \bar{x})$ .
- (D)  $z \oplus x$ .
- (E)  $(z \vee x) \wedge (\bar{z} \vee \bar{x}) \wedge (z \vee \bar{x}) \wedge (\bar{z} \vee x)$ .

$x$	$z$	$z = \bar{x}$	
<u>0</u>	<u>0</u>	<u>0</u>	$\rightarrow (\bar{x} \vee \bar{z})$
0	1	1	
1	0	1	$\wedge$
1	1	<u>0</u>	

$\rightarrow (x \vee z)$

$$z = x \wedge y \quad (\text{RIY})$$

Given three bits  $x, y, z$  which of the following **SAT** formulas is equivalent to the formula  $z = x \wedge y$ :

(A)  $(\bar{z} \vee x \vee y) \wedge (z \vee \bar{x} \vee \bar{y})$ .

(B)  $(\bar{z} \vee x \vee y) \wedge (\bar{z} \vee \bar{x} \vee y) \wedge (z \vee \bar{x} \vee \bar{y})$ .

(C)  $(\bar{z} \vee x \vee y) \wedge (\bar{z} \vee \bar{x} \vee y) \wedge (z \vee \bar{x} \vee y) \wedge (z \vee \bar{x} \vee \bar{y})$ .

(D)  $(z \vee x \vee y) \wedge (\bar{z} \vee \bar{x} \vee y) \wedge (z \vee \bar{x} \vee y) \wedge (z \vee \bar{x} \vee \bar{y})$ .

(E)  $(z \vee x \vee y) \wedge (z \vee x \vee \bar{y}) \wedge (z \vee \bar{x} \vee y) \wedge (z \vee \bar{x} \vee \bar{y}) \wedge$   
 $(\bar{z} \vee x \vee y) \wedge (\bar{z} \vee x \vee \bar{y}) \wedge (\bar{z} \vee \bar{x} \vee y) \wedge (\bar{z} \vee \bar{x} \vee \bar{y})$ .

Answer: C



$$z = x \wedge y$$

Given three bits  $x, y, z$  which of the following **SAT** formulas is equivalent to the formula  $z = x \wedge y$ :

- (A)  $(\bar{z} \vee x \vee y) \wedge (z \vee \bar{x} \vee \bar{y})$ .
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- (E)  $(z \vee x \vee y) \wedge (z \vee x \vee \bar{y}) \wedge (z \vee \bar{x} \vee y) \wedge (z \vee \bar{x} \vee \bar{y}) \wedge (\bar{z} \vee x \vee y) \wedge (\bar{z} \vee x \vee \bar{y}) \wedge$

$x$	$y$	$z$	$z = x \wedge y$
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	0
1	1	1	1

## Reducing SAT to 3SAT (R1Y)

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### How **SAT** is different from **3SAT**?

In **SAT** clauses might have arbitrary length: 1, 2, 3, ... variables:

$$(x \vee y \vee z \vee w \vee u) \wedge (\neg x \vee \neg y \vee \neg z \vee w \vee u) \wedge (\neg x)$$

In **3SAT** every clause must have exactly 3 different literals.

## How **SAT** is different from **3SAT**?

In **SAT** clauses might have arbitrary length: 1, 2, 3, ... variables:

$$(x \vee y \vee z \vee w \vee u) \wedge (\neg x \vee \neg y \vee \neg z \vee w \vee u) \wedge (\neg x)$$

In **3SAT** every clause must have exactly 3 different literals.

To reduce from an instance of **SAT** to an instance of **3SAT**, we must make all clauses to have exactly 3 variables...

### Basic idea

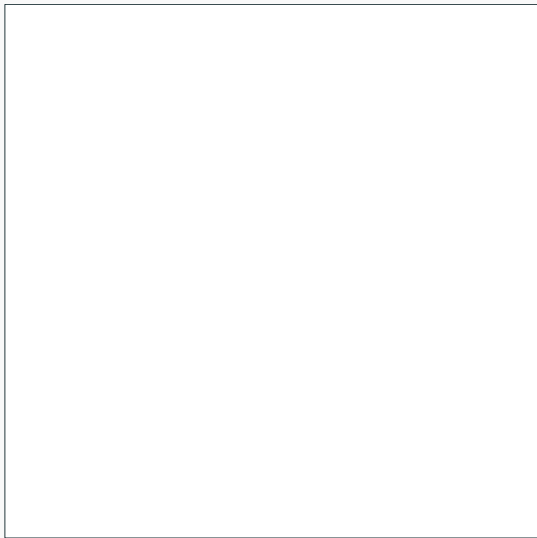
- Pad short clauses so they have 3 literals.
- Break long clauses into shorter clauses.
- Repeat the above till we have a **3CNF**.

**Proof of this in Prof. Har-Peled's async lectures!**

# Overview of Complexity Classes

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In the beginning...



## In the beginning...

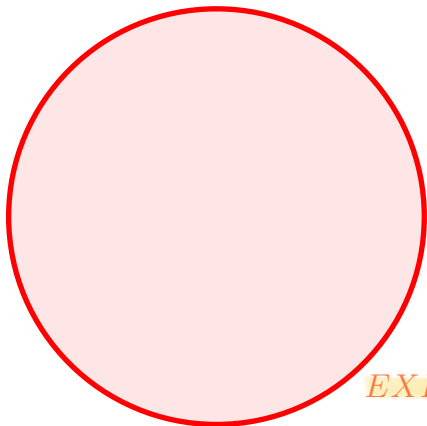
*Undecidable*

Deterministic TM (DTM)

cannot solve!

## In the beginning...

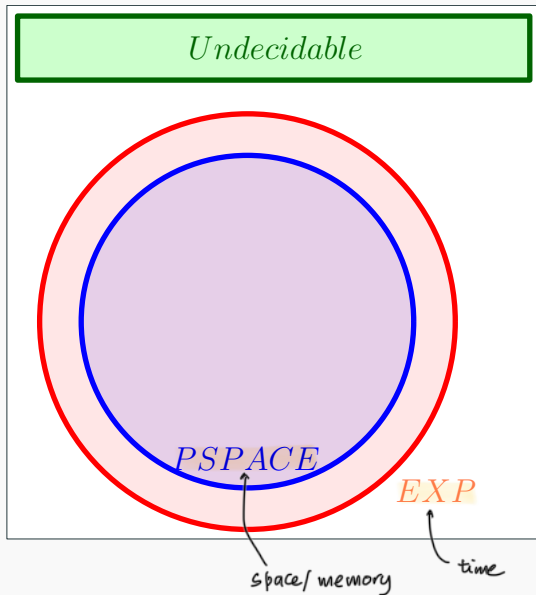
*Undecidable*



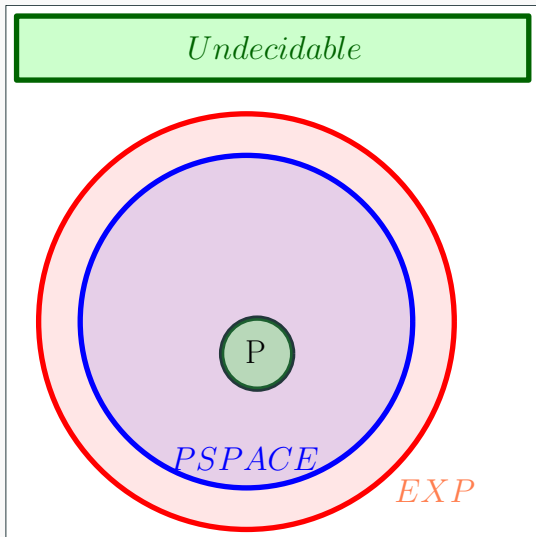
*EXP*



# In the beginning...

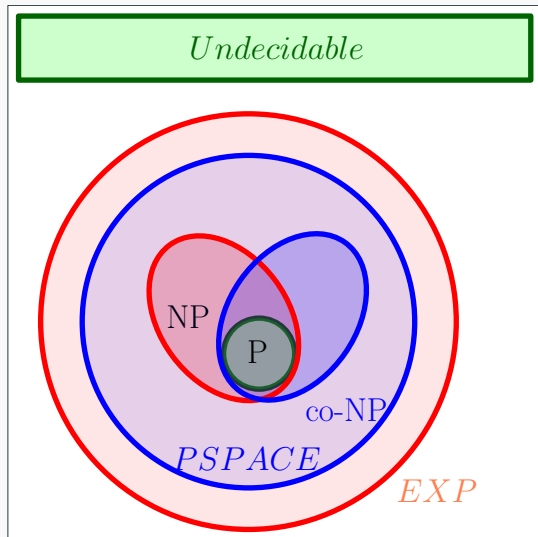


# In the beginning...



DTM + poly-time solve: P

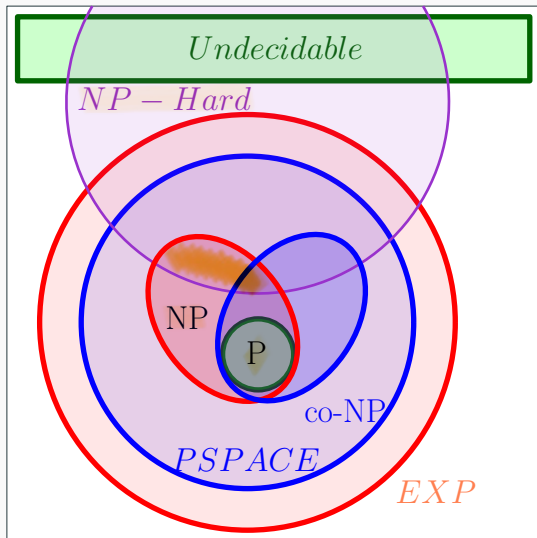
# In the beginning...



Non-deterministic TM  
+  
poly-time solve } : NP

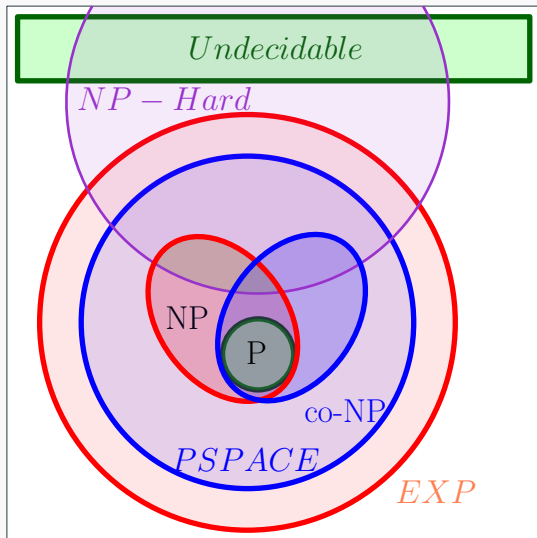
DTM + poly-time verification  
: NP

# In the beginning...

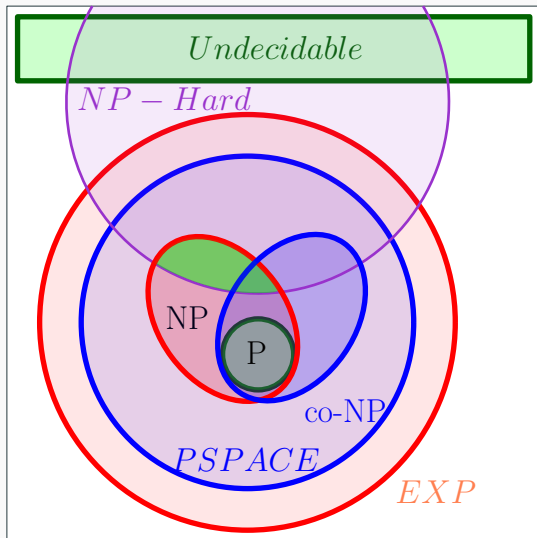


$P \subseteq NP$  (?) : YES!

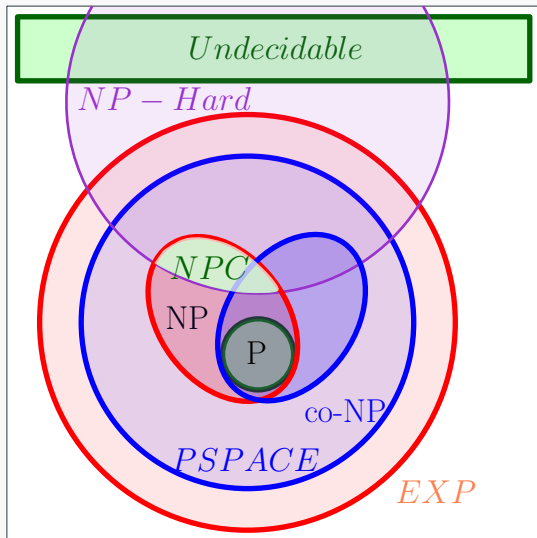
## In the beginning...



## In the beginning...



## In the beginning...



# Non-deterministic polynomial time - NP

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# P, NP and Turing Machines

- $P$ : set of decision problems that have polynomial time (deterministic) algorithms, i.e. efficiently solvable using a (deterministic) Turing machine (DTM).
- $NP$ : set of decision problems that have polynomial time non-deterministic algorithms, i.e. efficiently solvable using a non-deterministic Turing machine (NTM).
- Many natural problems we would like to solve are in  $NP$ .
- Every problem in  $NP$  has an exponential time (deterministic) algorithm.
- $P \subseteq NP$ .
- Some problems in  $NP$  are in  $P$  (e.g., shortest path problem).

**Big Question:** Does every problem in  $NP$  have an efficient algorithm? Same as asking whether  $P = NP$ .

# Problems with no known deterministic polynomial time algorithms

## Problems

- Independent Set
- Vertex Cover
- Set Cover
- SAT

There are of course undecidable problems (no algorithm at all!) but many problems that we want to solve are of similar flavor to the above.

**Question:** What is common to above problems?

# Problems with no known deterministic polynomial time algorithms

## Problems

- **Independent Set**
- **Vertex Cover**
- **Set Cover**
- **SAT**

There are of course undecidable problems (no algorithm at all!) but many problems that we want to solve are of similar flavor to the above.

**Question:** What is common to above problems?

They can all be solved via a non-deterministic computer in polynomial time!

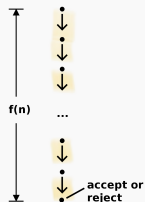
# Non-determinism in computing (RIY)

Non-determinism is a special property of algorithms.

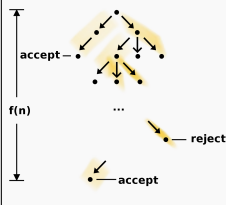
An algorithm that is capable of taking multiple states concurrently. Whenever it reaches a choice, it takes both paths.

If there is a path for the string to be accepted by the machine, then the string is part of the language.

Deterministic



Non-Deterministic



# Problems with no known deterministic polynomial time algorithms

## Problems

- **Independent Set & Vertex Cover** - Can build algorithm to check all possible collection of vertices
- **Set Cover** - Can check all possible collection of sets
- **SAT** - Can build a non-deterministic algorithm that checks every possible boolean assignment.

But we don't have access to a non-deterministic computer. So how can a deterministic computer verify that an algorithm is in NP?

# Efficient Checkability

Above problems share the following feature.

## Checkability

For any YES instance  $I_X$  of  $X$  there is a proof/certificate/solution that is of length  $\text{poly}(|I_X|)$  such that given a proof one can efficiently check that  $I_X$  is indeed a YES instance.

$S \in V$      $I_S: \langle G, k \rangle$

↑            ↑

method to do so: certifier

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Examples:

- **SAT** formula  $\varphi$ : proof is a satisfying assignment.
- **Independent Set** in graph  $G$  and  $k$ : a subset  $S$  of vertices.
- **Homework**.

# Certifiers

## Definition

An algorithm  $C(\cdot, \cdot)$  is a certifier for problem  $X$  if the following two conditions hold.

- For every  $s \in X$  there is some string  $t$  such that

$C(s, t) = \text{"yes"}$

- If  $s \notin X$ ,  $C(s, t) = \text{"no"}$  for every  $t$ .

The string  $s$  is the problem instance. (Example: particular graph in independent set problem.) The string  $t$  is called a certificate or proof for  $s$ .



## Efficient (polynomial time) Certifiers

### Definition (Efficient Certifier.)

A certifier  $C$  is an efficient certifier for problem  $X$  if there is a polynomial  $p(\cdot)$  such that the following conditions hold.

- For every  $s \in X$  there is some string  $t$  such that  $C(s, t) = \text{"yes"}$  and  $|t| \leq p(|s|)$ .
- If  $s \notin X$ ,  $C(s, t) = \text{"no"}$  for every  $t$ .
- $C(\cdot, \cdot)$  runs in polynomial time.

## Example: Independent Set (NP)

- **Problem:** Does  $G = (V, E)$  have an independent set of size  $\geq k$ ?
  - **Certificate:** Set  $S \subseteq V$ .
  - **Certifier:** Check  $|S| \geq k$  and no pair of vertices in  $S$  is connected by an edge.

## Example: SAT

- **Problem:** Does formula  $\varphi$  have a satisfying truth assignment?
  - **Certificate:** Assignment  $a$  of 0/1 values to each variable.
  - **Certifier:** Check each clause under  $a$  and say “yes” if all clauses are true.

## Why is it called Non-deterministic Polynomial Time (NP)

A certifier is an algorithm  $C(I, c)$  with the following two inputs.

- $I$ : instance.
- $c$ : proof/certificate that the instance is indeed a YES instance of the given problem.

One can think about  $C$  as an algorithm for the original problem if the following hold.

- Given  $I$ , the algorithm guesses (non-deterministically, and who knows how) a certificate  $c$ .
- The algorithm now verifies the certificate  $c$  for the instance  $I$ .

NP can be equivalently described using Turing machines.

# Cook-Levin Theorem

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# “Hardest” Problems

## Question

What is the hardest problem in NP? How do we define it?

## Towards a definition

- Hardest problem must be in NP.
- Hardest problem must be at least as “difficult” as every other problem in NP.

# NP-Complete Problems

## Definition

A problem  $X$  is said to be **NP-Complete** if

- $X \in NP$ , and
- (Hardness) For any  $Y \in NP$ ,  $Y \leq_P X$ .

$$Y \leq_P X$$

$$Y \Rightarrow X$$

# Solving NP-Complete Problems

## Lemma

Suppose  $X$  is NP-Complete. Then  $X$  can be solved in polynomial time if and only if  $P = NP$ .

## Proof.

$\Rightarrow$  Suppose  $X$  can be solved in polynomial time

- Let  $Y \in NP$ . We know  $Y \leq_P X$ .
- We showed that if  $Y \leq_P X$  and  $X$  can be solved in polynomial time, then  $Y$  can be solved in polynomial time. (i)
- Thus, every problem  $Y \in NP$  is such that  $Y \in P$ ;  $NP \subseteq P$ .
- Since  $P \subseteq NP$ , we have  $P = NP$ .  
(ii) (i) & (ii)

$\Leftarrow$  Since  $P = NP$ , and  $X \in NP$ , we have a polynomial time algorithm for  $X$ . □



# NP-Hard Problems

## Definition

A problem  $Y$  is said to be **NP-Hard** if

- (**Hardness**) For any  $X \in NP$ , we have that  $X \leq_P Y$ .

An NP-Hard problem need not be in NP!

**Example:** Halting problem is NP-Hard (why?) but not NP-Complete.

# Consequences of proving NP-Completeness

If  $X$  is NP-Complete

- Since we believe  $P \neq NP$ ,
- and solving  $X$  implies  $P = NP$ .

$X$  is unlikely to be efficiently solvable.

At the very least, many smart people before you have failed to find an efficient algorithm for  $X$ .

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At the very least, many smart people before you have failed to find an efficient algorithm for  $X$ .

(This is proof by mob opinion — take with a grain of salt.)

# NP-Complete Problems

## Question

Are there any problems that are NP-Complete?

## Answer

Yes! Many, many problems are NP-Complete.

# Cook-Levin Theorem

**Theorem (Cook-Levin)**  
**SAT** is NP-Complete.

# Cook-Levin Theorem

## Theorem (Cook-Levin)

**SAT** is NP-Complete.

Need to show the following.

- **SAT** is in NP.
- Every NP problem  $X$  reduces to **SAT**.

Steve Cook won the Turing award for his theorem.

## Proving that a problem $X$ is NP-Complete

To prove  $X$  is NP-Complete, show the following.

- Show that  $X$  is in NP.
- Give a polynomial-time reduction from a known NP-Complete problem such as **SAT** to  $X$ .

$$\text{SAT} \Rightarrow_p X \quad : \quad \text{SAT} \leq_p X$$

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**SAT**  $\leq_P$   $X$  implies that every NP problem  $Y \leq_P X$ . Why?

Transitivity of reductions:

$Y \leq_P \text{SAT}$  and  $\text{SAT} \leq_P X$  and hence  $Y \leq_P X$ .

## 3-SAT is NP-Complete (R1Y)

- **3-SAT** is in  $NP$ .
- **SAT**  $\leq_P$  **3-SAT** as we saw.

- **SAT** is NP-Complete due to Cook-Levin theorem.
- **SAT**  $\leq_P$  **3-SAT**
- **3-SAT**  $\leq_P$  **Independent Set**
- **Independent Set**  $\leq_P$  **Vertex Cover**
- **Independent Set**  $\leq_P$  **Clique**
- **3-SAT**  $\leq_P$  **3-Color**
- **3-SAT**  $\leq_P$  **Hamiltonian Cycle**

# NP-Completeness via Reductions

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Hundreds and thousands of different problems from many areas of science and engineering have been shown to be NP-Complete.

A surprisingly frequent phenomenon!

## Reducing 3-SAT to Independent Set

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(NEXT LECTURE!)

Recall:

- SAT:
- 3SAT:
- Complexity classes:
  - P
  - NP
  - NP-C
  - NP-Hard



$P \neq NP$



$P = NP$

# Independent Set

## Problem: Independent Set

**Instance:** A graph  $G$ , integer  $k$ .

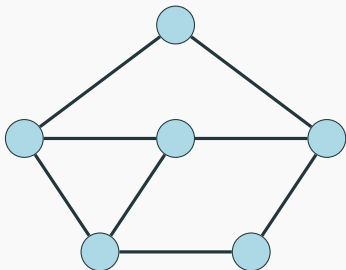
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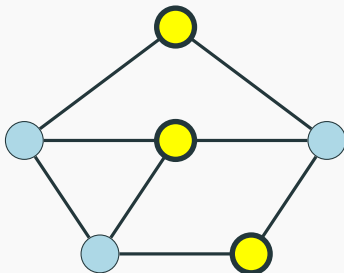


# Independent Set

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# Interpreting 3SAT

There are two ways to think about **3SAT**.

1. Find a way to assign 0/1 (false/true) to the variables such that the formula evaluates to true, that is each clause evaluates to true.
2. Pick a literal from each clause and find a truth assignment to make all of them true. You will fail if two of the literals you pick are in conflict, i.e., you pick  $x_i$  and  $\neg x_i$ .

We will take the second view of **3SAT** to construct the reduction.

$$\Phi \rightarrow \langle G, R \rangle$$

$$(x_1 \vee x_2 \vee x_3) \wedge (\neg x_1 \vee x_2 \vee x_5)$$

## The Reduction

1.  $G_\varphi$  will have one vertex for each literal in a clause.
2. Connect the literals in a clause to form a triangle; the independent set will pick at most one vertex from each clause, which will correspond to the literal to be set to true.
3. Connect 2 vertices if they label complementary literals; this ensures that the literals corresponding to the independent set do not have a conflict.
4. Take  $k$  to be the number of clauses.

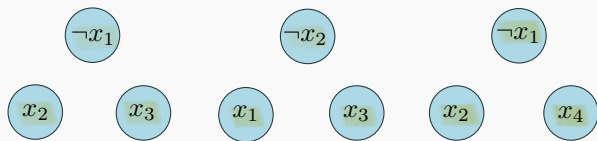
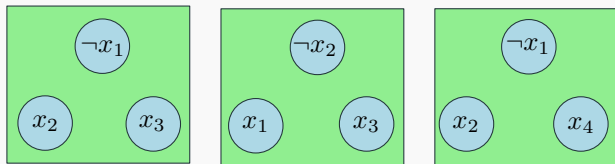


Figure 1: Graph for

$$\varphi = (\neg x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \neg x_2 \vee x_3) \wedge (\neg x_1 \vee x_2 \vee x_4).$$

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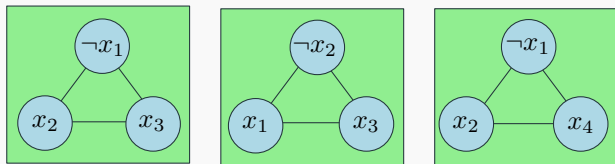


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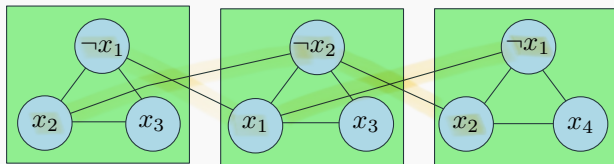


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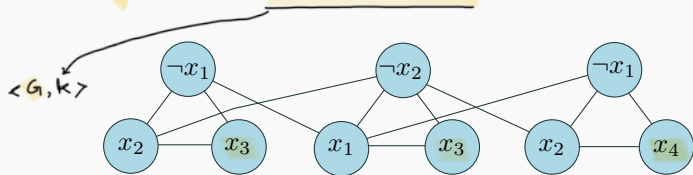


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$$\varphi = (\neg x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \neg x_2 \vee x_3) \wedge (\neg x_1 \vee x_2 \vee x_4).$$

CLAIM:  $\phi$  is satisfiable if and only if the graph  $G$  has an IS of size at least  $k$ !

(i) if  $\phi$  is satisfiable then how do we obtain an IS of size  $k$ ?

$x_1=0, x_2=0, x_3=1, x_4=1 \Rightarrow \phi$  is TRUE, i.e., each clause is TRUE.

$\Rightarrow \exists$  an assignment such that in each clause,  $g$  can point to one variable that made the clause to be TRUE.

$\Rightarrow \{x_2, x_3, x_4\}$  makes an IS (claim!)

with reference to the graph  $G$

Q. Why is  $\{x_2, x_3, x_4\}$  is the required IS?

$\rightarrow$  Can I have an edge between the vertices in this set?

$\rightarrow$  Only if one is the negation of the other.

$\rightarrow$  But if that's the case then I would not have picked both of them.

$\Rightarrow$  contradiction  $\Rightarrow \{x_2, x_3, x_4\}$  is the required IS.



(ii) if the graph  $G$  has an IS of size at least  $k$  then  $\phi$  is satisfiable, i.e.,

Given  $\{x_1, x_2, x_3, x_4\}$ , I can obtain a truth assignment that makes  $\phi$  to be TRUE. How?

$\{x_1, x_2, x_3, x_4\}$   $\rightarrow$  Each triangle has one vertex in my IS.

I would never try to have the same variable TRUE in one clause and FALSE in other because they have an edge.

We can obtain the satisfying truth assignment by making that literal TRUE in each clause.

$$\Rightarrow \underline{x_1=0, x_2=0, x_3=1, x_4=1}$$

(i) & (ii)  $\Rightarrow$  3SAT  $\Rightarrow_p$  IS, i.e., 3SAT  $\leq_p$  IS

To complete the proof that IS is in NP-C, we also need to show that IS is in NP.

• Instance:  $\langle G, k \rangle$

• Certificate:  $s$

• Certifier: The procedure to check  $s$  is a solution to the given instance of IS problem. We need to obtain this procedure and also show that it is polynomial-time.

(DIY!)

## Lemma

$\varphi$  is satisfiable iff  $G_\varphi$  has an independent set of size  $k$  (= number of clauses in  $\varphi$ ).

## Proof.

$\Rightarrow$  Let  $a$  be the truth assignment satisfying  $\varphi$ .

- Pick one of the vertices, corresponding to true literals under  $a$ , from each triangle. This is an independent set of the appropriate size. Why? □

### Lemma

$\varphi$  is satisfiable iff  $G_\varphi$  has an independent set of size  $k$  (= number of clauses in  $\varphi$ ).

### Proof.

$\Leftarrow$  Let  $S$  be an independent set of size  $k$ .

- $S$  must contain exactly one vertex from each clause triangle.
- $S$  cannot contain vertices labeled by conflicting literals.
- Thus, it is possible to obtain a truth assignment that makes in the literals in  $S$  true; such an assignment satisfies one literal in every clause.  $\square$

## Other NP-Complete problems

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# Graph Coloring

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## Problem: Graph Coloring

**Instance:**  $G = (V, E)$ : Undirected graph, integer  $k$ .

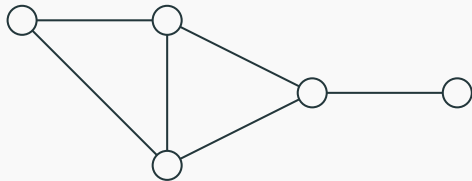
**Question:** Can the vertices of the graph be colored using  $k$  colors so that vertices connected by an edge do not get the same color?

## Graph 3-Coloring

### Problem: 3 Coloring

**Instance:**  $G = (V, E)$ : Undirected graph.

**Question:** Can the vertices of the graph be colored using 3 colors so that vertices connected by an edge do not get the same color?



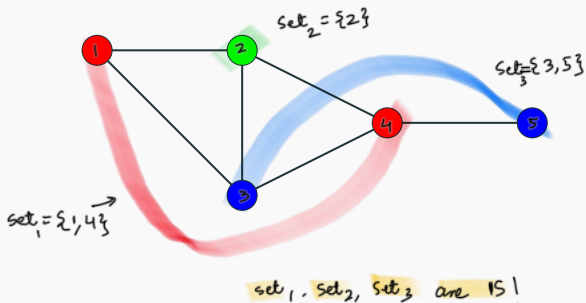


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## Problem: 3 Coloring

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# Graph Coloring

**Observation:** If  $G$  is colored with  $k$  colors then each color class (nodes of same color) form an independent set in  $G$ . Thus,  $G$  can be partitioned into  $k$  independent sets iff  $G$  is  $k$ -colorable.

Graph 2-Coloring can be decided in polynomial time.

$G$  is 2-colorable iff  $G$  is bipartite! There is a linear time algorithm to check if  $G$  is bipartite using breadth first search.

# Hamiltonian Cycle

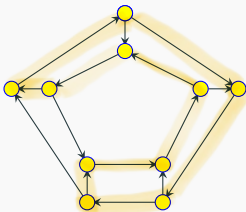
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# Directed Hamiltonian Cycle

**Input** Given a directed graph  $G = (V, E)$  with  $n$  vertices

**Goal** Does  $G$  have a **Hamiltonian cycle**?

- A **Hamiltonian cycle** is a cycle in the graph that visits every vertex in  $G$  exactly once.



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